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# Feasibility of MOF-based carbon capture from indoor spaces as air revitalization system



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# ABSTRACT

Conventional air-conditioning systems, for providing thermal comfort and acceptable indoor air quality, are energy intensive, especially for spaces located in hot and humid climates. This is attributed to the energy needed to offset the latent and sensible loads of the ambient air, which is typically achieved using a solid desiccant integrated with a vapor compression cooling. Air revitalization system (ARS) is proposed as an alternative solution to remove excess  $H_2O$  and  $CO_2$  sequentially from the recirculated air using solid adsorbents such as silica gel for  $H_2O$  and metal–organic frameworks (MOFs) for  $CO_2$ . In this work, the feasibility of MOFs-based ARS is investigated by predicting its operational performance cost and compare it to the conventional system. Accordingly, mathematical models were developed for the adsorption beds and the indoor space and integrated to genetic algorithm. The integrated model was used to properly size and optimize the system for a classroom and a residential house located in the Qatari climate. The simulation results showed that the ARS decreased the fresh air intake by 91% and 71%, for the classroom and house, respectively, leading to savings of 30% and 24% as well as payback periods of 5 and 2 years compared to the conventional system.

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# 1. Introduction

Nowadays, it has become a global trend for people to conduct the majority of their activities in indoor spaces [1]. As a consequence, it is essential to ensure acceptable indoor environmental quality to protect people health and well-being [2,3]. This is achieved by regulating the indoor temperature and relative humidity (RH) to maintain acceptable levels of thermal comfort and by diluting the indoor contaminants' concentration levels (e.g., CO<sub>2</sub>, VOCs, particulate matter) to acceptable limits for good indoor air quality (IAQ) [4]. Concentrations exceeding certain thresholds can have effects ranging from compromising occupants' cognitive performance to causing diseases and bodily dysfunctions [5–8]. To reduce these concentrations to acceptable levels, large quantities of ambient clean air are needed. However, the ambient air must be conditioned (humidified/dehumidified, heated/cooled) before being supplied, to ensure occupants' thermal comfort needs. For that purpose, heating, ventilation and air-conditioning (HVAC) systems have been widely used during high load seasons [9,10]. Conventional HVAC systems are based on vapor compression cooling either as standalone systems or integrated with solid desiccant (e.g. silica gel) to dehumidify and cool the needed quantities of ambient fresh air [10]. In the Gulf regions (e.g., Qatar), where extreme hot and humid climates dominate throughout the year, buildings that rely on the traditional HVAC systems consume considerable amounts of energy [11–13]. This is due to the high electric power consumption of the compressor as well as the high thermal energy needed to regenerate the desiccant when it is used [14]. As these systems rely primarily on fossil fuel combustion, the building's carbon emissions increase contributing to global warming, which in turn increases the load for HVAC and energy use [15,16]. Therefore, it is important to seek alternative solutions to reduce the buildings' ventilation and cooling loads without compromising the occupants' thermal comfort and IAQ levels.

In conventional systems, filtered ambient air has been mainly supplied to dilute the indoor  $CO_2$  concentrations, which is the gas recognized by ASHRAE as the surrogate ventilation index.  $CO_2$  concentration level in an air conditioned room is a good indicator of occupancy and ventilation rate within a space [17]. Hence, regulating  $CO_2$  simultaneously keeps other contaminants' concentrations (e.g., VOCs), below their limit levels [18,19]. A novel energy-friendly ventilation strategy that can be used in the extreme Gulf climate, would be carbon capture. This strategy con-



