

# EndMT regulation by small RNAs in diabetes-associated fibrotic conditions: potential link with oxidative stress

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#### Author contribution statement

Conceptualization, R.G. Y.A.M., and G.P; resources, G.K.N., A.A.M., and G.P.; writing the original manuscript draft, R.G., Y.A.M.; review and editing the different manuscript versions, R.G., Y.A.M., H.A., S.A., L.P. G.K.N., A.A.M. and G.P.; Final editing and supervision, A.A.M. and G.P., submission, G.P. All authors have read and agreed to the published version of the manuscript.

#### Keywords

EndMT, miRNAs, diabetes, Fibrosis, Oxidative Stress

#### Abstract

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Diabetes-associated complications, such as retinopathy, nephropathy, cardiomyopathy, and atherosclerosis, the main consequences of long-term hyperglycemia, often lead to organ dysfunction, disability, and increased mortality. A common denominator of these complications is the myofibroblast-driven excessive deposition of extracellular matrix proteins. Although fibroblast appears to be the primary source of myofibroblasts, other cells, including endothelial cells, can generate myofibroblasts through a process known as endothelial to mesenchymal transition (EndMT). During EndMT, endothelial cells lose their typical phenotype to acquire mesenchymal features, characterized by the development of invasive and migratory abilities as well as the expression of typical mesenchymal products such as α-smooth muscle actin and type I collagen. EndMT is involved in many chronic and fibrotic diseases and appears to be regulated by complex molecular mechanisms and different signaling pathways. Recent evidence suggests that small RNAs, in particular microRNAs (miRNAs) and long non-coding RNAs (IncRNAs), are crucial mediators of EndMT. Furthermore, EndMT and miRNAs are both affected by oxidative stress, another key player in the pathophysiology of diabetic fibrotic complications. In this review, we provide an overview of the primary redox signals underpinning the diabetic-associated fibrotic process. Then, we discuss the current knowledge on the role of small RNAs in the regulation of EndMT in diabetic retinopathy, nephropathy, cardiomyopathy, and atherosclerosis and highlight potential links between oxidative stress and the dyad small RNAs-EndMT in driving these pathological states.

## Contribution to the field

Dr Isota Chimenti, Editor Frontiers in Cell and Development Biology - Molecular Medicine March 21, 2021 Dear Dr Chimenti, Editor Frontiers in Cell and Development Biology - Molecular Medicine Please receive this manuscript entitled "EndMT regulation by small non-coding RNAs in diabetes-associated fibrotic conditions: potential link with oxidative stress" we would like to be considered for publication as a review article in Frontiers in Cell and Developmental Biology - Molecular Medice Long-term diabetes complications, such as retinopathy, nephropathy, cardiomyopathy, and atherosclerosis, are characterized by organs and tissue fibrosis due to excessive deposition of extracellular matrix proteins by myofibroblasts. Although fibroblast appears to be the primary source of myofibroblasts, other cells, including endothelial cells, can generate myofibroblasts through a process known as endothelial to mesenchymal transition (EndMT). During EndMT, endothelial cells lose their typical phenotype to acquire mesenchymal features, characterized by the development of secretive and migratory abilities along with expression of typical mesenchymal products such as a smooth muscle actin and type I collagen. EndMT is involved in many chronic and fibrotic diseases and appears to be regulated by complex molecular mechanisms. Recent evidence suggests that small RNAs, in particular microRNAs (miRNAs) and long non-coding RNAs (IncRNAs), are crucial mediators of EndMT. Furthermore, EndMT and miRNAs are both affected by oxidative stress, another key player in the pathophysiology of diabetic fibrotic complications. In this review, we provide an overview of the primary redox signals underpinning the diabetic-associated fibrotic process. Then, we discuss the current knowledge on the role of small RNAs in the regulation of EndMT in diabetic retinopathy, nephropathy, cardiomyopathy, and atherosclerosis and highlight potential links between oxidative stress and the dyad small RNAs-EndMT in driving these pathological states. We believe the presented information may pave the way to the identification of new diagnostic and therapeutic strategies to prevent or limit the structural and functional damage that leads to organ and system failure in diabetes. Yours truly, Gianfranco Pintus MSc PhD FRSB Professor and Chair Department of Medical Laboratory Sciences College of Health Sciences University of Sharjah United Arab Emirates

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## 29 Abstract

Diabetes-associated complications, such as retinopathy, nephropathy, cardiomyopathy, and 30 atherosclerosis, the main consequences of long-term hyperglycemia, often lead to organ 31 32 dysfunction, disability, and increased mortality. A common denominator of these complications is the myofibroblast-driven excessive deposition of extracellular matrix proteins. Although fibroblast 33 34 appears to be the primary source of myofibroblasts, other cells, including endothelial cells, can generate myofibroblasts through a process known as endothelial to mesenchymal transition 35 36 (EndMT). During EndMT, endothelial cells lose their typical phenotype to acquire mesenchymal features, characterized by the development of invasive and migratory abilities as well as the 37 expression of typical mesenchymal products such as  $\alpha$ -smooth muscle actin and type I collagen. 38 EndMT is involved in many chronic and fibrotic diseases and appears to be regulated by complex 39 40 molecular mechanisms and different signaling pathways. Recent evidence suggests that small RNAs, in particular microRNAs (miRNAs) and long non-coding RNAs (lncRNAs), are crucial 41 42 mediators of EndMT. Furthermore, EndMT and miRNAs are both affected by oxidative stress, another key player in the pathophysiology of diabetic fibrotic complications. In this review, we 43 44 provide an overview of the primary redox signals underpinning the diabetic-associated fibrotic 45 process. Then, we discuss the current knowledge on the role of small RNAs in the regulation of EndMT in diabetic retinopathy, nephropathy, cardiomyopathy, and atherosclerosis and highlight 46 potential links between oxidative stress and the dyad small RNAs-EndMT in driving these 47 pathological states. 48

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54 Keywords: EndMT, miRNAs, Diabetes, Fibrosis, Oxidative Stress

### 55 Introduction

Diabetes mellitus (DM) is one of the most common chronic diseases worldwide (1). A prediction 56 study estimated a significant further increase in the number of people suffering from diabetes, 57 58 especially in developing countries, with a global prevalence of 7.7% (439 million adults) by 2030 (1, 2). Long-term hyperglycemia is the main driver of the onset and the progression of common 59 60 diabetic complications, particularly those affecting the eye, kidney, nervous system, and cardiovascular system (3). Such complications are secondary to structural and functional 61 62 alterations of organs and tissues that are caused by an increased cellular glucose uptake (4). This activates inflammatory pathways which ultimately leads to excessive deposition of extra cellular 63 matrix (ECM) proteins and consequent thickening of the vessel wall (4, 5). Tissue fibrosis is 64 therefore the common denominator of most diabetic complications, including atherosclerosis, 65 66 cardiomyopathy, nephropathy and retinopathy (6). Myofibroblasts are the key mediators of pathological ECM accumulation (7). These cells are normally involved in tissue repair and are 67 subsequently removed by apoptosis at the end of the repair process. However, under pathological 68 situations, their unrestrained activation leads to excessive ECM deposition (8). Myofibroblasts 69 70 originate from different precursor cells, depending on the organ and the type of initial injury (9). 71 Although fibroblasts represent the primary source of myofibroblasts, the latter can also originate 72 from the inresident or bone marrow-derived mesenchymal cells as well as epithelial and 73 endothelial cells (ECs), through a process known as epithelial/endothelial to mesenchymal transition (7, 8). In particular, endothelial to mesenchymal transition (EndMT), the process 74 75 involving ECs, is emerging as an important player in the pathogenesis of diabetic fibrosis (10-12). 76 ECs, constituting the inner layer of blood vessels, are responsible for maintaining vascular homeostasis in response to endogenous and exogenous perturbations (13, 14). There is good 77 78 evidence that ECs, when exposed to hyperglycemia, undergo significant alterations that result in 79 an imbalance between vasodilation and vasoconstriction as well as the development of inflammatory and vascular complications (15, 16). Moreover, high glucose concentrations have 80 been shown to trigger the shift of the endothelium toward the mesenchymal phenotype (17, 18). 81 82 Overall, EndMT appears to represent the key link in the interaction between inflammation and 83 endothelial dysfunction in diabetic complications (19, 20). In the setting of EndMT, ECs lose their 84 typical cobblestone morphology and tight junctions and acquire increased motility and the ability 85 to secrete ECM proteins (21). In addition, concurrently with the loss of typical endothelial markers,

