

# An adaptive voltage control compensator for converters in DC microgrids under fault conditions

Meysam Yadegar<sup>a</sup>, Seyed Fariborz Zarei<sup>a,\*</sup>, Nader Meskin<sup>b</sup>, Ahmed Massoud<sup>b</sup>

<sup>a</sup> Department of Electrical and Computer Engineering, Qom University of Technology, Qom, Iran

<sup>b</sup> Department of Electrical Engineering, Qatar University, Doha, Qatar

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## ABSTRACT

This paper proposes a voltage compensator for converters in multi-converter DC microgrids (MG), which enhances the DC-MG behavior under fault conditions. Among the four existing categories in the classification of the faults, this paper focuses on those faults that affect voltage generation of converter-based distributed generation units (DGUs). Such faults conceptually occur from the terminal voltage reference to actual voltage generated by DGUs. To compensate the adverse effects of the faults, an adaptive scheme is proposed, which considers the most general case of the faults by using the time-varying multiplicative and additive fault models. The proposed scheme benefits from an integrated structure, which does not require separate fault detection, isolation, and identification blocks. As an advantage of the proposed approach, it is designed independent of the primary and secondary controllers of DGUs, and hence its performance is not affected by using different primary and secondary controllers. The effectiveness of the proposed scheme is verified under various fault conditions and uncertainty in the system parameters. Using the proposed scheme, the results show that the performance of system under the fault condition is similar to that of the normal operation.

## 1. Introduction

The use of various DC electronic loads, emerging DC energy sources, incorporation of energy storage systems into the electrical grid in one side, and various operational advantageous of using the DC electricity are the motivations toward implementing the DC microgrids. DC microgrid is a power cluster of DC loads, DC sources, and energy storage devices. All these elements have power electronic converter-based interfaces, which provide high efficiency and controllability.

In DC microgrid, the converter-interfaced distributed generation units (DGUs) have critical role, which control/regulate the voltage of the DC microgrid by their various control layers. In this respect, different hierarchical control levels are employed to define the different necessary Refs. [1,2]. The first inner level consists of voltage and current loops, which provides output voltage and current controllability for each DC source in the microgrid. The second control layer determines the power sharing among each DC sources and it can be divided into three schemes of (i) decentralized secondary control, (ii) distributed secondary control, and (iii) centralized secondary control [3].

Despite different advantages of DGU control system, they may face by different operational challenges, and accordingly, proper solutions have to be developed. The operation under fault condition is one of the

most important issues, which is the main focus of this paper. The term *fault* can be used for description of various abnormal conditions, and variety of fault types are introduced till today [4–6]. In recent years, development of fault diagnosis and the associated “fault tolerant control” schemes have attracted researcher’s attention in different fields [7–9].

By analyzing the faults in the power electronic converter-based systems, the different fault conditions and the associated fault tolerant control schemes are summarized in Table 1. In this table, we have classified the probable faults into four categories of “A”-to-“D” with fault cases of “ $F_1$ ”-to-“ $F_7$ ”. It is worth to note that only “non-severe” faults are considered, as the “severe” faults are removed by protection systems, and control system is not involved for compensation of the “severe” faults. For more clarification, Fig. 1 shows the schematic diagram of a DC microgrid with multiple DGUs, focusing on the various probable faults in the different elements of the system. As shown in Fig. 1(a), the physical location of faults is indicated by “ $F_1$ ” to “ $F_7$ ” in the power circuit diagram representation form. Furthermore, Fig. 1(b) shows the faults location in the control diagram representation form. As shown in this figure, the faults “ $F_1$ ” to “ $F_7$ ” are classified into four categories of A-to-D considering their impact on the control system. In other words, the categories “A”-to-“D” show that how each fault affects the control system of the DC-microgrid. More details are provided in the following subsections.

\* Corresponding author.

E-mail address: [zarei@qut.ac.ir](mailto:zarei@qut.ac.ir) (S.F. Zarei).

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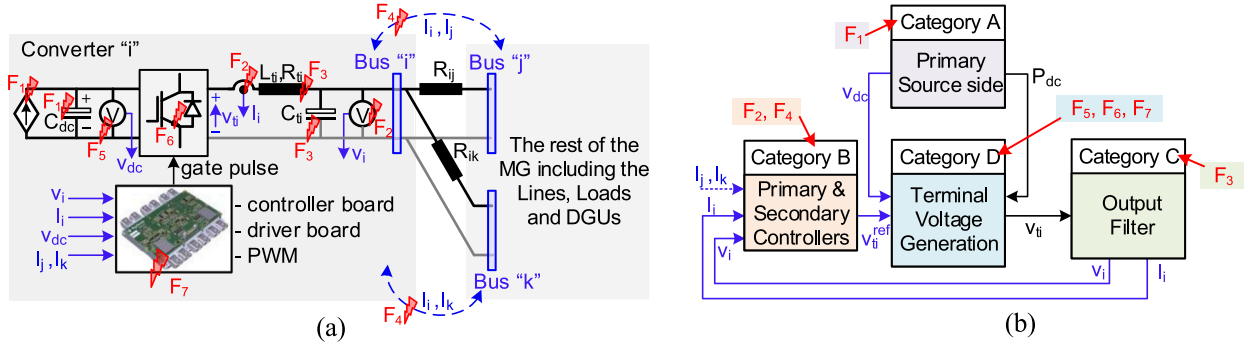


Fig. 1. Schematic diagram of a DC microgrid with multiple DGUs, focusing on the various probable faults in the different elements of a DGU, (a) faults  $F_1$  to  $F_7$  location in power circuit diagram representation form, (b) faults  $F_1$  to  $F_7$  location in control diagram representation form.

Table 1  
The classification of faults and the relevant works in the literature.

Category	Fault	Solution	References
A	F1	Compensation by control action	[10–13]
B	F2	Fault tolerant control scheme	[14–21]
	F4	Fault tolerant control scheme	[22–26]
C	F3	Control compensation	[27,28]
	F5		
D	F6	Fault tolerant control scheme	This paper
	F7		

### 1.1. Category A: Primary source side

This category considers variety of the faults which affect the primary source-side of DGU. Such faults include faults on DC-bus, the DC-link capacitor, and the primary DC-source, modeled by  $F_1$  in Fig. 1(a).

The severe  $F_1$  faults are mainly caused either by physical damages or by electrical faults of short circuits and over-voltages. Such faults are destructive and should be suppressed quickly by proper protective devices. Despite the severe faults, non-severe faults can be compensated using proper control actions. An FTC based on fuzzy logic and model predictive control for compensation of power-loss malfunction in the solar photovoltaic system (PVS) [10], the shading fault detection in PVS [11], a hierarchical-based FTC for sub-module (SM) level/phase level/bus level fault detection [12], a data-driven-based method for detection of hot spots in photovoltaic systems [13] are some examples of studies in this topic.

### 1.2. Category B: Primary and secondary controllers

This category includes all the faults which affect the functionality of the primary and secondary controllers in DGU. Generally, the root cause of such faults are external with respect to the primary and secondary controllers. The erroneous/inaccurate measurement of the sensors ( $F_2$  in Fig. 1(a)) and the inaccurate/false data obtained by communication network ( $F_4$  in Fig. 1(a)) are the main reasons for such faults. Couple of research works are available in this subject area, which are reviewed in the following.

Severe sensor faults are mainly caused by broken connectors and aging of electronic circuit components [29]. Such faults require replacement of the sensors, which demands the converter trip. Under severe communication network fault conditions, the data packets are lost, and the control system has to switch to the local control mode operation [30].

Compared to severe faults, the non-severe faults can be compensated by proper FTC schemes. Various FTC-based approaches are available in the literature which consider sensor and communication faults. Distributed observer-based  $H_\infty$  FTC [14], sliding mode observer-based

FTC [15], FTC based on sliding mode control [16], FTC based on virtual sensor and Takagi–Sugeno (TS) model [17], FTC based on Back-stepping control [18], adaptive FTC [19], FTC based on the optimal PI controller [20], and FTC based on FDI [21] are examples of FTC-based studies against sensor faults. Furthermore, FTC based on sliding mode control [22], FTC based on the input–output feedback linearization technique [23], and FTC based on online recursive reduced-order estimation [24], decentralized consensus decision-making-based method [25], voltage distributed cooperative control scheme considering communication security [26], are some FTC schemes against communication faults.

### 1.3. Category C: Output filter

This category considers the faults (affecting the output filter inductor and capacitor as shown by  $F_3$  in Fig. 1(a)). This fault also can be either severe or non-severe. The severe fault condition occurs due to insulation failure and physical damages on devices. The copper wire insulation deficiency, turn-to-grounded framework fault, and turn-to-turn faults are samples of severe faults on inductor. The capacitor failure and its fuse burnout are the examples of severe fault on filter capacitor. Such faults should be removed by the protective devices, and the converter trip is required in response to the fault. The values of filter inductance and capacitance are changed as time goes by during the operation [27]. Such drifts on the values can be considered as non-severe faults on output filters. Under non-severe fault conditions, controllers should detect/estimate/compensate the changes [28].

### 1.4. Category D: Terminal voltage generation

This category collects all the faults that affect the terminal voltage generation of DGU. More clearly, the faults occurring from the terminal voltage reference obtained by controllers to the actual terminal voltages generated by the DGU belong to this category. Such faults include the faults at DC-link voltage sensor, the power electronic switches, the controller board, the driver board, pulse-width modulation (PWM), and etc. These faults, as schematically shown by  $F_5$ ,  $F_6$  and  $F_7$  in Fig. 1(a), can be either severe or non-severe faults. Under severe fault condition, the fault should be detected and proper action is required. Various studies are done in this topic such as SC detection [31], SC and open-circuit (OS) monitoring [32], reliability enhancement under SC condition [33], aging monitoring based on the SC current [34], and OC failure detection [35], and etc.