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Nomenclature

Nomen	clature		
ARS	Air Revitalization System		
$C_i$	Species concentration in an airstream (kg/m <sup>3</sup> )	Greek s	vmhols
CO <sub>2</sub>	Carbon dioxide	α	Weighting factor
$C_p$	Specific heat capacity (J/kg•K)	β	Bypass fraction (–)
Ď	Diameter (m)	$\mathcal{E}_t$	Total bed porosity (–)
$\Delta H_i$	Heat of adsorption (J/kg)	λ	Thermal conductivity $(W/m \cdot K)$
$D_{zi}$	Axial diffusion coefficient (m <sup>2</sup> /s)	μ	Viscosity (Pa·s)
Ε	Energy (kW)	ω	Specific humidity (kg/kg)
Н	Height (m)	ρ	Density
$h_{sg}$	Convective heat transfer coefficient (W/m <sup>2</sup> •K)	$\Sigma_{W}$	Outer wall specific heat exchange area $(m^2/m^3)$
НĊНО	Formaldehyde		
HVAC	Heating, ventilation and air-conditioning	Subscri	nts
IAQ	Indoor air quality	1	First spatial node in the bed discretization
J	Objective function (USD)	a	Air
$k_i$	LDF constant $(s^{-1})$	amb	Ambient
LCC	Life cycle cost (USD)	b	Bed
LCCA	Life cycle cost analysis	cs	Conventional system
LDF	Linear driving force	e	Electrical
'n	Mass flowrate (kg/s)	ext	External
MOFs	Metal-organic frameworks	fa	Fresh air
$0_{2}$	Dioxygen	g	Gas
q	uptake capacity (kg/kg)	in	Inlet
$\dot{Q}(t)$	heat source (W)	int	Internal
RH	Relative humidity (%)	p	Particle
$S_h$	Horizontal solar radiation (W/m <sup>2</sup> )	purge	Purge
t	Time (s)	ra	Return air
Т	Temperature (°C)	reg	Regeneration
и	Interstitial velocity (m/s)	s	Solid
$U_{wg}$	overall heat transfer coefficient (W/m <sup>2</sup> ·K)	space	Space
V	Volume (m <sup>3</sup> )	supp	Supply
VOCs	Volatile organic compounds	t	Thermal
Ý <sub>i</sub>	Indoor species generation/consumption rate (kg/s)	ta	Treated air
$y_i$	Species concentration in indoor air (kg/m <sup>3</sup> )		
Ζ	Space coordinate (m)		

sists of removing the excess  $CO_2$  from the indoor air using adsorption [20]. It can potentially save energy as it enables the use of a fraction or fully recirculated indoor air in the supply vent [21]. This has been especially appealing since the heat of adsorption of  $CO_2$  is lower than that of  $H_2O$ , which results in lower regeneration energy [22]. In addition, carbon capture systems reduce the fresh ambient air intake to small amounts dedicated to the regulation of indoor  $O_2$  and VOCs levels within the IAQ constraints, leading to energy savings.

Several researchers studied the feasibility of CO<sub>2</sub> adsorption in buildings in hot and humid climates using different solid adsorbents [23]. Zhao et al. [24] showed that carbon capture from indoor air, using amine-functionalized cellulose, maintains indoor CO<sub>2</sub> levels between 1000 and 5000 ppm. Lee et al. [25] numerically studied an adsorption bed packed with activated carbon that maintained indoor CO<sub>2</sub> levels at 3000 ppm. Other studies also investigated the energy savings' potential of carbon capture. Sinha et al. [26] used the conventional zeolite 13X as an adsorbent in an office located in a humid climate. They reported a 75 % reduction in the ventilation load while the indoor CO<sub>2</sub> levels were kept below 5000 ppm. From these studies [23-26], it is noted that carbon capture did not lower the CO<sub>2</sub> levels below the 1000 ppm threshold as recommended by ASHRAE [17]. This is attributed to the adsorbents themselves, where some cannot capture CO<sub>2</sub> at dilute concentrations (~1000 ppm) usually found in buildings, and where other adsorbents (e.g., zeolites) require high regeneration energy. In addition, carbon capture has been studied as a separate process and not integrated within the complete HVAC system, which

includes the dehumidification and cooling processes. Therefore, it is important to find new materials that can still capture  $CO_2$  from the dilute indoor levels (400 – 1000 ppm), and can be incorporated as part of a whole HVAC system.