such as vascular endothelial cadherin (VE-cadherin), platelet endothelial cell adhesion molecule 86 (PECAM-1), also known as CD31, and von Willebrand Factor (vWF), they acquire the ability to 87 express several mesenchymal markers, such as alpha-smooth muscle actin ( $\alpha$ -SMA), smooth 88 muscle protein 22 alpha (SM22 $\alpha$ ), fibronectin, vimentin, and fibroblast specific protein-1 (FSP-1) 89 (21, 22). EndMT is involved in many chronic and fibrotic disease states and appears to be regulated 90 91 by several factors (23-25). In diabetes, oxidative stress is emerging as an important trigger of the ECs transformation into myofibroblasts and vascular remodeling (25, 26). Indeed, hyperglycemia 92 can increase the production of reactive oxygen species (ROS), which in turn activate signaling 93 pathways leading to the disruption of ECs hemostasis (27-30). Several signaling pathways have 94 been demonstrated to be involved in EndMT regulation, e.g., transforming growth factor-beta 95 (TGF-β) signaling, Notch signaling, fibroblast growth factor/fibroblast growth factor receptor 1 96 (FGF/FGFR1) signaling pathway, Smad2/3-mediated pathways (31) and pro-inflammatory 97 signaling cascades (32, 33). An important role in the regulation of EndMT is also played by micro 98 99 RNAs (miRNAs), a class of short endogenous non-coding RNAs that regulate gene expression at post-transcriptional level by binding to the 3'-untranslated region of messenger RNA (mRNA) (34, 100 101 35). A single miRNA can target multiple mRNAs, thus influencing several processes such as cell differentiation, proliferation, and apoptosis (36). miRNAs can also target significant parts of 102 103 pathways since miRNAs with similar (seed) sequence target similar sets of genes and thus similar 104 sets of pathways (37). Moreover miRNAs can, either positively or negatively, regulate gene 105 expression (38). As a result, they represent promising markers and druggable targets for many diseases, including diabetes (39-41). An increasing amount of evidence also suggests that diabetes 106 107 progression is linked to the alteration of miRNAs expression profiles; indeed, profibrotic miRNAs, 108 such as miR-125b, let-7c, let-7g, miR-21, miR-30b and miR-195 have been shown to be 109 upregulated in EndMT. By contrast, antifibrotic miRNAs, such as miR-122a, miR-127, miR-196 110 and miR-375, with inhibitory action toward genes responsible for EndMT, have been shown to be downregulated (42-44). In addition to miRNAs, recent studies have also demonstrated the 111 112 involvement of another class of small RNAs, known as long non coding RNAs (lncRNAs), in diabetes-associated EndMT (45, 46). Compared to miRNAs, the concentrations of lncRNAs are 113 almost tenfold lower, with the latter exhibiting significant tissue and cell specificity (47). However, 114 the knowledge of the function and the regulation of lncRNAs are still limited. This review aims to 115 summarize and discuss the available knowledge on the role of small RNAs in the regulation of 116

EndMT in diabetes-associated fibrotic complications such as retinopathy, nephropathy,cardiomyopathy, atherosclerosis, and its potential link with oxidative.

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#### 120 Diabetic nephropathy

121 Diabetic nephropathy (DN) is the leading cause of chronic kidney disease in about 40% of patients with type 1 and type 2 diabetes (48). Poorly controlled blood glucose concentrations can damage 122 123 the filtering functionality of the kidneys, which become unable to remove waste products and extra fluids from the body (49, 50). The symptoms of DN do not generally manifest in the early stages, 124 125 but rather when kidney function has significantly deteriorated (51). Therefore, a tight blood glucose control is key to prevent the onset and progression of DN (50, 52). The progression of DN 126 127 is defined by various clinical stages which reflect the gradual involvement of tissue damage to different kidney compartments: glomerulus, tubules, vasculature and interstitium (53). The final 128 129 stage of DN is characterized by renal fibrosis and organ failure, which are the result of the excessive accumulation of ECM (54). Renal fibrosis is driven by multiple mechanisms, including 130 131 glucose metabolism abnormalities associated with oxidative stress, inflammatory processes, and hemodynamic changes (55). Consequently, many signaling pathways and cell types (mesangial 132 133 cells, endothelial cells and podocytes) are involved in the fibrotic process (56, 57). As mentioned above, alterations of glucose metabolism not only activate various signaling pathways, (56, 57) 134 135 but also induce oxidative stress, a key pathophysiological step in the onset and progression of 136 diabetes-associated vascular complications (58-60). Indeed, high glucose concentrations activate 137 the diacylglycerol-protein kinase C (DAG-PKC) pathway, which is associated with endothelial dysfunction, increased production of extracellular matrix and activation of cytokines and 138 139 transforming growth factor- $\beta$  (TGF- $\beta$ ) (61, 62). In addition, protein kinase C (PKC) induces 140 oxidative stress by activating mitochondrial NADPH oxidase (18, 63). Increased glucose can also activate aldose reductase and the polyol pathway, leading to the depletion of Nicotinamide 141 142 Adenine Dinucleotide Phosphate (NADPH), which is also required for the generation of the cellular antioxidant nitric oxide (NO) (64-67). The reduced NO availability compromises the 143 balance between reactive oxygen species (ROS) generation and antioxidant defense, one of the 144 leading causes of endothelial dysfunction (68). Furthermore, hyperglycemia enhances the 145 formation of advanced glycation end products (AGEs), proteins or lipids that become glycated as 146