Non-severe faults of this category can be caused by the nuisance operation of DC-link voltage sensor, the errors on analog circuits of pulse transmission, mal-operation or nuisance operation of driver board, mal-operation of IGBTs and Diodes due to increase of parasitic characteristics by aging, and etc. Such faults do not require any immediate isolation actions, and proper fault tolerant control schemes may help to enhance the functionality of the DGU. To the best of the authors

knowledge, the development of FTC scheme for non-severe faults of Category D has not been considered in the previous works in the literature.

### 1.5. Key contributions of the paper

With refer to the existing studies summarized in Table 1, and to the best of the authors' knowledges, the non-severe faults of Category D have not been studied yet in the literature. This fault category includes the fault locations of " $F_5$ ", " $F_6$ ", and " $F_7$ ", which directly affect the generated voltages of the power converters. To fill this gap, this paper focuses on this category of fault, and accordingly, an adaptive FTC is proposed.

On the other hand, the proposed FTC has some main distinctions with respect to other FTC methods for other classes of faults reported in the literature. For example, the approaches in [15–17,22–24] need a separate fault detection, isolation and identification units (FDI) that give the exact information about the place and the severity of the fault. Therefore, fault estimation error can affect the performance of FTC, specially in non-severe faults, while the proposed FTC does not require it. Furthermore, the design procedure of FTC in [18–20,36,37], for example, is based on the nominal primary/secondary controller. Therefore, if the nominal primary/secondary controller is changed, then FTC should be redesigned. While, the controller design steps of the proposed FTC is independent of the nominal primary/secondary controller.

The followings are the main contributions and goals of the study:

1. The proposed adaptive FTC scheme is dedicated to operate against the non-severe faults of Category D.
2. Faults in Category D are modeled by the most general format of time-varying multiplicative and additive factors.
3. The effective compensation against the Category D faults is the main goal/achievement of the proposed FTC scheme, and the results prove that the proposed scheme provides normal operating condition in the presence of faults.
4. Using the proposed FTC scheme, it is not required to modify the prevalent control structure of DC-microgrid and also the primary/secondary controllers of the DGUs. In other words, the proposed controller is designed independent from internal primary/secondary controller of DGUs.
5. A separate fault detection, isolation and identification units are not used in the proposed FTC scheme.

It is noted that the other type of faults such as sensor faults are out of scope of this paper.

The structure of the paper is organized as follows. Section 2 provides the necessary background and elaborates the problem formulation. The controller design steps of the proposed adaptive FTC scheme is explained in Section 3. To illustrate the effectiveness of the proposed FTC, various scenarios and obtained results are given in Section 4. Section 5 provides the conclusion. Finally, Some preliminaries and the proof of a theorem and a proposition presented in the paper are provided in Appendices B–C.

## 2. Background and problem formulation

### 2.1. Notations

In this paper  $\text{tr}(\cdot)$  denotes the matrix trace operator,  $\text{diag}(\cdot)$  denotes the diagonal matrix,  $\lambda_{\min}(\cdot)$  ( $\lambda_{\max}(\cdot)$ ) the minimum (maximum) eigenvalue,  $\|\cdot\|_2$  denotes the Euclidean norm, and  $\|\cdot\|_F$  denotes Frobenius matrix norm. Furthermore,  $\text{Var}(x)$  denotes the variance of vector  $x$ , and  $\text{MaxDev}(\cdot)$  denotes the maximum deviation of vector  $x$  from its normal value.

### 2.2. System description

The power circuit and the control diagram of a DC microgrid focusing on the structure of a DGU is shown in Fig. 2, where  $I_{Li}(t)$ ,  $I_i(t)$ , and  $V_i(t)$ , denote the load current, the output current, and the load voltage, respectively, and  $C_{ii}$ ,  $L_{ii}$ ,  $R_{ii}$ ,  $R_{ij}$  are the filter capacitor, filter inductance, filter resistance, and line resistance, respectively. The sensors measure  $V_{ds}$ ,  $V_i(t)$ ,  $I_i(t)$ , and  $I_{Li}(t)$ . As shown in this figure, the control structure consists of three parts of (i)- secondary control, (ii)-primary control, and (iii)- gate drive and PWM [38,39], which are not more elaborated due to space limitation.

The dynamic equations of each DGU is represented as [40]:

$$\begin{aligned} \frac{dV_i(t)}{dt} &= \frac{1}{C_{ii}}(I_i(t) - I_{Li}(t)) + \sum_{j \in \mathcal{N}_i} \frac{1}{C_{ii}R_{ij}}(V_j(t) - V_i(t)), \\ \frac{dI_i(t)}{dt} &= -\frac{1}{L_{ii}}V_i(t) - \frac{R_{ii}}{L_{ii}}I_i(t) + \frac{1}{L_{ii}}V_{ii}(t), \quad i = 1, \dots, N, \end{aligned} \quad (1)$$

where,  $I_i(t)$  and  $V_i(t)$  are states of the system, and  $I_{Li}(t)$  and  $V_{ii}(t)$  are inputs of the system. Furthermore,  $V_j(t)$  is the output voltage of the neighboring  $j$ th DGU,  $\mathcal{N}_i$  is the set of neighboring DGUs of the  $i$ th DGU with directly connected power line, and the resistance of the power line that connects the  $i$ th DGU to the  $j$ th DGU is denoted by  $R_{ij}$ .

Eq. (1) can be written in the state space representation as

$$\dot{x}_i(t) = A_{ii}x_i(t) + \sum_{j \in \mathcal{N}_i} A_{ij}x_j(t) + B_i u_i^c(t) + E_i d_i^d(t), \quad (2)$$

where  $x_i(t)$ ,  $u_i^c(t)$ , and  $d_i^d(t)$  denote the local state, the control input, and the exogenous/disturbance input, respectively and defined as  $x_i(t) \triangleq [V_i(t), I_i(t)]^T$ ,  $u_i^c(t) \triangleq V_{ii}(t)$ , and  $d_i^d(t) = I_{Li}(t)$ .  $A_{ii}$  and  $A_{ij}$  denote the local state transition matrix, and the interconnection between subsystems  $i$  and  $j$ , respectively. Furthermore,  $B_i$  and  $E_i$  denote the primary and secondary transition matrices, respectively. These matrices are defined as follows [40]:

$$\begin{aligned} A_{ii} &= \begin{bmatrix} \sum_{j \in \mathcal{N}_i} -\frac{1}{R_{ij}C_{ii}} & \frac{1}{C_{ii}} \\ -\frac{1}{L_{ii}} & -\frac{R_{ii}}{L_{ii}} \end{bmatrix}, \quad A_{ij} = \begin{bmatrix} \frac{1}{R_{ij}C_{ii}} & 0 \\ 0 & 0 \end{bmatrix}, \\ B_i &= \begin{bmatrix} 0 \\ \frac{1}{L_{ii}} \end{bmatrix}, \quad E_i = \begin{bmatrix} -\frac{1}{C_{ii}} \\ 0 \end{bmatrix}, \end{aligned}$$

The following primary controller, a decentralized state feedback controller with integral action, is considered to regulate the voltage at each DGU and guarantee the stability of the overall microgrid [40]:

$$\begin{aligned} \dot{\zeta}_i(t) &= V_{\text{ref},i}(t) - V_i(t) + \alpha_i(t), \\ u_i^c(t) &= K_{i1}x_i(t) + K_{i2}\zeta_i(t), \end{aligned} \quad (3)$$

where  $\zeta_i(t)$  is the integrator state,  $V_{\text{ref},i}(t)$  is the voltage reference,  $K_{i1} \in \mathbb{R}^{1 \times 2}$  and  $K_{i2} \in \mathbb{R}$  are constant primary controller gains. The consensus protocol based secondary control  $\alpha_i(t) \in \mathbb{R}$  for achieving proportional current sharing is defined as [41]:

$$\dot{\alpha}_i(t) = -k_{L,i} \sum_{j \in \mathcal{N}_i} a_{ij} \left( \frac{I_i(t)}{I_i^s} - \frac{I_j(t)}{I_j^s} \right), \quad (4)$$

where  $k_{L,i}$  is a constant to be designed, and  $I_i^s$  is constant scaling factor proportional to the DGU generation capacity. Fig. 2 shows this control structure.

It is assumed that the closed-loop microgrid has the desired performance with primary and secondary controllers given by (3) and (4).

**Remark 1.** It should be noted that the proposed FTC approach is independent of the primary and secondary controllers and any other hierarchical controller approach can be used.

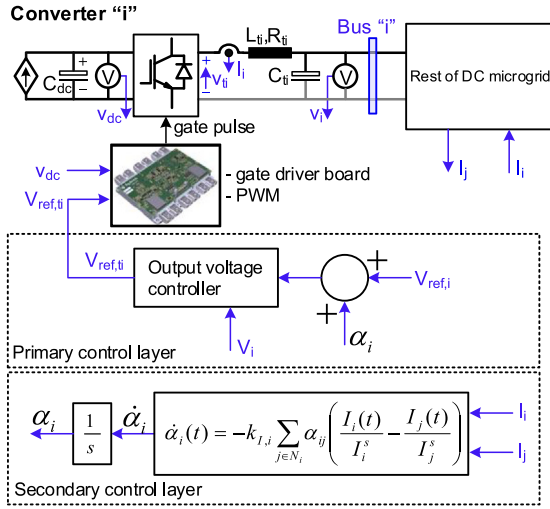


Fig. 2. A control structure and power circuit diagram of a DGU in a DC-microgrid.

### 2.3. Fault description

Different type of faults are introduced in Section 1. This paper focuses on the non-severe faults of Category D, which classifies the faults occurring from  $V_{ref,ti}$  to  $V_i$  in Fig. 2. In practice, such faults include “errors/mismatches in the DC-link voltage sensor”, “errors/problems in analog circuits”, “mis-operation of driver board”, “errors/aging in switching elements”, and etc.