In the last two decades, new generation of highly porous solid adsorbents have emerged, known as metal-organic frameworks (MOFs) [22]. The most appealing property of MOFs is that they can be custom-synthesized to exhibit high capacity for CO<sub>2</sub> in dilute airstreams [27-30]. Park et al. [29], Mukherjee et al. [27] and Bhatt et al. [31] proposed different MOFs that are suitable for CO<sub>2</sub> capture from indoor air and that showed the ability to meet the IAQ constraint of CO<sub>2</sub> level of 1000 ppm. In addition, MOFs exhibit a heat of adsorption (<1000 kJ/kg) and desorption temperature (<80 °C) that are considerably lower than their conventional counterparts (such as zeolites with heat of adsorption of 1136 -2273 kJ/kg and desorption temperature higher than 150 °C) [32,33]. Therefore, the feasibility of carbon capture becomes even more prominent with MOFs. However, as in the case of the majority of adsorbents, MOFs' affinity to CO<sub>2</sub> is degraded in the presence of humidity due to the higher adsorption selectivity of H<sub>2</sub>O over CO<sub>2</sub> [34]. Consequently, the recirculated air must be dehumidified before the carbon capture stage [34]. Additionally, the heat gained by the treated air due to the release of heat of adsorption from H<sub>2</sub>O and CO<sub>2</sub> increases the needed cooling energy. Therefore, a feasibility study of indoor carbon capture is needed, where the HVAC system's total energy consumption is assessed, including: electrical energy for the dehumidification and carbon capture, thermal energy for the regeneration of the beds, as well as the electrical

energy of the vapor compression air-conditioner. As a hybrid system is considered, the number of operating parameters increase, which turns this feasibility study into an optimization problem that aims to minimize operating costs while meeting the recommended thermal comfort and IAQ constraints of the space.

To the authors' knowledge, no other study has investigated the optimized performance of the complete HVAC system relying on carbon capture from indoor air using MOFs. For this reason, a hybrid system, known as the air revitalization system (ARS) is proposed that integrates two packed beds in series to remove excess H<sub>2</sub>O and CO<sub>2</sub> from the room air, followed by a vapor compression air-conditioning system. The dehumidification can be carried out using a conventional desiccant such as silica gel characterized by its low cost and low regeneration temperature (<80 °C) [35]. For carbon capture, a commercially available MOF-74-Mg is selected as a suitable adsorbent for this application [36]. It has been studied for carbon capture from the ambient air ( $CO_2$  level of 400 ppm) and was found to exhibit an uptake capacity of 6.3 g/kg and does not require an airstream to be excessively dry for effective CO<sub>2</sub> adsorption [30]. In addition, MOF-74-Mg presented a low heat of adsorption of 954 kJ/kg, along with a regeneration temperature of 60 °C [37]. The ARS energy performance is then compared to that of the conventional system that dehumidifies and cools ambient air using a silica gel packed bed and a vapor compression airconditioning, respectively.

The development of a sustainable HVAC is highly needed since such a system is capable of reducing fresh ambient air amounts in hot humid climates while meeting the indoor air quality requirements (acceptable levels of indoor humidity, CO<sub>2</sub>, VOCs and O<sub>2</sub>). In densely occupied spaces, such a system can then considerably reduce the building energy consumption. For this reason, it is crucial to study the feasibility of the complete HVAC system incorporating the ARS while determining its optimal operational parameters for best performance. Therefore, to minimize the systems operating cost without compromising the occupants' thermal comfort and IAQ levels, the operating parameters of both proposed ARS and conventional systems must be optimized on an hourly basis as a function of the ambient and indoor conditions. Accordingly, a mathematical model is developed for the heat and mass transfers in the silica gel and MOFs adsorption packed beds. The model is then validated against published data and used to properly size the adsorption beds of both systems. The model is used to determine the optimal performance of each system using the genetic algorithm. This is achieved for two case studies of low (residential house) and high (classroom) occupancy density buildings, located in the predominantly hot and humid Qatari climate that is characterized by large variations in its ambient conditions. These case studies tend to highlight the advantages of the ARS over the conventional system at different ambient and indoor conditions. Finally, a life cycle cost analysis (LCCA) is finally conducted to determine the ARS payback period if it was retrofitted within buildings HVAC system.