a result of exposure to sugars (69). AGEs increase ROS production and promote inflammation and 147 fibrosis through the activation of PKC, the nuclear factor kappa light chain enhancer of activated 148 149 B cells (NF-kB) and TGF- $\beta$ , (56, 70). Within the hemodynamic factors driving renal fibrosis, an important role is played by the over-activation of the renin-angiotensin-aldosterone system 150 (RAAS), a crucial hormone system in blood pressure regulation and fluid balance (71, 72). 151 152 Hyperglycemia and insulin resistance increases the release of angiotensin II (Ang II) a potent vasoconstrictor belonging to the RAAS system (72-74). Angiotensin II plays an important role in 153 154 renal fibrosis by activating a number of factors responsible for ECM production such as TGF- $\beta$ , PKC and NF-κB (56, 57). On the other hand, Angiotensin-converting enzyme2 (ACE2), the main 155 modulator of the RAAS system (72), prevents the accumulation of Ang II by catalyzing the 156 conversion of Ang II into the vasodilator Angiotensin I (Ang I) (74, 75). Although no cure is 157 158 available for DN, the control of blood sugar levels and blood pressure, together with a healthy lifestyle, can slow or stop its progression. The most common DN treatments are based on the 159 RAAS system inactivation; precisely with the use of either the ACE inhibitors (ACEis) or 160 angiotensin receptor blockers (ARBs) or their combination (76, 77). This type of treatments allows 161 162 the lowering of proteinuria and the blood pressure within the glomerular capillaries. In addition, ACE is can also ameliorates kidney fibrosis in combination with other drugs. Is this the case of N-163 164 acetyl-seryl-aspartyl-lysyl-proline (AcSDKP) an antifibrotic peptide that, in combination with the ACEi, imidapril, improves kidney fibrosis restoring antifibrotic miRNAs, such as miR-29 and 165 166 miR-let-7 and increasing the inhibition of the profibrotic dipeptidyl peptidase-4 (DPP-4) (78, 79). DPP-4 inhibitors are another class of medicines used for DN's treatment. In this context, due to the 167 168 highest affinity for DPP-4, the drug Linagliptin is one of the most widely used (80). In addition, promising data also come from treatments aiming at restoring Sirtuin 3 (SIRT3), which appear to 169 170 ameliorate renal damage, via inhibition of aberrant glycolysis and preserving mitochondrial 171 homeostasis (81, 82)

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## 173 miRNAs regulation of DN-associated EndMT

The ECM is a three-dimensional network of macromolecules (proteoglycans and fibrous proteins),
present in all tissues and organs, that contributes to tissue morphogenesis, differentiation and
homeostasis. Collagens, elastins, fibronectins and laminins are the main proteins constituting the

ECM (83, 84). The excessive deposition of ECM components is the hallmark of fibrosis, which 177 represents a key pathophysiological step in many chronic inflammatory diseases, including 178 179 diabetes (85). Myofibroblasts are the main cellular mediators of fibrosis as they have the ability to invade the interstitial space and produce excessive amounts of ECM proteins (86). Although 180 resident mesenchymal cells are the main source of myofibroblasts, the latter can also derive from 181 182 other type of cells including pericytes, fibrocytes, epithelial and endothelial cells (ECs). The process involving ECs, known as EndMT, has been shown to actively contribute to the progression 183 of renal fibrosis (87-89). Besides, the mesenchymal shift contribution to kidney fibrosis can also 184 be accelerate by the crosstalk between endothelium and epithelium, since EndMT can influence 185 and induce EMT in tubular cells (90). In this context, N-acetyl-seryl-aspartyl-lysyl-proline 186 (AcSDKP) plays a crucial role in inhibiting both EndMT and EndMT-mediated EMT. Its 187 inhibitory action is exerted by targeting the fibroblast growth factor receptor 1 (FGFR1), an 188 antifibrotic endothelial receptor (90), and by controlling the metabolic switch between glucose and 189 fatty acid metabolism. Indeed, defects in normal kidney metabolism can accelerate EndMT and 190 EndMT-mediated EMT contributing to kidney fibrosis (81, 91). An increasing body of evidence 191 192 suggests that miRNAs are key regulators of EndMT as they appear differentially expressed under fibrotic stimuli such as high glucose, TGFB, and hypoxia (92). This differential expression also 193 194 reflects the specific role, profibrotic or antifibrotic, played by miRNAs (44, 93). The most potent inducer of kidney fibrosis is TGF- $\beta$  (94) (95), which can trigger EndMT either by activation of 195 196 specific signaling pathways, such as Akt and Smad (94, 95), or by increasing the expression of pro-fibrotic miRNAs (44). In this context, TGF- $\beta$  mediates EndMT through the up-regulation of 197 198 miR-21, a key modulator of fibrosis (11, 96). Specifically, TGF- $\beta$  elicits miR-21 increase through the activation of Smad3 which regulates miR-21 expression both at a transcriptional and a post-199 200 transcriptional level (97). In addition, Smad3 modulates the expression of other miRNAs and 201 activates the expression of various fibrotic genes (98). Another mechanism used by miR-21 to stimulate renal fibrosis is the inhibition of Smad7 protein, a negative regulator of TGF- $\beta$ 1/Smad3 202 signaling. In this context, Smad7 has been shown to suppress renal fibrosis by down-regulating 203 pro-fibrotic miRNAs such as miR-21 and miR-192 while up-regulating the anti-fibrotic miR-29b 204 205 (98, 99). Additionally, miR-21 also regulates TGF-\beta-mediated EndMT through the PTEN/Akt pathway (100). Specifically, TGF- $\beta$  increases the endothelial expression of miR-21, which in turn 206 207 decreases the expression of PTEN, ultimately promoting EndMT by Akt activation. (100-102).

Another molecule linked to TGF- $\beta$  signaling in kidney fibrosis is the dipeptidyl peptidase-4 (DDP-208 4), a multi-functional protein expressed on the surface of most cell types, including ECs (103). 209 210 DPP-4 overexpression induces TGF-β-mediated EndMT in diabetic nephropathy (104, 105). Furthermore, recent studies have reported a relationship between DPP-4 and miR-29 in diabetic 211 kidney fibrosis, where the overexpression of DPP-4 results associated with the suppression of miR-212 29s family anti-fibrotic activity (106, 107). In line with these observations, the use of the DPP-4 213 inhibitor, linagliptin, ameliorates kidney fibrosis by restoring miR-29s and consequentially 214 inhibiting EndMT in diabetic mice (108). The anti-fibrotic peptide, AcSDKP which suppresses the 215 TGF-β-induced EndMT in diabetic kidney (109, 110) can also, alone or in combination with 216 angiotensin-converting enzyme inhibitor (ACEi), ameliorates renal fibrosis by suppressing DPP-217 4 and restoring the anti-fibrotic miR-29s and miR-let-7s expression in TGF-\beta-induced EndMT 218 219 (79). The crosstalk between miR-29s and miR-let-7s is crucial for maintaining endothelial cell homeostasis and AcSDKP potentiates this crosstalk regulation (44). Indeed, the presence of 220 AcSDKP upregulates the antifibrotic miR-let-7 families, especially miR-let-7b, which suppress 221 TGFβR1 and TGFβ signaling (111). Suppression of TGFβ signaling results in the up-regulation of 222 223 the miR-29 family expression, which in turn induce FGFR1 phosphorylation, a critical step for miR-let-7 production (44, 111). The associated expression of miR-29 and miR-let-7 is also 224 225 regulated by an alternative mechanism involving interferon-gamma (IFNy) (44). Precisely, miR-29 target the profibrotic IFNy (112) blocking its inhibitory action toward FGFR1 which in turn 226 227 induces the expression of miR-let-7 (44, 113). Although not strictly related to DN, an additional anti-fibrotic mechanism, occurring by the suppression of DPP-4, involves miR-448-3p. EndMT 228 229 inhibition and amelioration of vascular dysfunction has been indeed observed in both diabetic mice and cell models overexpressing miR-448-3p (114). A further regulatory mechanism of EndMT in 230 231 diabetic nephropathy involves miR-497 and its two targets, ROCK1 and ROCK2, which belong to 232 the rho-associated kinases (ROCKs) family and are activated in diabetes (115-117). A recent study showed that ROCKs inhibition, following treatment with melatonin (N-acetyl-5-233 methoxytryptamine), suppressed TGF- $\beta$ 2-induced EndMT. Specifically, the negative modulation 234 235 of ROCK1 and ROCK2 is associated with the melatonin-induced up-regulation of miR-497, both 236 in glomerular cells and diabetic rats (115). See figures and associated tables to overview of the 237 signaling pathways involving both anti-fibrotic (Figure 1, Table 1) and pro-fibrotic (Figure 2, Table 2) miRNAs. 238