From FTC design perspective, the most general form of fault modeling is achieved by using multiplicative and additive time-varying components. In that sense, the following equation is utilized in this paper for modeling of non-severe faults of Category D in Section 1.

$$V_{ii}(t) = \theta_i(t)(V_{ref,ti}(t) + f_i(t)), \quad (5)$$

where,  $\theta_i(t)$  representing the multiplicative part of fault, is unknown time-varying scalar such that  $0 < \theta_{i,\min} \leq \theta_i(t) \leq 1$  and  $|\dot{\theta}_i(t)| \leq \dot{\theta}_{i,\max}$ , where  $\theta_{i,\min}$  and  $\dot{\theta}_{i,\max}$ , are known positive scalars. Furthermore,  $f_i(t)$  is the time-varying additive part of fault in the  $i$ th DGU which occurs at  $t_i^f > 0$ , where  $f_i(t) = 0$ , for  $t < t_i^f$ , and  $|f_i(t)| \leq f_{i,\max}$ , and  $|\dot{f}_i(t)| \leq \dot{f}_{i,\max}$ , with known constants  $f_{i,\max}$  and  $\dot{f}_{i,\max}$ .

### 2.4. Problem formulation

Based on (2) and (5), the dynamics of the  $i$ th faulty DGU is formulated as follows

$$\begin{aligned} \dot{x}_i^f(t) = & A_{ii}x_i^f(t) + \sum_{j \in \mathcal{N}_i} A_{ij}x_j^f(t) + B_i^f(t)(u_i^f(t) + f_i(t)) \\ & + E_id_i^f(t), \quad i = 1, 2, \dots, N, \end{aligned} \quad (6)$$

where  $x_i^f(t), x_j^f(t) \in \mathbb{R}^2$  denote the state of the  $i$ th and  $j$ th DGUs in the presence of fault,  $u_i^f(t) \in \mathbb{R}$  is the output of the  $i$ th DGU adaptive FTC module, and  $B_i^f(t) = B_i\theta_i(t)$ . As shown in (6), additive faults and disturbances have a similar role in the system's performance from a modeling perspective. However, multiplicative faults have a different role and may even lead to instability. It is worth noting that disturbances have no effect on the stability of the system, while faults, especially multiplicative faults, can lead to instability.

In our approach, an adaptive FTC module based on the idea of fault-hiding approach [42–44], is located between the faulty DGU and the nominal primary controller to hide the faults from the nominal primary controller after its occurrence.  $u_i^c(t)$  and  $x_i^f(t)$  are inputs of the FTC module and  $\tilde{x}_i(t)$  and  $u_i^f(t)$  are its outputs.

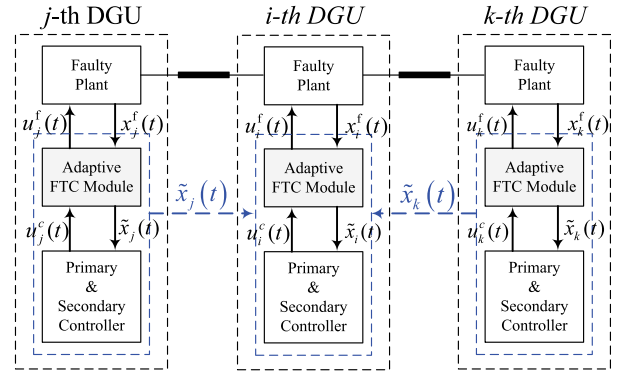


Fig. 3. The physical and communication connection of the  $i$ th DGU microgrid with its neighbors (the  $j$ th and  $k$ th DGUs).

The reference model of healthy DGU used in the proposed FTC module is:

$$\dot{\tilde{x}}_i(t) = A_{ii}\tilde{x}_i(t) + \sum_{j \in \mathcal{N}_i} A_{ij}\tilde{x}_j(t) + B_iu_i^c(t) + E_id_i^f(t), \quad (7)$$

where  $\tilde{x}_i(t) \in \mathbb{R}^2$  denotes the reference model state. Based on Fig. 3, the  $i$ th DGU,  $i = 1, \dots, N$ , receives states of the reference model of neighbors ( $\tilde{x}_j(t)$ ,  $j \in \mathcal{N}_i$ ) instead of their actual states in the proposed FTC, and they are used in the primary/secondary controller and the FTC module of the  $i$ th DGU to construct  $u_i^c(t)$  and  $u_i^f(t)$ . The state  $\tilde{x}_i(t)$  is bounded and has the desired behavior, since  $\tilde{x}_i(t)$ ,  $i = 1, \dots, N$ , is the state of  $i$ th healthy DGU.

The recovery error signal for each DGU is defined as

$$x_i^d(t) \triangleq x_i^f(t) - \tilde{x}_i(t). \quad (8)$$

Based on this definition,  $x_i^f(t)$  is bounded if  $x_i^d(t)$  and  $x_j^d(t)$ ,  $j \in \mathcal{N}_i$ , is bounded.

**Definition 1.** The faulty microgrid fully recovers its performance, if

$$\lim_{t \rightarrow \infty} x^d(t) = 0, \quad (9)$$

where

$$x^d(t) \triangleq [x_1^d(t), x_2^d(t), \dots, x_N^d(t)]^T. \quad (10)$$

In this paper, an adaptive FTC module is designed to recover the performance of microgrid after the occurrence faults.

### 3. Adaptive FTC design

In order to design an adaptive FTC module, we need to represent the dynamics of the reference model given by (7) in the controller canonical representation as:

$$\dot{\hat{x}}_i(t) = \hat{A}_{ii}\hat{x}_i(t) + \sum_{j \in \mathcal{N}_i} \hat{A}_{ij}\hat{x}_j(t) + \hat{B}_iu_i^c(t) + \hat{E}_id_i^f(t), \quad (11)$$

where  $\hat{x}_i(t) \triangleq T_i\tilde{x}_i(t)$ ,  $\hat{x}_j \triangleq T_j\tilde{x}_j$ , and  $T_i \triangleq [q_i^T, (q_iA_{ii})^T]^T$ , where  $q_i$  is the last row of  $C_i^{-1} \triangleq [B_i, A_{ii}B_i]^{-1}$ . Furthermore,  $\hat{A}_{ij} \triangleq T_iA_{ij}T_j^{-1}$ ,  $\hat{E}_i = T_iE_i$ ,

$$\hat{A}_{ii} \triangleq T_iA_{ii}T_i^{-1} = \begin{bmatrix} 0 & 1 \\ -d_0^i & -d_1^i \end{bmatrix}, \quad (12)$$

$$\hat{B}_i \triangleq [0 \quad 1]^T, \quad (13)$$

with  $d_0^i$  and  $d_1^i$  are scalars. Similarly, the dynamics given by (6) can be represented as

$$\begin{aligned} \dot{\hat{x}}_i^f(t) = & \hat{A}_{ii}\hat{x}_i^f(t) + \sum_{j \in \mathcal{N}_i} \hat{A}_{ij}\hat{x}_j^f(t) + \hat{B}_i^f(t)(u_i^f(t) + f_i(t)) \\ & + \hat{E}_i^f d_i^f(t), \quad \hat{x}_i^f(0) = \hat{x}_{i0}^f, \quad i = 1, 2, \dots, N, \end{aligned} \quad (14)$$

where  $\hat{x}_i^f(t) \triangleq T_i x_i^f(t)$ ,  $\hat{x}_j^f(t) \triangleq T_j x_j^f(t)$ ,  $\hat{x}_{i0}^f \triangleq T_i x_{i0}^f$  and  $\hat{B}_i^f(t) \triangleq T_i B_i^f(t)$ .

**Theorem 1.** Consider the dynamics of closed-loop system described by (3), (4) and (6) with the FTC module output:

$$u_i^f(t) = M_i(t)x_i^d(t) + n_i(t)u_i^c(t) - \hat{f}_i(t), \quad (15)$$

and update laws

$$\dot{M}_i(t) = \text{Proj}_m [M_i(t), -B_i^T P_i x_i^d(t) x_i^{d^T}(t)] \Gamma_{M_i}, \quad (16)$$

$$\dot{n}_i(t) = \gamma_{n_i} \text{Proj} [n_i(t), -B_i^T P_i x_i^d(t) u_i^c(t)], \quad (17)$$

$$\dot{\hat{f}}_i(t) = \gamma_{f_i} \text{Proj} [\hat{f}_i(t), B_i^T P_i x_i^d(t)], \quad (18)$$

with  $M_i(0) = M_{i0}$ ,  $n_i(0) = n_{0i}$ ,  $\hat{f}_i(0) = \hat{f}_{i0}$ ,  $\Gamma_{M_i} \in \mathbb{R}^{2 \times 2}$  is positive definite gain matrix,  $\gamma_{n_i}$  and  $\gamma_{f_i}$  are positive constants,  $P_i \triangleq T_i^T \hat{P}_i T_i$ . Then, there exist bounded scalars  $\mathcal{T}$ ,  $\tilde{M}_{i,\max}$ ,  $\tilde{f}_{i,\max}$ ,  $\tilde{n}_{i,\max}$ ,  $\tilde{n}_{i,\max}^*$ ,  $\tilde{n}_{i,\max}^*$ ,  $\tilde{n}_{i,\max}^*$ ,  $\tilde{n}_{i,\max}^*$ ,  $\tilde{f}_{i,\max}$ , and  $\tilde{M}_{i,\max}^*$ ,  $i = 1, 2, \dots, N$ , such that  $x^d(t)$  is bounded with ultimate bound  $\|x^d(t)\|_2 < \varepsilon$ ,  $t \geq \mathcal{T}$ , where

$$\varepsilon = \left[ \frac{\|T^{-1}\|_2^2}{\lambda_{\min}(\hat{P})} \left( \lambda_{\max}(\hat{P})v^2 + \sum_{i \in N} \tilde{n}_{i,\max}^* [\lambda_{\max}(\Gamma_{M_i}^{-1}) \tilde{M}_{i,\max}^2 + \gamma_{n_i}^{-1} \tilde{n}_{i,\max}^2 + \gamma_{f_i}^{-1} \tilde{f}_{i,\max}^2] \right) \right]^{\frac{1}{2}}, \quad (19)$$