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## 240 Diabetic cardiomyopathy

Diabetic cardiomyopathy (DCM), another common complication in diabetes, refers to myocardial 241 242 dysfunction in the absence of conventional cardiovascular complications (coronary artery disease, valvular disease) and risk factors (hypertension, dyslipidemia) (118, 119). In the early stages, 243 DCM is usually asymptomatic and characterized by left ventricular (LV) hypertrophy, LV diastolic 244 dysfunction with diastolic filling abnormalities, myocardial fibrosis and cell signaling 245 abnormalities. Disease progression leads to systolic dysfunction (left ventricular low ejection 246 247 fraction) accompanied by heart failure, which is characterized by marked hypertrophy and fibrosis in the advanced stages (118-120). Hyperglycemia, insulin resistance, lipid metabolism defects and 248 oxidative stress up-regulate the production of advanced glycation end-products (AGEs) and Ang 249 II, which in turn induce mitochondrial dysfunction in cardiomyocytes and ECs (121-124). 250 251 Mitochondrial dysfunction, as well as the Ang II-induced NADPH oxidases stimulation, increases 252 ROS production and oxidative stress (124, 125). Additionally, oxidative stress is also increased by lipid accumulation caused by an insulin resistance-induced cardiomyocytes metabolic shift. 253 Indeed, the increased intake of fatty acid is not adequately metabolized by  $\beta$ -oxidation resulting in 254 lipotoxicity (118, 120). Oxidative stress can in turn trigger endoplasmic reticulum (ER) stress, 255 impairment of mitochondrial Ca<sup>2+</sup> uptake, cardiomyocyte hypertrophy, ECs damage, 256 257 microvascular dysfunction and the profibrotic responses by fibroblasts and inflammatory cells (118, 120). All these effects contribute to the accumulation of ECM, especially collagen type I and 258 III, leading to myocardial fibrosis (119, 126). The main signaling pathways underlying these 259 pathophysiological events include TGFB/SMAD, NFkB/SMAD, PKC, MAPK, Wnt/β-catenin, 260 261 Notch2 and AcSDKP-FGFR1 signaling pathway (90, 127-131). Most of these pathways lead to the development of cardiac fibrosis through the differentiation of fibroblasts into myofibroblasts 262 263 as well as the endothelial-to-mesenchymal or epithelial-to-mesenchymal transition (132). 264 Furthermore, increasing evidence suggests that miRNAs are the main players in the regulation of multiple pathways and cellular processes leading to cardiac fibrosis (130, 133, 134). 265

#### 267 miRNAs regulation of DCM-associated EndMT

The hyperglycemia-induced ECs damage and activation, resulting in vascular remodeling and 268 269 EndMT, has been confirmed in myocardial fibrosis (135). As suggested by experimental evidence, 270 cardiac fibrogenesis involves the presence of a subset of EndMT-derived activated cardiac fibroblasts (135-137). Similarly, miRNAs are an important regulatory mechanism in cardiac 271 272 fibrosis and heart failure (138, 139). In this context, miR-21, which has been widely described in pulmonary and renal fibrosis (140), plays an important role also in the pathogenesis of cardiac 273 fibrosis and diabetic cardiomyopathy (133, 141-143). A recent in vivo study confirmed the 274 involvement of miR-21 in EndMT activation and myocardial fibrosis, showing that the 275 hyperglycemia-induced up-regulation of miR-21 in diabetic mice is associated with the down-276 regulation of endothelial markers and the up-regulation of fibroblast markers (144). Moreover, 277 278 similarly to the mechanism described in diabetic nephropathy (97), miR-21 regulates EndMT through the NF-kB-SMAD signaling pathway by targeting SMAD7. The consequent SMAD7 279 inhibition increases SMAD2 and SMAD3 phosphorylation, resulting in EndMT activation (144). 280 An additional mechanism, requiring the TGF- $\beta$ /SMAD pathway, involves miR-142-3p, which has 281 282 been shown to attenuate the hyperglycemia-induced EndMT in human aortic endothelial cells (HAECs) (145). Indeed, miR-142-3p overexpression inhibits EndMT by inactivating both TGF-283 284  $\beta$ 1 and the downstream target gene SMAD2. By contrast, TGF- $\beta$ 1 overexpression significantly abolishes the inhibitory effects of miR-142-3p (145). A negative regulation of glucose-induced 285 286 EndMT in the heart is also played by miR-200b (146). In a recent study, the expression of specific fibrotic markers, such as vascular endothelial growth factor (VEGF) (147), zinc finger E-box-287 288 binding homeobox (Zeb2) (148), and TGF- $\beta$ 1 (149) was prevented in diabetic mice overexpressing miR-200b (146). Moreover, miR-200b overexpression also induces the down-regulation of p300, 289 290 a transcription coactivator known to contribute to cardiac fibrosis and hypertrophy via TGF-291  $\beta$ /SMAD (146, 150). Although the inhibitory role of the whole miR-200 family is well established, both in EMT (151, 152) and EndMT (146, 153), unexpectedly a recent study shown that miR-292 200c-3p exerted the opposite effect, being able to promote EndMT and aortic graft remodeling 293 294 both in vivo and in vitro (154). Finally, a further TGF-β/SMAD pathway-mediated regulatory 295 mechanism involves miR-451 whose effects on EndMT are AMPK-dependent. Indeed, miR451 knockdown in diabetic mouse hearts suppresses EndMT through the activation of AMPK, which 296 297 in turn inhibits the TGF- $\beta$ /SMAD pathway (155). As previously mentioned, in addition to TGF-

 $\beta$ /SMAD, other pathways underlie the pathophysiological events leading to cardiac fibrosis. One 298 of them is the Wnt signaling pathway, known to promote fibroblast activation and proliferation 299 300 (156). On the other hand, the anti-fibrotic role of miRNA-221/222 family has been confirmed, as 301 their down-regulation was associated with heart failure (157). The interplay between Wnt and miR-222 in EndMT regulation has been recently suggested (158); specifically, miR-222 is able to 302 303 suppress the hyperglycemia-induced EndMT and inhibit cardiac fibrosis by negatively regulating the Wnt/ $\beta$ -catenin pathway in diabetic mice (158). Lastly, a further protective effect versus EndMT 304 is exerted through the notch pathway and involves miR-18a-5p (159). The role of the notch 305 pathway in heart development and control of the balance between fibrotic and regenerative repair 306 in the adult heart has been widely confirmed (129). Moreover, Notch2 activation results essential 307 for driving ECs differentiation (160, 161) in cardiovascular disease and for promoting EndMT 308 309 independently or in association with TGF- $\beta$ /SMAD3 signaling (162, 163). Notch2 is a target of miR-18a-5p which recently confirmed its antifibrotic role via the suppression of Notch2 and 310 311 consequent inhibition of hyperglycemia-induced EndMT in human aortic valvular endothelial cells (HAVECs) (159). See figures and associated tables to overview of the signaling pathways 312 313 involving both anti-fibrotic (Figure 1, Table 1) and pro-fibrotic (Figure 2, Table 2) miRNAs.