$$v \triangleq \left[ \frac{1}{\lambda_{\min}(Q)} \sum_{i \in N} \left[ \tilde{n}_{i,\max}^* \left( \gamma_{n_i}^{-1} \tilde{n}_{i,\max}^2 + \lambda_{\max}(\Gamma_{M_i}^{-1}) \tilde{M}_{i,\max}^2 + \gamma_{f_i}^{-1} \tilde{f}_{i,\max}^2 \right) + \tilde{n}_{i,\max}^* \left( 2\gamma_{n_i}^{-1} \tilde{n}_{i,\max} \tilde{n}_{i,\max}^* + 2\lambda_{\max}(\Gamma_{M_i}^{-1}) \tilde{M}_{i,\max} \tilde{M}_{i,\max}^* + 2\gamma_{f_i}^{-1} \tilde{f}_{i,\max} \tilde{f}_{i,\max}^* \right) \right] \right]^{\frac{1}{2}}$$

if there exist matrices  $A_i^d \in \mathbb{R}^{2 \times 2}$ ,  $i = 1, \dots, N$ , with the similar structure given in (12) and positive definite matrices  $\hat{P}_i \in \mathbb{R}^{2 \times 2}$ ,  $i = 1, \dots, N$ , such that

$$-Q \triangleq \begin{bmatrix} Q_{11} & Q_{12} + Q_{21}^T & \cdots & Q_{1N} + Q_{N1}^T \\ Q_{21} + Q_{12}^T & Q_{22} & \cdots & Q_{2N} + Q_{N2}^T \\ \vdots & \vdots & \ddots & \vdots \\ Q_{N1} + Q_{N1}^T & Q_{N2} + Q_{2N}^T & \cdots & Q_{NN} \end{bmatrix} < 0, \quad (20)$$

where  $T \triangleq \text{diag}(T_1, \dots, T_N)$ ,  $\hat{P} \triangleq \text{diag}(\hat{P}_1, \dots, \hat{P}_N)$  and

$$Q_{ii} \triangleq \hat{P}_i A_i^d + A_i^{dT} \hat{P}_i, \quad i = 1, \dots, N$$

$$Q_{ij} \triangleq \begin{cases} \hat{P}_i \hat{A}_{ij}, & j \in \mathcal{N}_i, \\ 0, & j \notin \mathcal{N}_i, \end{cases} \quad i, j = 1, \dots, N$$

Moreover, for constant additive and loss of effectiveness faults, the performance of the microgrid is fully recovered ( $x_i^d(t)$  tends to zero).

**Proof.** See Appendix B.

As can be seen from (15) to (18), the parameters of compensator is independent from the parameters of the primary and secondary controllers. Therefore, changing the primary and secondary controllers do not affect the parameters of compensator. This feature is one of the main key factors of the proposed approach.

Next proposition shows how one can solve the matrix inequality given by (20).

**Proposition 1.** The matrix inequality given by (20) with setting  $\hat{P}_i = \bar{P}$ ,  $i = 1, \dots, N$ , is satisfied for  $A_i^d$  with the similar structure given in (12) and a positive definite matrix  $\bar{P} \in \mathbb{R}^{2 \times 2}$  if and only if there exist vectors  $W_i \in \mathbb{R}^2$ ,  $i = 1, \dots, N$ , and a positive definite matrix  $Z \in \mathbb{R}^{2 \times 2}$ , such that the following LMI is satisfied:

$$\begin{bmatrix} \Xi_{11} & \Xi_{12} + \Xi_{21}^T & \Xi_{13} + \Xi_{31}^T & \cdots & \Xi_{1N} + \Xi_{N1}^T \\ * & \Xi_{22} & \Xi_{23} + \Xi_{32}^T & \cdots & \Xi_{2N} + \Xi_{N2}^T \\ * & * & \Xi_{33} & \cdots & \Xi_{3N} + \Xi_{N3}^T \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ * & * & * & \cdots & \Xi_{NN} \end{bmatrix} < 0, \quad (21)$$

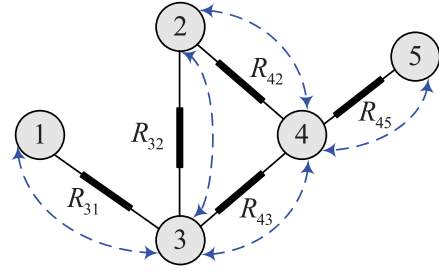


Fig. 4. Interconnection of 5 DGUs in a DC microgrid network.

**Table 2**  
The output filter values of DGUs.

DGU	Capacitance $C_i$ (mF)	Resistance $R_i$ ( $\Omega$ )	Inductance $L_i$ (mH)
DGU 1	2.2	0.2	1.8
DGU 2	1.9	0.3	2.0
DGU 3	1.7	0.1	2.2
DGU 4	2.5	0.5	3.0
DGU 5	2.0	0.4	1.3

**Table 3**  
The interconnecting line parameters.

Connected DGUs	Inductance $L_{ij}$ ( $\mu\text{H}$ )	Resistance $R_{ij}$ ( $\Omega$ )
(1,3)	2.1	0.07
(2,3)	2.3	0.04
(2,4)	1.8	0.08
(3,4)	1	0.07
(4,5)	2	0.05

where  $Z \triangleq \bar{P}^{-1}$ ,  $\Xi_{ii} \triangleq A_0 Z + Z A_0^T - B_0 W_i^T - W_i B_0^T$ ,  $i = 1, \dots, N$ ,

$$\Xi_{ij} \triangleq \begin{cases} \hat{A}_{ij} Z, & j \in \mathcal{N}_i, \\ 0, & j \notin \mathcal{N}_i, \end{cases} \quad i, j = 1, \dots, N,$$

and

$$A_0 \triangleq \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \quad B_0 \triangleq \begin{bmatrix} 0 \\ 1 \end{bmatrix}. \quad (22)$$

**Proof.** See Appendix C.

**Remark 2.**  $\Gamma_{M_i}$ ,  $\Gamma_{N_i}$  and  $\gamma_{f_i}$  are design parameters and they are chosen based on the desired performance of the system and by trial and error. One can make the ultimate bound given in (19) arbitrarily small if  $\lambda_{\min}(\Gamma_{M_i})$ ,  $\lambda_{\min}(\Gamma_{N_i})$  and  $\gamma_{f_i}$  are large enough. It should be noted that the very large value selection of these parameters causes the large value of the control input and even some computational issues.

#### 4. Simulation results

Fig. 4 shows the studied multi-converter DC microgrid test system with a complex mesh structure. To control the DGUs, a consensus-based secondary controller is used in this paper, which contains an undirected communication graph represented by dashed lines. The implemented primary and secondary controllers are given by (3) and (4) with controller gains obtained from [45]. The output filter values and the interconnecting lines parameters of DGUs are shown in Tables 2 and 3, respectively. The nominal operating point voltage and current values of DGUs are  $\bar{V}_{\text{ref}} = [40, 50, 48, 42, 46]^T$  V and  $\bar{I}_{\text{ref}} = [20, 80, 40, 80, 20]^T$  A, respectively.

To design the proposed FTC modules of (15)–(18), the matrix  $P_i$  is obtained according to Proposition 1. Design parameters are set at  $\Gamma_{M_i} = 100000I_2$ ,  $\gamma_{n_i} = 50000$ , and  $\gamma_{f_i} = 5000$ ,  $i = 1, \dots, 5$ .

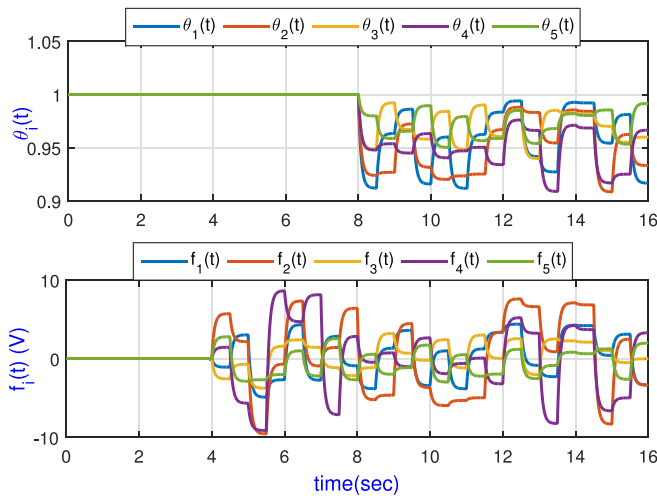


Fig. 5. The multiplicative and additive component of considered fault condition of category-E with random variation, (top) multiplicative component of  $\theta_i(t)$ , (bottom) additive component of  $f_i(t)$ .

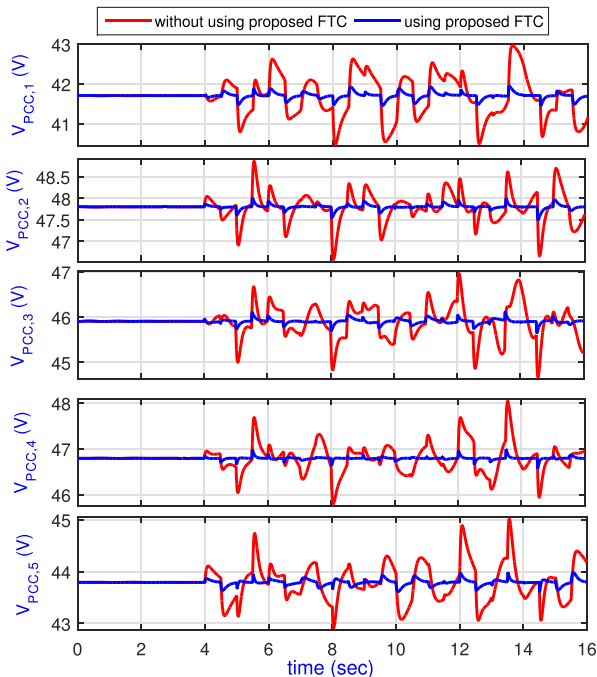


Fig. 6. The output voltages of DGUs under non-severe fault condition of Category E with/without using the proposed FTC.