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#### 315 **Diabetic retinopathy**

Diabetic retinopathy (DR) is a common and severe microvascular complication of the eye that 316 represents the leading cause of blindness in diabetes (164). The prevalence increases with disease 317 progression and consequently with the exposure to the major risk factors, hyperglycemia and 318 hypertension (165, 166). Generally, a tight blood glucose control is cornerstone to reduce the risk 319 of DR progression (167). The condition is initially characterized by an asymptomatic stage, non-320 321 proliferative diabetic retinopathy (NPDR), that involves increased vascular permeability and capillary occlusion. Retinal neovascularization, by contrast, predominates in a later stage, 322 proliferative diabetic retinopathy (PDR) (168, 169), as consequence of hypoxia. However, as new 323 vessels are relatively fragile, they tend to bleed into the macular region causing vision difficulties 324 and, in the worst-case scenario, diabetic macular edema (DME), the main cause of blindness in 325 DR (170). DME is described as a swelling of the macula due to fluid accumulation following 326

breakdown of the blood-retinal barrier (BRB). This event can occur both in the PDR and in the 327 NPDR stage (171, 172). The BRB is composed of two distinct barriers: the outer BRB, consisting 328 329 of retinal pigment epithelium and the inner BRB, composed of endothelial cells regulating the transport across retinal capillaries. Besides, the BRB is established by tight cellular junctions, both 330 in the inner and outer barrier, as well as by the scarcity of endocytic vesicles within cells, which 331 332 further ensure the integrity of the BRB (173, 174). In addition, pericytes, specialized mural cells with a central role in angiogenesis, regulate and stabilize this tight structure through the 333 Angiopoietin-1/Tie-2, platelet-derived growth factor (PDGF) and TGF- $\beta$  signaling pathways (175, 334 176). BRB breakdown is a complex process involving different mechanisms; it can occur either in 335 the inner BRB, the outer BRB, or both sites. The loss of integrity of the endothelial cell-cell 336 junctions, the loss of pericytes and the thickening of the basement membrane are the major 337 338 alterations observed in the inner BRB (172, 177). Several studies have shown that hyperglycemia represents the main risk factor contributing to the pathogenesis of diabetic retinopathy (172, 178, 339 340 179). Furthermore, using a BRB model formed by retinal pericytes, astrocytes and endothelial cells, it has been recently reported that high glucose exposure elicits BRB breakdown, enhances 341 342 BRB permeability and reduces the levels of junction proteins such as ZO-1 and VE-cadherin (180). Besides, elevated ROS as well as pro-inflammatory mediators (IL-1 $\beta$ , IL-6) and oxidative stress-343 344 related enzymes (iNOS, Nox2) have also been shown to be increased (180). The major biochemical pathways involved in the BRB breakdown are the polyol pathway, the AGEs pathway, the PKC 345 346 pathway and the hexosamine pathway. Oxidative stress and inflammation are responsible for the upregulation of growth factors and cytokines, such as vascular endothelial growth factor (VEGF), 347 tumor necrosis factor (TNF), interleukins (ILs), and matrix metalloproteinases (MMPs), which 348 349 contribute to the BRB breakdown and to the development of DME (172, 181-183). Studies have 350 confirmed the role of the pro-angiogenic factor VEGF as main modulator of PDR and DME. VEGF 351 is secreted by retinal pigmented epithelial cells, pericytes, and endothelial cells in response to hypoxia conditions caused by the obstruction and loss of retinal capillaries (171, 183). VEGF, in 352 addition to promoting neovascularization in PDR, participates in the breakdown of the BRB via 353 354 increasing permeability of retinal vessels (184). Indeed, high levels of VEGF increase the 355 expression of the inflammatory intercellular adhesion molecule-1 (ICAM-1) which in turn facilitates the adhesion of leukocytes to the diabetic retinal vasculature, promoting capillary 356 occlusion (171, 182, 185). 357

358

#### 359 miRNAs regulation of DR-associated EndMT

360 Hyperglycemia-induced increased production of ECM and thickening of the vascular basement membrane is the hallmark of diabetic retinopathy (186). As previously mentioned, hyperglycemia 361 362 promotes fibrosis progression through the generation of ECs-derived myofibroblasts, EndMT. 363 This process has been shown to play an important role also in the pathogenesis of DR (10). Similar to other diabetic complications, TGF- $\beta$  is an important EndMT mediator, mainly through the 364 activation of the SMAD signaling pathways (10, 187, 188). Moreover, the transcriptional activator 365 366 p300, already known for increasing the expression of ECM proteins (189), and miR-200b have 367 been described as key regulators of the TGF- $\beta$ -mediated EndMT in diabetic mice (10). Although the specific mechanism played by miR-200b and p300 remains partially unknown, the anti-fibrotic 368 activity of miR-200b, already described in other diabetic complications (146, 190), has also been 369 370 confirmed in DR. Specifically, the EndMT observed in the retinas of wild-type diabetic mice was suppressed by the overexpression of miR-200b (10). As mentioned before, the outer BRB is 371 composed of tight junctions of retina pigment epithelial cells (RPECs) which secrete various 372 factors, nutrients and signaling molecules that influence the surrounding tissues (191, 192). 373 Chronic hyperglycemia alters RPECs functions contributing to the fluid accumulation in DME and 374 the development of DR (193). Under stress conditions RPECs cells can release large amounts of 375 exosomes, nanoscale vesicles that mediate many intercellular activities such as cell-to-cell 376 communication, immune regulation, inflammatory response, extracellular matrix turnover and 377 neovascularization (194, 195). A recent study confirmed the importance of the crosstalk between 378 ECs and RPECs cells in the progression of fibrosis in patients with DR (196). Specifically, it was 379 observed that hyperglycemia increased the ability of RPECs to release miR-202-5p-enriched 380 exosomes. On the other hand, hyperglycemia induced EndMT through the TGF<sup>β</sup> signaling 381 pathway activation in ECs. However, when ECs were treated with RPECs-derived exosomes, the 382 hyperglycemia-induced TGF<sup>β</sup> signaling pathway activation was significantly counteracted as well 383 as the increased proliferation and migration (196). In addition, miR-202-5p, by targeting 384 385 specifically TGFβR2, was responsible for the TGFβ signaling pathway inactivation and EndMT 386 suppression (196). This study, in addition to providing additional evidence that hyperglycemiainduced EndMT involves the activation of TGF<sup>β</sup> signaling, also showed that the release of miR-387

202-5p-enriched exosomes from RPE cells leads to the suppression of EndMT. The RPE cells-388 derived exosomes are therefore important mediators of the ECs-RPE cells c rosstalk in the 389 390 development of DR (196). Additional miRNAs involved in EndMT regulation in DR include two 391 members of the mi-RNA29 family, miR-29a and miR-29b, already described in fibrosis development associated with diabetic complications (79, 108, 197, 198). The anti-fibrotic activity 392 393 of miR-29a/b has been recently confirmed also in DR where their overexpression suppressed the hyperglycemia-induced EndMT in human retinal microvascular endothelial cells (HRMECs) 394 (199). The inhibitory effect of miR-29a/b was exerted through the down-regulation of the 395 transmembrane protein Notch2, known to activate morphological and functional changes of ECs 396 397 as well as promote EndMT (199, 200). See figures and associated tables to overview of the signaling pathways involving both anti-fibrotic (Figure 1, Table 1) and pro-fibrotic (Figure 2, 398 399 Table 2) miRNAs.