4.1. Case study 1: Fault condition scenarios

To apply the non-severe fault condition of Category D, the general fault format of (5) is applied to the terminal voltage references generated by the controller. Fig. 5 shows the parameters of the applied faults  $\theta_i(t)$  and  $f_i(t)$ ,  $i = 1, \dots, 5$ , generated by random function to show the random and unknown nature of the studied faults. The additive  $f_i(t)$  and the multiplicative  $\theta_i(t)$  parts of the fault are applied at  $t = 4.0$  sec. and  $t = 8.0$  sec., respectively. The amplitude of the fault parameters are chosen such that the resulted faults do not saturate/disable the controllers, otherwise the fault is severe fault, and the protection system should be activated. The studied condition is the worst possible case of non-severe fault of Category D.

Table 4

Statistical parameters of the performance of DGUs without using the proposed method.

	DGU1	DGU2	DGU3	DGU4	DGU5	Max. value
Var( $V_i(t)$ ) in [V]	0.24	0.09	0.10	0.08	0.11	0.24
MaxDev( $V_i(t)$ ) in [V]	1.3	1.1	1	1.2	1.3	1.3
Var( $I_i(t)$ ) in [A]	19	79	129	75	22	129
MaxDev( $V_i(t)$ ) in [A]	13	29	41	24	10	41

Table 5

Statistical parameters of the performance of DGUs with using the proposed method.

	DGU1	DGU2	DGU3	DGU4	DGU5	Max. value
Var( $V_i(t)$ ) in [V]	0.0051	0.0022	0.0020	0.0007	0.0026	0.0051
MaxDev( $V_i(t)$ ) in [V]	0.24	0.30	0.22	0.20	0.28	0.28
Var( $I_i(t)$ ) in [A]	0.34	1.40	1.57	0.94	0.75	1.57
MaxDev( $I_i(t)$ ) in [A]	1.53	4.32	4.36	3.26	2.64	4.36

The output voltages and currents of DGUs are depicted in Figs. 6 and 7, respectively. In these figures, the conventional controllers of the DGUs are implemented with/without proposed FTC scheme. As shown in the figures, the deviation of the voltage waveforms from nominal values is negligible using the proposed FTC method. Also, the deviation is at most 2.5% for the cases without using the proposed FTC, which is still acceptable. Statistical parameters of the performance of DGUs without and with using the proposed method such as the variance of the voltage and the current as well as their maximum deviation from their normal values are shown in Tables 4 and 5. These results show that the considered fault is non-severe and the DC-microgrid is still stable while using the proposed FTC approach, the voltage and current deviations of DGU are significantly reduced. To more explain the results, Fig. 7 clearly reveals the impact of the faults. As shown in this figure, the current sharing among the DGUs is considerably affected by the faults, which even causes 100% deviation and oscillation in the output current of DGUs. As shown in the figure, the output current of DGU3 even approaches to zero in some instants. The results show the worst possible case of non-severe fault of Category D, in which although the DC-microgrid is stable, the current sharing is considerably destroyed by occurrence of the faults. Using the proposed FTC scheme, the results show that the current sharing is still very close to normal condition, showing the effectiveness of the proposed scheme.

4.2. Case study 2: Impact of system parameter variation

Generally, the nominal values of the electrical quantities are used in designing FTC schemes, as can be seen in (7) and (15)–(18). However, the parameters may change by time and under different operating conditions, and also, they have some uncertainties in their values. This sub-section provides a scenario to investigate the performance of the proposed FTC under parameters value changes. In this scenario, the resistance  $R_{23}$  and the inductance  $L_{13}$  are reduced by 20% from their nominal values. It is worth noting that the proposed FTC is designed based on the nominal values of the parameters. The fault conditions of the previous sub-section is applied to the studied system, and the results are given in the following. Figs. 8 and 9 show the voltage and current waveforms for three cases of (i) the conventional system without using the proposed FTC, (ii) the conventional system using the proposed FTC without any uncertainties in the parameters (FTC-E), and (iii) the conventional system using the proposed FTC in the presence of 20% uncertainty in the values of  $R_{23}$  and  $L_{13}$  (FTC-N). Furthermore, Table 6 shows the statistical parameters of the performance of DGUs in this scenario. As depicted in these figures and this table, the output of the proposed FTC with uncertainties is very similar to that of without uncertainty. This result shows that using the proposed scheme, the performance of DGUs is not seriously affected by the changes in the model parameters and uncertainty in the parameter values.

**Table 6**

Statistical parameters of the performance of DGUs with using the proposed method in case of uncertainties in the nominal values of electrical parameters.

	DGU1	DGU2	DGU3	DGU4	DGU5	Max. value
Var( $V_i(t)$ ) in [V]	0.0051	0.0022	0.0020	0.0007	0.0026	0.0051
MaxDev( $V_i(t)$ ) in [V]	0.24	0.29	0.23	0.20	0.28	0.28
Var( $I_i(t)$ ) in [A]	0.33	1.45	1.62	0.94	0.75	1.62
MaxDev( $I_i(t)$ ) in [A]	1.4	6.3	2.56	3.19	2.63	6.3

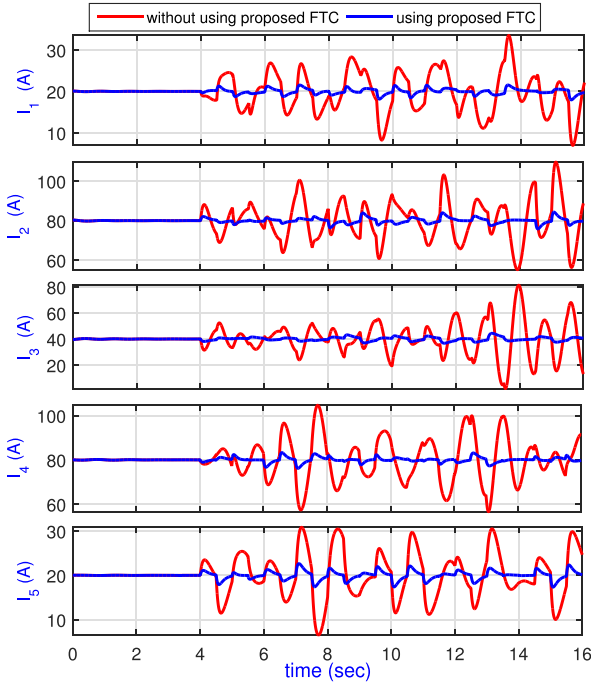


Fig. 7. The output currents of DGUs (current sharing) under non-severe fault condition of Category E with/without using the proposed FTC.

**5. Conclusion**

This paper proposes an adaptive voltage compensator scheme for converters in a multi-converter DC-microgrids (MGs). The proposed scheme compensates the impact of the faults, and the controllers of the distributed generation units (DGUs) can operate similar to the normal operation with proper performance using the proposed compensator. Due to the complex and unknown behavior of the faults, the faults are modeled by random time-varying multiplicative and additive components, which inherently considers the most general case of fault condition. To verify the effectiveness of the proposed scheme, various fault condition scenarios are analyzed in a multi-converter DC microgrid test system with a complex mesh structure. With refer to the results, under the considered worst possible fault condition, the current sharing among the DGUs is lost without using FTC method. In such cases, considerable oscillation occurs on the output current of the DGUs, which reduces the output current of some DGUs and overloads some others by even 100%. Using the proposed voltage control scheme, the current sharing is very close to the normal operating condition of the system. Also, to show the robustness against DC-microgrid parameters change, a fault condition scenario with 20% uncertainty is considered. The results shows that the proposed approach properly operate under conditions with uncertainty in the parameter values.

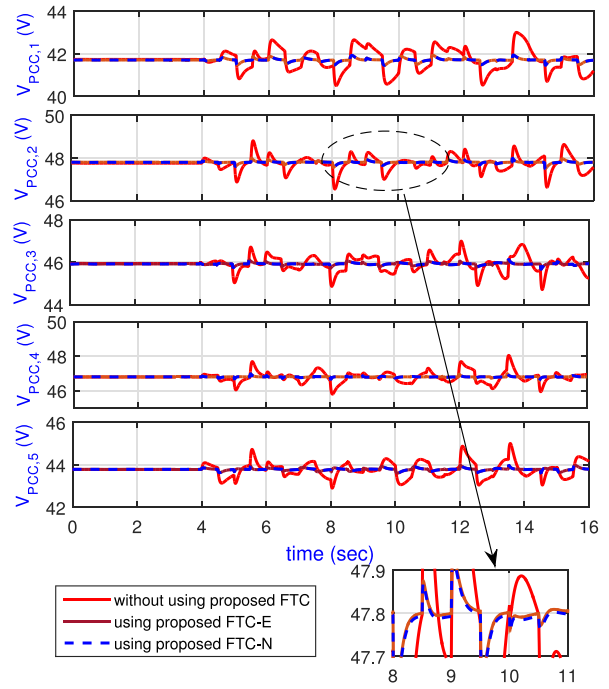


Fig. 8. The output voltages of DGUs under non-severe fault condition of Category E with three different situations, (1) without using the proposed FTC and without any uncertainty in the parameters, (2) using the proposed FTC without any uncertainty in the parameters (FTC-E), and (3) using the proposed FTC with 20% uncertainties in the parameters of the DC-microgrid (FTC-N).

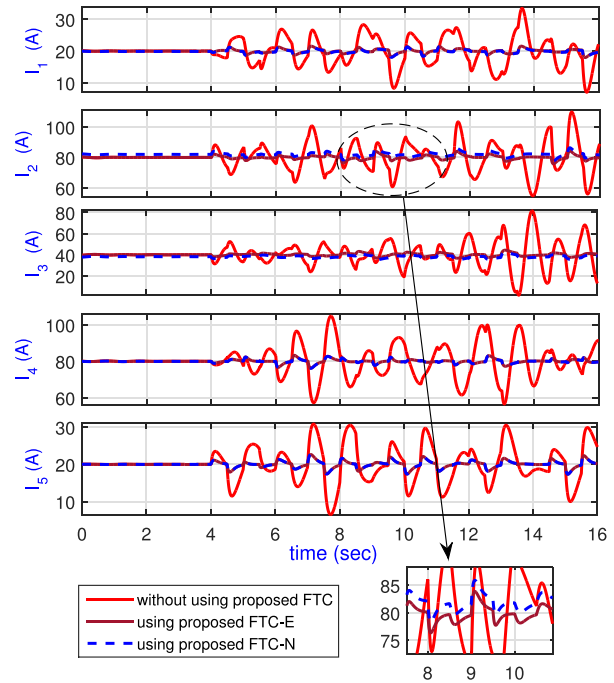


Fig. 9. The output currents of DGUs (current sharing) under non-severe fault condition of Category E with three different situations, (1) without using the proposed FTC and without any uncertainty in the parameters, (2) using the proposed FTC without any uncertainty in the parameters (FTC-E), and (3) using the proposed FTC with 20% uncertainties in the parameters of the DC-microgrid (FTC-N).

**CRedit authorship contribution statement**

**Meysam Yadegar:** Methodology, Investigation, Software, Validation, Data curation, Writing – original draft, Conceptualization, Writing – review & editing. **Seyed Fariborz Zarei:** Investigation, Validation, Data curation, Writing – original draft, Conceptualization, Writing – review & editing. **Nader Meskin:** Writing – review & editing, Conceptualization, Supervision. **Ahmed Massoud:** Writing – review & editing.

**Declaration of competing interest**

All authors declare that there are no known conflicts of interest associated with this publication and there has been no significant financial support for this work that could have influenced its outcome.

**Data availability**

No data was used for the research described in the article.

**Appendix A. Preliminaries**

**Definition 2 ([46]).** If  $\phi : \mathbb{R}^n \rightarrow \mathbb{R}$  is a continuously differentiable convex function with  $\phi(\theta) \triangleq \frac{(\varepsilon_\theta + 1)\theta^T \theta - \theta_{\max}^2}{\varepsilon_\theta \theta_{\max}^2}$  where  $\theta_{\max} \in \mathbb{R}$  is a projection norm bound imposed on  $\theta \in \mathbb{R}^n$ , and  $\varepsilon_\theta > 0$  is projection tolerance bound, then, the projection operator  $\text{Proj} : \mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}^n$  is defined by

$\text{Proj}(\theta, y)$

$$\triangleq \begin{cases} y, & \text{if } \phi(\theta) < 0, \\ y, & \text{if } \phi(\theta) \geq 0 \text{ and } \phi'(\theta)y \leq 0, \\ y - \frac{\phi'^T(\theta)\phi'(\theta)y}{\phi'(\theta)\phi'^T(\theta)}\phi(\theta), & \text{if } \phi(\theta) \geq 0 \text{ and } \phi'(\theta)y > 0, \end{cases}$$

where  $y \in \mathbb{R}^n$  and  $\phi'(\theta) \triangleq \frac{\partial \phi(\theta)}{\partial \theta}$ .

It can be shown that the solution of  $\dot{\theta}(t) = \text{Proj}(\theta(t), y(t))$  remains in  $\Omega_1 \triangleq \{\theta \in \mathbb{R}^n : \phi(\theta) \leq 1\} = \{\theta \in \mathbb{R}^n : |\theta| \leq \theta_{\max}\}$ , for every  $y(t), t \geq 0$ , and  $\theta(0) \in \Omega_0 \triangleq \{\theta \in \mathbb{R}^n : \phi(\theta) \leq 0\} = \{\theta \in \mathbb{R}^n : |\theta| \leq \frac{\theta_{\max}}{\sqrt{1+\varepsilon_\theta}}\}$ . For details, see [47,48]. It follows from Definition 2 that [48]

$$(\theta - \theta^*)^T(\text{Proj}(\theta, y) - y) \leq 0, \quad \theta^* \in \mathbb{R}^n, \quad \phi(\theta^*) < 0. \tag{A.1}$$

The definition of the projection operator is generalized to matrices as  $\text{Proj}_m = (\text{Proj}(\text{col}_1(\Theta), \text{col}_1(Y)), \dots, \text{Proj}(\text{col}_m(\Theta), \text{col}_m(Y)))$ , where  $\Theta \in \mathbb{R}^{n \times m}$ ,  $Y \in \mathbb{R}^{n \times m}$  and  $\text{col}_i(\cdot)$  denotes the  $i$ th column operator. In this case, for a given  $\Theta^* \in \mathbb{R}^{n \times m}$ , it follows from (A.1) that [49]

$$\begin{aligned} & \text{tr}[(\Theta - \Theta^*)^T(\text{Proj}_m(\Theta, Y) - Y)] \\ &= \sum_{i=1}^m [\text{col}_i(\Theta - \Theta^*)^T(\text{Proj}(\text{col}_i(\Theta), \text{col}_i(Y)) - \text{col}_i(Y))] \leq 0. \end{aligned} \tag{A.2}$$

The following lemmas are needed in the paper.

**Lemma 1 ([50]).** Let  $P \in \mathbb{R}^{n \times n}$  be a symmetric matrix and  $Q \in \mathbb{R}^{n \times n}$  be a nonnegative-definite matrix. Then  $\lambda_{\min}(P)\text{tr}(Q) \leq \text{tr}(PQ) \leq \lambda_{\max}(P)\text{tr}(QB)$ .

**Appendix B. Proof of Theorem 1**

**Proof.** First consider the following proposition:

**Proposition 2.** There exist  $M_i^*(t) \in \mathbb{R}^{1 \times 2}$ ,  $n_i^*(t) \in \mathbb{R}^+$ , and positive constants  $M_{i,\max}$ ,  $\tilde{M}_{i,\max}$ ,  $n_{i,\min}^*$ , and  $\underline{n}_{i,\max}^*$ ,  $i = 1, \dots, N$ , such that

$$\begin{cases} \hat{B}_i^f(t)n_i^*(t) - \hat{B}_i = 0, \\ \underline{n}_{i,\min}^* \leq n_i^*(t) \leq 1, \\ |\dot{n}_i^*(t)| \leq \underline{n}_{i,\max}^*, \end{cases} \tag{B.1}$$

$$\begin{cases} \hat{A}_{ii} + \hat{B}_i^f(t)M_i^*(t)T_i^{-1} = A_i^d, \\ \|M_i^*(t)\|_F \leq M_{i,\max}, \\ \|\dot{M}_i^*(t)\|_F \leq \dot{M}_{i,\max}, \end{cases} \tag{B.2}$$

where  $A_i^d$  has similar structure given by (12) with positive scalars  $d_i^0$  and  $d_i^1$  and hence it is Hurwitz.

**Proof.** According to the structure of  $B_i^f(t)$ , we can choose  $n_i^*(t) = \theta_i^{-1}(t)$ , and consequently,  $\underline{n}_{i,\min}^* \triangleq \theta_{i,\min}$  and  $\dot{n}_{i,\max}^* \triangleq \dot{\theta}_{i,\max}$ .

Based on the structure of  $\hat{A}_{ii}$ ,  $\hat{B}_i$ , and  $A_i^d$ , there exists  $K_i \in \mathbb{R}^{1 \times 2}$  such that  $\hat{A}_{ii} + \hat{B}_i K_i = A_i^d$ . Therefore

$$\hat{A}_{ii} + \hat{B}_i^f(t)M_i^*(t)T_i^{-1} = A_i^d,$$

where  $M_i^*(t) = \theta_i^{-1}(t)K_i T_i$ . It is easy to show that  $|\dot{\theta}_i^{-1}(t)| \leq \frac{\dot{\theta}_{i,\max}}{\theta_{i,\min}}$  with  $\frac{\dot{\theta}_{i,\max}}{\theta_{i,\min}} \triangleq \dot{\theta}_{i,\max}/\theta_{i,\min}^2$ . Therefore, it follows that:  $M_{i,\max} \triangleq \|K_i\|_F \|T_i\|_F \frac{1}{\theta_{i,\min}}$  and  $\dot{M}_{i,\max} \triangleq \|K_i\|_F \|T_i\|_F \dot{\theta}_{i,\max}$  and this completes the proof.  $\square$

It follows from (11), (14) and (15) that

$$\begin{aligned} \dot{\hat{x}}_i^A(t) &= \hat{A}_{ii}\hat{x}_i^A(t) + \sum_{j \in \mathcal{N}_i} \hat{A}_{ij}\hat{x}_j^A(t) + \hat{B}_i^f(t)M_i(t)T_i^{-1}\hat{x}_i^A(t) \\ &\quad + \hat{B}_i^f(t)n_i(t)u_i^c(t) + \hat{B}_i^f(t)(f_i(t) - \tilde{f}_i(t)) - \hat{B}_i u_i^c(t) \\ &= (\hat{A}_{ii} + \hat{B}_i^f(t)M_i^*(t)T_i^{-1})\hat{x}_i^A(t) + \sum_{j \in \mathcal{N}_i} \hat{A}_{ij}\hat{x}_j^A(t) \\ &\quad + \hat{B}_i^f(t)\tilde{M}_i(t)T_i^{-1}\hat{x}_i^A(t) + \hat{B}_i^f(t)\tilde{n}_i(t)u_i^c(t) \\ &\quad - \hat{B}_i^f(t)\tilde{f}_i(t) + (\hat{B}_i^f(t)n_i^*(t) - \hat{B}_i)u_i^c(t), \end{aligned} \tag{B.3}$$

where  $\tilde{n}_i(t) \triangleq n_i(t) - n_i^*(t)$ ,  $\tilde{M}_i(t) \triangleq M_i(t) - M_i^*(t)$ , and  $\tilde{f}_i(t) \triangleq f_i(t) - \tilde{f}_i(t)$ .  $\|M_i(t)\|_F$ ,  $|n_i(t)|$ , and  $|f_i(t)|$  are bounded, since  $M_i(t)$ ,  $n_i(t)$ , and  $\tilde{f}_i(t)$  are predicated on the projection operator. Hence, there exist positive constants  $\tilde{f}_{i,\max}$ ,  $\tilde{n}_{i,\max}$ , and  $\tilde{M}_{i,\max}$  such that  $|\tilde{f}_i(t)| \leq \tilde{f}_{i,\max}$ ,  $|\tilde{n}_i(t)| \leq \tilde{n}_{i,\max}$ , and  $\|\tilde{M}_i(t)\|_F \leq \tilde{M}_{i,\max}$ .