400

## 401 Atherosclerosis

402 Atherosclerosis (AS) is characterized by plaque formation, secondary to the deposition of fats, cholesterol, and calcium, which lead to ischemia and its clinical manifestations, such as myocardial 403 404 infarction and stroke (201). Although AS is classically associated with alterations of lipid metabolism and hypercholesterolemia (202), its pathogenesis is more complex and involves 405 406 various factors. Endothelial dysfunction and inflammation are key steps in the sequence of events 407 leading to AS (203, 204). The presence of mechanical stress, such as blood flow turbulence, can activate the endothelium, which responds by recruiting monocytes, adhesion molecules and pro-408 409 inflammatory cytokines. Monocytes, facilitated by adhesion molecules and cytokines, infiltrate the 410 intima and can differentiate in macrophages which actively participate in lipid uptake through 411 phagocytosis (205). Diabetes and AS share several pathological mechanisms (206); indeed, the metabolic alterations that drive the development of diabetes are also involved in the pathogenesis 412 413 of atherosclerosis (207, 208). In addition, both type 1 and type 2 diabetes can either induce atherosclerosis and accelerate its progression (207). In this context, a crucial role is played by the 414 415 prolonged exposure to hyperglycemia and insulin resistance which are responsible for the increased atherosclerosis-related inflammation of the arterial wall (209, 210). In addition to 416 triggering the onset and progression of diabetes, insulin resistance also promotes dyslipidemia, 417

hypertension and other metabolic abnormalities, important components of the pro-atherogenic 418 milieu (209, 211). At the same time, an insufficient insulin signaling elicits an abnormal lipid 419 420 metabolism and glucose transport and increase the production of glucose in the liver. Pancreatic  $\beta$ 421 cells respond to hyperglycemia by increasing insulin secretion; however, the continued stimulation of  $\beta$  cells leads to their progressive functional failure and diabetes development (212, 213). 422 423 Prolonged exposure to hyperglycemia increases oxidative stress (27, 214), the primary activator of signaling pathways driving AS and diabetes progression (215, 216). Overproduction of ROS 424 425 increases the formation of advanced glycation end-products (AGEs), modifications of proteins or lipids that become non enzymatically glycated (209, 217). AGEs are involved in each step of 426 atherosclerosis, being responsible for monocyte migration into the sub-endothelial space, release 427 of cytokines by macrophages and stimulation of vasoconstriction (209). Moreover, the binding of 428 AGEs to the receptor RAGE activates TGF-B, ERK, JNK, p38, NF-kB, PKC and the polyol 429 pathways as well as maintaining the chronic pro-inflammatory state of the arterial wall (209, 218). 430

431

# 432 miRNAs regulation of AS-associated EndMT

433 As previously mentioned, endothelial dysfunction driven by oxidative stress plays a critical role 434 in the development of AS. Persistent activation of ECs induces EndMT, which contributes to both 435 the initiation and the progression of atherosclerosis (219, 220). Moreover, the extent of EndMT in 436 the human plaque appears to be strongly correlated with the severity of the disease (12). A recent study showed the up-regulation of 17 miRNAs in atherosclerotic plaques; among them, miR-449a, 437 438 already known for its role in lipid and cholesterol anabolism as well as inflammation (221), was significantly higher compared with normal arteries (222). The authors reported that miR-449a 439 440 induces EndMT and promotes the development of AS by targeting the interaction between adiponectin receptor 2 (AdipoR2) and E-cadherin in lipid rafts (222). In this context, miR-449a 441 442 has displayed a multilevel and complex regulatory mechanism by promoting proliferation and enhancing the migrating ability of ECs as well as their expression of atherosclerotic markers (222). 443 The ability to induce EndMT was confirmed by the reduced E-cadherin expression concurrently 444 with the increased expression of  $\alpha$ -SMA and SMAD3 (222). miR-449a pro-atherosclerotic 445 446 properties are exerted by inhibition AdipoR2 and E-cadherin migration into the lipid raft fractions of ECs and consequent suppression E-cadherin-AdipoR2 of interaction. Additionally, the authors 447

reported that blocking miR-449a protects diabetic mice from developing AS (222). Similarly to 448 449 miR-449a, miR-374b was reported to be up-regulated both in atheroprone regions from mice and 450 pigs and in TGF-\beta1-treated ECs (223). Additionally, the overexpression of miR-374b was 451 associated with a reduction in endothelial markers (VE-Cadherin and eNOS), and a concomitant increase of mesenchymal markers (TAGLN and Calponin). Besides, miR-374b was able to induce 452 453 EndMT through the silencing of the Mitogen-Activated Protein Kinase 7 (MAPK7) also known as ERK5 (223). MAPK7 is an antagonist of EndMT and its signaling activity is generally lost in 454 vessel areas that are undergoing pathological remodeling (224, 225). Similarly, MAPK7 signaling 455 activity was lost in the sites of vascular remodeling, providing an additional confirmation of the 456 457 inhibitory action of miR-374b. By contrast, the recovery of MAPK7 signaling abrogated the pathological effect of miR-374b (223). miR-122, another miRNA recently reported as EndMT 458 459 mediator in AS, has been shown to be up-regulated both in the aortic intima of diabetic mice and in the cellular EndMT model (226). The regulatory action of miR-122 is mediated by the neuronal 460 PAS domain protein 3 (NPAS3). Indeed, inhibition of miR-122 prevented atherosclerosis and 461 regulated NPAS3-mediated EndMT (226). miR-122 might therefore represent a druggable target 462 463 in preventing EndMT-associated atherosclerosis. See figures and associated tables to overview of the signaling pathways involving both anti-fibrotic (Figure 1, Table 1) and pro-fibrotic (Figure 2, 464 465 Table 2) miRNAs.

466

## 467 Long non-coding RNAs regulation in diabetes-associated EndMT

Besides miRNAs, small RNAs also include long non-coding RNAs (lncRNAs) and circular RNAs 468 469 (circRNAs) which are emerging as key regulators implicated in a significant number of biological 470 processes (227, 228). Unlike linear RNAs, circRNAs form a covalently closed continuous loop, 471 without 5' or 3' ends (229). LncRNAs are instead linear RNAs, with a nucleotide length >200, that can affect gene transcription both at the epigenetic, transcriptional and post-transcriptional level 472 473 (230, 231). Thus, LncRNAs can differently interact with mRNAs, proteins, and DNA elements; 474 moreover, the binding of transcriptional factors to the lncRNA promoter's target sites can regulate 475 their expression. (232). LncRNAs are also precursors of many types of miRNAs, although more 476 frequently they overlap both physically and functionally with the latter. Moreover, lncRNAs 477 compete with miRNAs for the binding to the same target genes and can trigger miRNAs

degradation (232, 233). Hence, lncRNAs are involved in a variety of human diseases where they 478 appear differentially expressed or genetically perturbed (234, 235). In this context, most of the 479 480 knowledge pertaining to lncRNAs is derived from cancer however there is increasing evidence of 481 their involvement in other conditions, such as Alzheimer's disease, diabetes, cardiac complications (46, 236, 237) and fibrosis (238-240). One important function of lncRNAs is their role as a 482 483 molecular sponge to certain miRNAs, hindering their expression (241). This mechanism has been confirmed in diabetic kidney fibrosis, where the down-regulation of the anti-fibrotic miR-29 was 484 485 associated with lncRNA H19 up-regulation, whereas its knockdown restored miR-29 activity and significantly inhibited TGF- $\beta$ 2-induced EndMT in diabetic mice (242). However, the role of H19 486 in diabetes-associated EndMT remains unclear; indeed, H19 overexpression prevented glucose-487 induced EndMT by reducing the TGF- $\beta$ 1 levels in DR (243). Further studies are required to clarify 488 489 the role of H19 in regulating EndMT in diabetic conditions. Another lncRNA involved in DR is the maternally expressed gene 3 (MEG3) which showed an inhibitory effect on hyperglycemia-490 491 induced EndMT. MEG3 resulted indeed able to suppress EndMT both in vivo and in vitro by inhibiting the PI3K/AKT/mTOR signaling pathway (244). On the other hand, MEG3 methylation 492 493 mediated by DNA methyltransferase 1(DNMT1) attenuated MEG3 expression and consequently accelerated EndMT (244). This finding clarifies the role of MEG3 in EndMT and provide 494 495 additional confirmation that increased levels of DNA methylation represent a potential risk factor 496 for the development of DR (245). As previously reported, oxidized low density lipoproteins (ox-497 LDL), being able to trigger plaque formation and EndMT, are key players in AS development (246). A recent study reported that miR-30c-5p and LINC00657, also known as noncoding RNA 498 499 activated by DNA damage (NORAD), are both involved in ox-LDL-induced EndMT but with opposite effects (247). miR-30c-5p inhibited ox-LDL-induced EndMT via activation of the 500 501 Wnt7b/β-catenin pathway whereas LINC00657, acting as sponge of miR-30c-5p, suppressed the 502 EndMT inhibition (247). Indeed, the expression level of LINC00657 resulted elevated both in sera from AS patients and in ox-LDL-stimulated ECs (247). 503