Eq. (B.3), using Proposition 1, can be written as

$$\begin{aligned} \dot{\hat{x}}_i^A(t) &= A_i^d \hat{x}_i^A(t) + \sum_{j \in \mathcal{N}_i} \hat{A}_{ij}\hat{x}_j^A(t) + \hat{B}_i^f(t)\tilde{M}_i(t)T_i^{-1}\hat{x}_i^A(t) \\ &\quad + \hat{B}_i^f(t)\tilde{n}_i(t)u_i^c(t) - \hat{B}_i^f(t)\tilde{f}_i(t). \end{aligned} \tag{B.4}$$

We consider the Lyapunov candidate function as:

$$\begin{aligned} V(\hat{x}^A(t), \tilde{M}(t), \tilde{n}(t), \tilde{f}(t), t) \\ = \sum_{i \in \mathcal{N}} V_i(\hat{x}_i^A(t), \tilde{M}_i(t), \tilde{n}_i(t), \tilde{f}_i(t), t) \end{aligned}$$

where  $\tilde{n}(t) \triangleq [\tilde{n}_1(t), \dots, \tilde{n}_N(t)]^T$ ,  $\tilde{f}(t) \triangleq [\tilde{f}_1(t), \dots, \tilde{f}_N(t)]^T$ ,  $\tilde{M}(t) \triangleq \text{diag}(\tilde{M}_1(t), \dots, \tilde{M}_N(t))$  and

$$\begin{aligned} V_i(\hat{x}_i^A(t), \tilde{M}_i(t), \tilde{n}_i(t), \tilde{f}_i(t), t) \\ \triangleq \text{tr}\{\Gamma_{M_i}^{-1}\tilde{M}_i^T(t)n_i^{*-1}(t)\tilde{M}_i(t)\} + \hat{x}_i^{AT}(t)\hat{P}_i\hat{x}_i^A(t) \\ + \gamma_{\tilde{n}_i}^{-1}n_i^{*-1}(t)\tilde{n}_i^2(t) + \gamma_{\tilde{f}_i}^{-1}n_i^{*-1}(t)\tilde{f}_i^2(t). \end{aligned} \tag{B.5}$$

The derivative of (B.5) along the trajectories (16)–(18), (B.4) can be written as

$$\begin{aligned} \dot{V}_i(\hat{x}_i^A(t), \tilde{M}_i(t), \tilde{n}_i(t), \tilde{f}_i(t), t) \\ = \hat{x}_i^{AT}(t)[\hat{P}_i A_i^d + A_i^{dT}\hat{P}_i]\hat{x}_i^A(t) \\ + \hat{x}_i^{AT}(t) \sum_{j \in \mathcal{N}_i} \hat{A}_{ij}\hat{x}_j^A(t) + \sum_{j \in \mathcal{N}_i} \hat{x}_j^{AT}(t)\hat{A}_{ij}^T\hat{x}_i^A(t) \\ + 2\hat{x}_i^{AT}(t)\hat{P}_i\hat{B}_i^f(t)\tilde{M}_i(t)T_i^{-1}\hat{x}_i^A(t) \\ + 2\hat{x}_i^{AT}(t)\hat{P}_i\hat{B}_i^f(t)\tilde{n}_i(t)u_i^c(t) - 2\hat{x}_i^{AT}(t)\hat{P}_i\hat{B}_i^f(t)\tilde{f}_i(t) \end{aligned}$$



$$\begin{aligned}
& + 2\text{tr}\{\tilde{M}_i^T(t)n_i^{*-1}(t)\text{Proj}_m[M_i(t), -B_i^T P_i x_i^A(t)x_i^{dT}(t)]\} \\
& + 2\tilde{n}_i(t)n_i^{*-1}(t)\text{Proj}[n_i(t), -B_i^T P_i x_i^A(t)u_i^c(t)] \\
& + 2\tilde{f}_i(t)n_i^{*-1}(t)\text{Proj}[\tilde{f}_i(t), B_i^T P_i x_i^A(t)] \\
& - 2\gamma_{\tilde{f}_i}^{-1}\tilde{f}_i(t)n_i^{*-1}(t)\tilde{f}_i(t) + \gamma_{\tilde{f}_i}^{-1}\tilde{n}_i^{*-1}(t)\tilde{f}_i^2(t) \\
& - 2\text{tr}\{\Gamma_{M_i}^{-1}\tilde{M}_i^T(t)n_i^{*-1}(t)\tilde{M}_i^*(t)\} \\
& + \text{tr}\{\Gamma_{M_i}^{-1}\tilde{M}_i^T(t)n_i^{*-1}(t)\tilde{M}_i(t)\} \\
& - 2\gamma_{\tilde{n}_i}^{-1}\tilde{n}_i(t)n_i^{*-1}(t)\tilde{n}_i^*(t) + \gamma_{\tilde{n}_i}^{-1}\tilde{n}_i^{*-1}(t)\tilde{n}_i^2(t). \tag{B.6}
\end{aligned}$$

Using the fact that  $\text{tr}\{AB\} = \text{tr}\{BA\} = \text{tr}\{A^T B^T\}$ , we can conclude that

$$\begin{aligned}
& 2\hat{x}_i^{dT}(t)\hat{P}_i\hat{B}_i^f(t)\tilde{M}_i(t)T_i^{-1}\hat{x}_i^A(t) \\
& = 2\text{tr}\{\hat{x}_i^{dT}(t)\hat{P}_i\hat{B}_i^f(t)\tilde{M}_i(t)T_i^{-1}\hat{x}_i^A(t)\} \\
& = 2\text{tr}\{x_i^{dT}(t)P_iB_i^f(t)\tilde{M}_i(t)x_i^A(t)\} \\
& = 2\text{tr}\{\tilde{M}_i^T(t)B_i^{fT}(t)P_i x_i^A(t)x_i^{dT}(t)\} \tag{B.7}
\end{aligned}$$

Therefore,

$$\begin{aligned}
& 2\hat{x}_i^{dT}(t)\hat{P}_i\hat{B}_i^f(t)\tilde{M}_i(t)T_i^{-1}\hat{x}_i^A(t) \\
& + 2\text{tr}\{\tilde{M}_i^T(t)n_i^{*-1}(t)\text{Proj}_m[M_i(t), -B_i^T P_i x_i^A(t)x_i^{dT}(t)]\} \\
& = 2\text{tr}\{\tilde{M}_i^T(t)(\text{Proj}_m[M_i(t), -B_i^T P_i x_i^A(t)x_i^{dT}(t)] \\
& + B_i^{fT}(t)P_i x_i^A(t)x_i^{dT}(t))\} \tag{B.8}
\end{aligned}$$

Using similar methods used in (B.7) and (B.8), we can conclude from (B.6) that

$$\begin{aligned}
& \dot{V}_i(\hat{x}_i^A(t), \tilde{M}_i(t), \tilde{n}_i(t), \tilde{f}_i(t), t) \\
& = \hat{x}_i^{dT}(t)[\hat{P}_i A_i^d + A_i^{dT}\hat{P}_i]\hat{x}_i^A(t) \\
& + \hat{x}_i^{dT}(t)\sum_{j\in\mathcal{N}_i}\hat{A}_{ij}\hat{x}_j^A(t) + \sum_{j\in\mathcal{N}_i}\hat{x}_j^{dT}(t)\hat{A}_{ij}^T\hat{x}_i^A(t) \\
& + 2\text{tr}\{\tilde{M}_i^T(t)(\text{Proj}_m[M_i(t), -B_i^{fT}(t)P_i x_i^A(t)x_i^{dT}(t)] \\
& + B_i^{fT}(t)P_i x_i^A(t)x_i^{dT}(t))\} \\
& + 2\tilde{n}_i(t)(\text{Proj}[n_i(t), -B_i^{fT}(t)P_i x_i^A(t)u_i^c(t)] \\
& + B_i^{fT}(t)P_i x_i^A(t)u_i^c(t)) \\
& + 2\tilde{f}_i(t)(\text{Proj}[\tilde{f}_i(t), B_i^{fT}(t)P_i x_i^A(t)] - B_i^{fT}(t)P_i x_i^A(t) \\
& - 2\gamma_{\tilde{f}_i}^{-1}\tilde{f}_i(t)n_i^{*-1}(t)\tilde{f}_i(t) + \gamma_{\tilde{f}_i}^{-1}\tilde{n}_i^{*-1}(t)\tilde{f}_i^2(t) \\
& - 2\text{tr}\{\Gamma_{M_i}^{-1}\tilde{M}_i^T(t)n_i^{*-1}(t)\tilde{M}_i^*(t)\} \\
& + \text{tr}\{\Gamma_{M_i}^{-1}\tilde{M}_i^T(t)n_i^{*-1}(t)\tilde{M}_i(t)\} \\
& - 2\gamma_{\tilde{n}_i}^{-1}\tilde{n}_i(t)n_i^{*-1}(t)\tilde{n}_i^*(t) + \gamma_{\tilde{n}_i}^{-1}\tilde{n}_i^{*-1}(t)\tilde{n}_i^2(t) \tag{B.9}
\end{aligned}$$