504

# 505 Potential ROS-EndMT-small RNAs interplay in diabetes-associated fibrotic conditions

506 Oxidative stress is a key player in the diabetic complications' pathophysiology described in this 507 review. Hyperglycemia is not only the main factor responsible for the increase in ROS but also

favors the increase of inflammatory mediators, which ultimately leads to vascular dysfunction 508 (248). Both genetic and epigenetic factors can regulate the development and exacerbation of 509 oxidative stress; in this context, different studies have highlighted the key role played by miRNAs 510 (249). Indeed, hyperglycemia can alter miRNAs expression, which in turn contributes to the 511 development of endothelium dysfunction and diabetic vascular disease (248). Besides, in diabetic 512 complications the molecular mechanisms and signaling pathways triggered by oxidative stress 513 appear similar to those involved in miRNAs regulation (249, 250). Finally, hyperglycemia-induced 514 515 oxidative stress can affect the expression of specific miRNAs, which in turn can exacerbate oxidative stress, in addition to regulating the fibrotic process through the mechanisms summarized 516 in this review (249, 250). On the other hand, oxidative stress is emerging as a key trigger of EndMT 517 (25, 26). Therefore, although a direct oxidative stress-small RNAs-EndMT link has not been 518 519 demonstrated in diabetes yet, a substantial body of evidence supports this interplay. For example, an indirect proof of a ROS-miR-21-EndMT link has been reported with kallistatin, an endogenous 520 521 protein with beneficial effects on EndMT-associated fibrosis (251). Kallistatin treatment blocked TGF-β-induced EndMT, NADPH oxidase-dependent ROS formation and the expression of the 522 523 pro-fibrotic miR-21, confirming the role of both miR-21 and ROS as major mediators of EndMT (251). Many studies indicated a direct link between mi-R21 and oxidative stress in diabetic 524 525 subjects, where ROS generation has been suggested as a downstream effect of miR-21 526 overexpression (252). The pro-oxidant effect of miR-21 is exerted through the suppression of 527 genes which usually limit oxidative damage such as KRIT1 (Krev/Rap1 Interaction Trapped-1), Nuclear Factor erythroid Related Factor 2 (NRF2), and MnSOD2 (Manganese-dependent 528 529 Superoxide Dismutase2). By contrast, inhibition of miR-21 decreases ROS levels (249, 253). A relationship between up-regulation of miR-21 and increased ROS levels has also been shown 530 531 during the development of diabetic cardiac dysfunctions (254). The miR-200 family, the anti-532 fibrotic activity of which has been described both in diabetic nephropathy and retinopathy, has also been shown to be associated with a decrease in oxidative stress in diabetes; specifically, the 533 534 antioxidant effect of miR-200 is exerted by silencing the O-GlcNAc transferase, also known as OGT, whose enzymatic activity is associated with diabetic complications and endothelial 535 536 inflammation (250). Another proof of the oxidative stress-small RNAs-EndMT interconnection comes from a study investigating the activity of miR-451 (255). The latter, previously described 537 for its ability to induce EndMT in diabetic mouse heart (155), has been recently reported to be up-538

regulated in diabetic subjects with high oxidative stress. The association between miR-451 and 539 oxidative stress has been further confirmed with the use of the antioxidant Vitamin C; indeed, 540 541 Vitamin C administration in diabetic subjects decreased both the expression of miR-451 and ROS 542 levels (255). Finally, an interplay being the basis of mitochondrial functions in kidney ECs involves the miR-let-7 family, (FGF)/FGFR1 signaling pathway and SIRT3 (256). The integrity 543 544 of the FGFR1-miR-let-7 axis, on which depends the modulation of SIRT3, is crucial for maintaining the mitochondrial functionality (256). SIRT3, for its part, controls mitochondrial 545 redox homeostasis by modulation of ROS levels (257, 258) mainly via activation of the antioxidant 546 enzyme superoxide-dismutase 2 (259). On the contrary, the loss of the FGFR1-miR-let-7axis 547 impairs SIRT3 and miR-29 levels with consequent disruption of mitochondrial integrity and 548 activation of pro-mesenchymal signaling (Wnt signaling, BMP, Notch, TGF- $\beta$  signaling) 549 550 promoting EndMT (256)

551

## 552 Conclusion and future directions

This review has highlighted the key role of EndMT in the fibrotic process occurring in the 553 development of the major diabetic complications. Environmental factors (high glucose, hypoxia, 554 555 oxidative stress, pro-inflammatory cytokines) are important determinants of EndMT induction through the activation of specific signaling pathways, such as TGF-B, Notch, Wnt, and the 556 557 modulation of the expression of microRNAs. The evidence reviewed in this article indicates that 558 some microRNAs, e.g., miR-29, miR-200, and miR-Let7, have anti-fibrotic effects and inhibit 559 EndMT whereas others, e.g., miR-21 and miR-122, possess pro-fibrotic properties and promote EndMT. The anti-fibrotic activity of some microRNAs appears univocal not only within diabetic 560 561 complications but also in other pathological conditions. For instance, miR-29a/b and miR-200b 562 have been shown to inhibit fibrosis in pulmonary fibrosis (260, 261), systemic sclerosis (106) as well as in DCM, DN and DR (10, 108, 146, 199). Similarly, miR-21 is generally up-regulated in 563 564 different fibrotic diseases (96, 140) as well as in diabetic complications such as DN, DR and DCM (11, 144, 262). Moreover, since the expression levels of miR-21 in the plasma of diabetic patients 565 566 were correlated with disease progression, miR-21 might be used as a marker of diabetes severity 567 (263). On the other hand, the function of other microRNAs is only partially established in *in vitro* models or in specific pathological conditions. Further, for some miRNAs the evidence is still 568

controversial, such as the case of the lncRNA H19 which showed pro-fibrotic activity in DN (242) 569 570 and an opposite effect in DR (243). Additionally, since the markers for EndMT used in individual 571 studies are often different, a complete understanding of the regulatory mechanisms played by miRNAs, or an exact comparison between them, is currently challenging. In this regard, future 572 directions in the study of diabetic complications should involve a) a thorough characterization of 573 574 the mechanisms involved in the ROS-EndMT-small RNAs interplay and its relationship with the onset and severity of specific complications, b) the conduct of epidemiological studies 575 investigating the association between specific miRNAs and lncRNAs and metabolic control, 576 surrogate markers of organ damage, and morbidity and mortality in patients with diabetes, and c) 577 the effects of specific pharmacological and non-pharmacological interventions targeting EndMT 578 on the risk and progression of diabetic complications. Such studies might contribute to the 579 580 identification of new diagnostic and therapeutic strategies to prevent or limit the structural and functional damage that leads to organ and system failure in diabetes. 581

582

#### 583 **Conflict of Interest**

584 The authors declare no conflict of interest

585

#### 586 Author Contributions

Conceptualization, R.G. Y.A.M., and G.P; resources, G.K.N., A.A.M., and G.P.; writing the
original manuscript draft, R.G., Y.A.M.; review and editing the different manuscript versions,
R.G., Y.A.M., H.A., S.A., L.P. G.K.N., A.A.M. and G.P.; Final editing and supervision, A.A.M.
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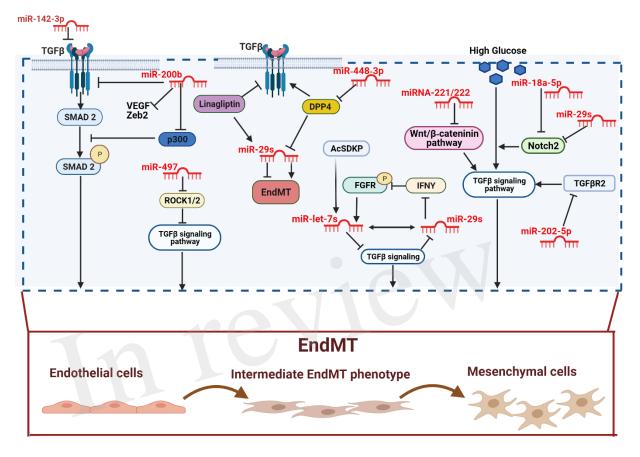
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# 601 Figures and Tables



#### 602

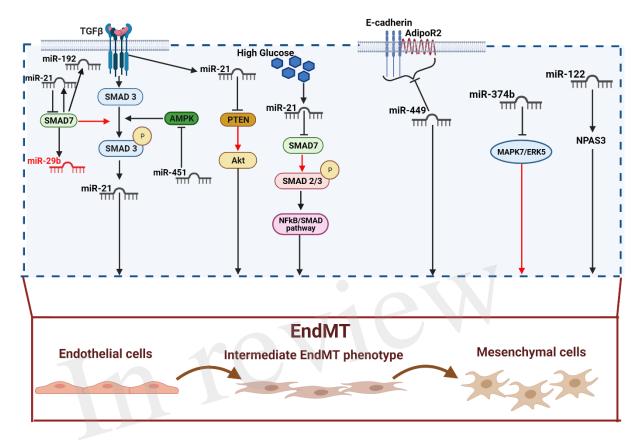
# **Figure 1. Anti-fibrotic miRNAs in diabetic complications.**

604 miR-142-3p and miR-200b inhibit EndMT by inactivating the TGF- $\beta$ -SMAD pathway. The antifibrotic activity of miR-200b is played by down-regulating the TGF- $\beta$ /SMAD pathway 605 coactivator p300. miR-497 suppresses TGF-\beta-induced EndMT by ROCK1 and ROCK2 606 607 inactivation. The overexpression of DPP-4 is associated with the suppression of the miR-29s family anti-fibrotic activity. However, both linagliptin and AcSDKP suppresses EndMT by 608 restoring miR-29 and miR-let-7s activities. Furthermore, miR-448-3p inhibits EndMT via DPP-4 609 suppression. AcSDKP upregulates the antifibrotic miR-let-7 which suppresses TGF $\beta$ R1 and TGF $\beta$ 610 signaling. The block of TGF<sup>β</sup> signaling results in up-regulation of miR-29 gene expression, which 611 in turn causes FGFR1 phosphorylation. FGFR1 phosphorylation is critical for miR-let-7 612 production. miR-29 can also target the profibrotic IFNY blocking its inhibitory action toward 613 FGFR1. The miR-29s family inhibits high glucose-induced EndMT by down-regulating Notch2, 614 which is also suppressed by miR-18a-5p. However, DPP-4 inhibitor and AcSDKP suppresses 615 EndMT by restoring of miR-29 and miR-let-7s activities. Furthermore, miR-448-3p inhibit 616 EndMT via DPP-4 suppression. The miR-29s family inhibits high glucose-induced EndMT by the 617 downregulation of Notch2 which is also suppressed by miR-18a-5p. High glucose-induced EndMT 618 is also suppressed by miR-221/222 family, via the negative regulation of Wnt/β-catenin, and by 619

- 621 in dark, anti-fibrotic miRNAs in red.

Anti-fibrotic miRNAs in diabetic complications									
miRNAs	DN	DR	Other	DCM	Reference				
miR-142-3p				TGFβ-SMAD	(145)				
miR-200b				TGFβ-p300	(10)				
miR-200b		TGFβ1- p300			(146)				
miR-202-5p		TGFβR2			(196)				
miR-497b	ROCK1/2				(115)				
miR-221/222				Wnt-β/Catenin	(157)				
miR-221/222 miR-29s miR-29s miR-Let7 miR-448-3p	TGFβ signaling TGFβ signaling	Notch2	TGFβ		(158) (199) (44, 79, 111) (44, 79, 111) (114)				
miR-18a-5p			signaling	Notch2	(159)				

Table 1. Anti-fibrotic miRNAs in diabetic complications. Table 1 summarizes the references
describing the anti-fibrotic miRNAs in diabetic complication. DN: Diabetic Nephropathy; DR:
Diabetic Retinopathy; DCM: Diabetic Cardiomyopathy.



# 630 Figure 2. Pro-fibrotic miRNAs in diabetic complications.

TGF-β increases miR-21 expression through Smad3 activation. miR-21 expression is also directly 631 increased by TGF-β and high glucose. miR-21 can in turn activates EndMT through releasing 632 PTEN of Smad7 inhibition (red arrow). Indeed, both PTEN and SMAD7 are negative regulators 633 of EndMT via the Akt and TGF-β1/Smad3 signaling respectively. SMAD7 can also suppress 634 fibrosis by down-regulating the pro-fibrotics miR-21 and miR-192, and up-regulating the anti-635 fibrotic miR-29b. miR451 triggers EndMT by blocking AMPK, an inhibitor of the TGF-β/SMAD 636 pathway. miR-449a induces EndMT by inhibiting AdipoR2 and E-cadherin interaction in the lipid 637 rafts. miR-374b plays its profibrotic activity by releasing MAPK7/ERK5-mediated EndMT 638 inhibition. Finally, miR-122 activates EndMT via the neuronal PAS domain protein 3 (NPAS3). 639 Pro-fibrotic miRNAs are shown in dark, anti-fibrotic miRNAs in red. 640

641

- 642
- 643
- 644

Pro-fibrotic miRNAs in diabetic complications									
miRNAs	DN	DR	AS	DCM	Reference				
miR-21	TGFβ-SMAD				(11)				
miR-21	PTEN/Akt				(100)				
miR-21				NFkB/SMAD	(144)				
miR-451				TGFβ-SMAD	(155)				
miR-449			E-Cadherin/AdipoR2		(222)				
miR-374b			MAPK7/ERK		(223)				
miR-122			NPAS3		(226)				

Table 2. Pro-fibrotic miRNAs in diabetic complications. Table 2 summarizes the references
describing the pro-fibrotic miRNAs in diabetic complications. DN: Diabetic Nephropathy; DR:
Diabetic Retinopathy; DCM: Diabetic Cardiomyopathy; AS: Atherosclerosis.

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