Based on Lemma 1, and the facts that  $\text{tr}\{AB\} \leq \|A\|_F\|B\|_F$  and  $\text{tr}\{AB\} = \text{tr}\{BA\}$ , one can write

$$\begin{aligned}
& \text{tr}\{\Gamma_{M_i}^{-1}\tilde{M}_i^T(t)n_i^{*-1}(t)\tilde{M}_i^*(t)\} \\
& \leq \lambda_{\max}(\Gamma_{M_i}^{-1})\text{tr}\{n_i^{*-1}(t)\tilde{M}_i^*(t)\tilde{M}_i^T(t)\} \\
& \leq \lambda_{\max}(\Gamma_{M_i}^{-1})n_i^{*-1}(t)\|\tilde{M}_i(t)\|_F\|\tilde{M}_i^*(t)\|_F \tag{B.10}
\end{aligned}$$

Using (A.2) and similar methods used in (B.10), we have

$$\begin{aligned}
& \dot{V}_i(\hat{x}_i^A(t), \tilde{M}_i(t), \tilde{n}_i(t), \tilde{f}_i(t), t) \\
& \leq \hat{x}_i^{dT}(t)[\hat{P}_i A_i^d + A_i^{dT}\hat{P}_i]\hat{x}_i^A(t) \\
& + \hat{x}_i^{dT}(t)\sum_{j\in\mathcal{N}_i}\hat{A}_{ij}\hat{x}_j^A(t) + \sum_{j\in\mathcal{N}_i}\hat{x}_j^{dT}(t)\hat{A}_{ij}^T\hat{x}_i^A(t) \\
& + 2\gamma_{\tilde{f}_i}^{-1}n_i^{*-1}(t)|\tilde{f}_i(t)||f_i(t)| + \gamma_{\tilde{f}_i}^{-1}|\tilde{n}_i^{*-1}(t)||\tilde{f}_i(t)|^2 \\
& + 2\lambda_{\max}(\Gamma_{M_i}^{-1})\|\tilde{M}_i(t)\|_F\|\tilde{M}_i^*(t)\|_F n_i^{*-1}(t) \\
& + \lambda_{\max}(\Gamma_{M_i}^{-1})|\tilde{n}_i^{*-1}(t)|\|\tilde{M}_i(t)\|_F^2 + \gamma_{\tilde{n}_i}^{-1}|\tilde{n}_i^{*-1}(t)||\tilde{n}_i(t)|^2 \\
& + 2\gamma_{\tilde{n}_i}^{-1}|\tilde{n}_i(t)||\tilde{n}_i^*(t)|n_i^{*-1}(t) \tag{B.11}
\end{aligned}$$

From Proposition 2, one can write (B.11) as

$$\begin{aligned}
& \dot{V}_i(\hat{x}_i^A(t), \tilde{M}_i(t), \tilde{n}_i(t), \tilde{f}_i(t), t) \\
& \leq \hat{x}_i^{dT}(t)[\hat{P}_i A_i^d + A_i^{dT}\hat{P}_i]\hat{x}_i^A(t) + \hat{x}_i^{dT}(t)\sum_{j\in\mathcal{N}_i}\hat{A}_{ij}\hat{x}_j^A(t) \\
& + \sum_{j\in\mathcal{N}_i}\hat{x}_j^{dT}(t)\hat{A}_{ij}^T\hat{x}_i^A(t) + 2n_{i,\max}^*\gamma_{\tilde{f}_i}^{-1}\tilde{f}_{i,\max}\tilde{f}_{i,\max} \\
& + \gamma_{\tilde{f}_i}^{-1}\tilde{n}_{i,\max}^*\tilde{f}_{i,\max}^2 + 2\lambda_{\max}(\Gamma_{M_i}^{-1})n_{i,\max}^*\tilde{M}_{i,\max}\tilde{M}_{i,\max}^* \\
& + \lambda_{\max}(\Gamma_{M_i}^{-1})\tilde{n}_{i,\max}^*\tilde{M}_{i,\max}^2 + 2\gamma_{\tilde{n}_i}^{-1}\tilde{n}_{i,\max}\tilde{n}_{i,\max}^* \\
& + \gamma_{\tilde{n}_i}^{-1}\tilde{n}_{i,\max}^*\tilde{n}_{i,\max}^2. \tag{B.12}
\end{aligned}$$

Therefore,

$$\begin{aligned}
\dot{V} & = \sum_{i\in\mathcal{N}}\dot{V}_i(\hat{x}_i^A(t), \tilde{M}_i(t), \tilde{n}_i(t), \tilde{f}_i(t), t) \\
& \leq -\lambda_{\min}(\mathcal{Q})\|\hat{x}^A(t)\|_2^2 + \sum_{i\in\mathcal{N}}\left\{2n_{i,\max}^*\gamma_{\tilde{f}_i}^{-1}\tilde{f}_{i,\max}\tilde{f}_{i,\max} \right. \\
& + \gamma_{\tilde{f}_i}^{-1}\tilde{n}_{i,\max}^*\tilde{f}_{i,\max}^2 + 2\lambda_{\max}(\Gamma_{M_i}^{-1})n_{i,\max}^*\tilde{M}_{i,\max}\tilde{M}_{i,\max}^* \\
& + \lambda_{\max}(\Gamma_{M_i}^{-1})\tilde{n}_{i,\max}^*\tilde{M}_{i,\max}^2 + 2\gamma_{\tilde{n}_i}^{-1}\tilde{n}_{i,\max}\tilde{n}_{i,\max}^* \\
& \left. + \gamma_{\tilde{n}_i}^{-1}\tilde{n}_{i,\max}^*\tilde{n}_{i,\max}^2\right\}.
\end{aligned}$$

Hence, we can conclude that  $\dot{V}(\cdot) < 0$  outside of the compact set

$$\begin{aligned}
\mathcal{Y} & \triangleq \{(\hat{x}^A, \tilde{M}, \tilde{n}, \tilde{f}) \in \mathbb{R}^{2N} \times \mathbb{R}^{2N \times 2N} \times \mathbb{R}^N \\
& \times \mathbb{R}^N : \|\hat{x}^A\|_2 \leq \nu, |\tilde{f}_i| \leq \tilde{f}_{i,\max}, \\
& \|\tilde{M}_i\|_F \leq \tilde{M}_{i,\max}, |\tilde{n}_i| \leq \tilde{n}_{i,\max}, i = 1, \dots, N\},
\end{aligned}$$

where  $\nu$  is given by (20). The maximum of  $V(\cdot)$  on boundary of  $\mathcal{Y}$  denoted by  $\partial\mathcal{Y}$  is

$$\begin{aligned}
\alpha & \triangleq \max_{\partial\mathcal{Y}} V(\hat{x}^A(t), \tilde{M}(t), \tilde{n}(t), \tilde{f}(t), t) \\
& = \lambda_{\max}(\hat{P})\nu^2 + \sum_{i\in\mathcal{N}}\gamma_{\tilde{f}_i}^{-1}\tilde{f}_{i,\max}^2 + \sum_{i\in\mathcal{N}}\lambda_{\max}(\Gamma_{M_i}^{-1})\tilde{M}_{i,\max}^2 \\
& + \sum_{i\in\mathcal{N}}\gamma_{\tilde{n}_i}^{-1}\tilde{n}_{i,\max}^2,
\end{aligned}$$

Therefore, every trajectory of (B.4) remains inside or converges to  $Y_\alpha \triangleq \{(\hat{x}^A, \tilde{M}, \tilde{n}, \tilde{f}) \in \mathbb{R}^{2N} \times \mathbb{R}^{2N \times 2N} \times \mathbb{R}^N \times \mathbb{R}^N : V(\hat{x}^A(t), \tilde{M}(t), \tilde{n}(t), \tilde{f}(t), t) = \alpha\}$  in a finite time  $\mathcal{T}$ . For calculating the ultimate bound of  $x^A(t)$ , note that  $Y' \triangleq \{(\hat{x}^A, \tilde{M}, \tilde{n}, \tilde{f}) : \lambda_{\min}(\hat{P})\|\hat{x}^A(t)\|_2^2 + \sum_{i\in\mathcal{N}}\gamma_{\tilde{n}_i}^{-1}n_{i,\min}^*|\tilde{n}_i(t)|^2 + \sum_{i\in\mathcal{N}}\gamma_{\tilde{f}_i}^{-1}n_{i,\min}^*|\tilde{f}_i(t)|^2 + \sum_{i\in\mathcal{N}}\lambda_{\min}(\Gamma_{M_i}^{-1})n_{i,\min}^*\|\tilde{M}_i(t)\|_F^2 \leq \alpha\}$  contains  $Y_\alpha$ , i.e.,  $Y_\alpha \subset Y'$ . Therefore, the bound of  $\hat{x}^A(t)$  can be calculated as  $\|\hat{x}^A(t)\|_2 \leq (\alpha/\lambda_{\min}(\hat{P}))^{\frac{1}{2}}$ , or equivalently  $\|x^A(t)\|_2 \leq (\alpha\|T^{-1}\|_2^2/\lambda_{\min}(\hat{P}))^{\frac{1}{2}}$  which proves (19).

For constant additive and loss of effectiveness faults, it follows from (B.12) that  $\dot{V}(\cdot) \leq -\hat{x}^{dT}(t)Q\hat{x}^A(t) \leq 0$ . Using Barbalat Lemma, we can show that  $\lim_{t \rightarrow \infty} x^A(t) = 0$ , and the proof becomes complete.  $\square$

### Appendix C. Proof of Proposition 1

**Proof.** We can decompose  $A_i^d$  given in (17) as  $A_i^d = A_0 - B_0 K_i$ , where  $A_0$  and  $B_0$  are given in (22), respectively, and  $K \triangleq [d_0^i, d_1^i]$ . Substituting  $A_i^d$  in (20) and by multiply it by  $\text{diag}(\bar{P}^{-1}, \dots, \bar{P}^{-1})$  from left and right, and using  $W_i \triangleq \bar{P}^{-1} K_i^T$ , the LMI (21) can be obtained.  $\square$

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