## 2. System description

In this work, two typical air-tight Qatari buildings are considered with two different occupancy densities: a residential house representative of low occupancy buildings and a classroom representative of high occupancy buildings. Clean conditioned air is supplied to the spaces to obtain the indoor design thermal comfort and IAQ constraints. The occupants' thermal comfort is met by regulating the indoor temperatures between 22 °C and 24 °C and indoor RH between 40% and 65% [38]. The CO<sub>2</sub> levels should be maintained below 1000 ppm [39], whereas O<sub>2</sub> levels should be kept above 195,000 ppm (or 19.5 % in volume) [40]. In addition, the indoor formaldehyde (one of the most commonly found VOCs in indoor spaces) levels should be kept below 0.08 ppm [41–43]. To achieve these requirements, two HVAC systems can be used: i) the conventional system consisting of a packed adsorption bed to dehumidify the ambient air (Fig. 1(a)), or ii) the ARS consisting of two packed adsorption beds to remove excess  $H_2O$  and  $CO_2$  form the room return air and to minimize the fresh ambient air requirement (Fig. 1(b)). Due to the batch nature of adsorption processes, each system has two adsorption beds that are operated out-ofphase for the dehumidification and carbon capture. During adsorption, the beds are cooled by promoting ambient air movement around them [25], while during regeneration, a hot air stream is passed in the beds to heat the adsorbent and purge the desorbed adsorbate (H<sub>2</sub>O and CO<sub>2</sub>).

For the conventional system, the adsorption bed is packed with silica gel (type RD) to dehumidify a fraction ( $\beta_{CS}$ ) of the ambient air ( $\dot{m}_{fa,CS}$ ) at state (1), where  $\beta_{CS}$  is adjusted as function of the ambient conditions to control the humidity of the supply air. To reduce the cooling load of the vapor compressor air-conditioner, a sensible heat recovery heat exchanger is installed between the exhausted room air (heated from state (2) to (7)) and the airstream leaving the packed bed is cooled from state (3) to (4) (see Fig. 1a). The air at state (4) is then mixed with the bypassed air as well as the fraction  $\dot{m}_{ra,CS}$  of the recirculated air in a mixing box. The resulting air mixture ( $\dot{m}_{supp}$ ) at state (5) is sensibly cooled to state (6) in the air-conditioner. The different ventilation air state points in the conventional system are presented on a psychrometric chart in Fig. 1 (a).

Using the proposed ARS, a sequential operation of desiccant dehumidification and carbon capture is shown in Fig. 1(b). The flowrate ( $\dot{m}_{fa,ARS}$ ) of ambient fresh air at state (1) is needed to regulate the O<sub>2</sub> and formaldehyde levels within the IAQ set-points. Only a fraction ( $\dot{m}_{ta,ARS}$ ) of the room air needs to be treated in the adsorption beds to maintain the space IAO recommended levels and is regulated as a function of the obtained supply conditions. Due to the high selectivity of H<sub>2</sub>O over CO<sub>2</sub> in the MOFs, the recirculated air at state (2) is passed into the silica gel packed bed in order to reduce the air humidity at state (3) to 4 g/kg [27], avoiding thus the degradation of MOF-74-Mg capacity for CO<sub>2</sub>. The dehumidified hot air is then sensible cooled to state (4) with the exhausted room air that is discharged at state (8). This is crucial to decrease the adsorption temperature in the MOFs bed and maintain the adsorbent capacity for CO<sub>2</sub>. The CO<sub>2</sub>-depleted air at state (5) is later mixed with the fractions  $\dot{m}_{ra,ARS}$  and  $\dot{m}_{fa,ARS}$  of recirculated air and ambient air, respectively. The air mixture ( $\dot{m}_{supp}$ ) at state (6) is sensibly cooled in the vapor compression airconditioner and supplied to the space at state (7). The psychrometric process of the ventilation air treatment using the proposed ARS is shown in Fig. 1(b). It should be noted that for the conventional system, CO<sub>2</sub> is chosen as the surrogate for IAQ control, where the regulation of  $\dot{m}_{fa,CS}$  ensures a comprehensive adherence for the broad set of IAQ. On the other hand, for the ARS, the control of  $CO_2$  and water vapor is done using the adsorption system (i.e.  $\dot{m}_{ta,ARS}$ ), whereas that of the other species, formaldehyde and O<sub>2</sub> are chosen as the new surrogates for IAQ and the control of  $\dot{m}_{fa,ARS}$  .At each hour, and depending on the varying ambient and indoor conditions, the supply conditions are regulated on an hourly basis to meet the thermal comfort and IAQ requirements. For this reason, the typical vapor compression air-conditioning is operated with constant supply temperature while varying  $\dot{m}_{supp}$  to ensure that the space load is met, and the occupants' thermal comfort is achieved. Since this flowrate is a mixture of ambient and recirculated air streams, their fractions are regulated to provide the needed species concentrations in the supply airstream and consequently to meet the spaces' IAQ constraints. Accordingly, the oper-

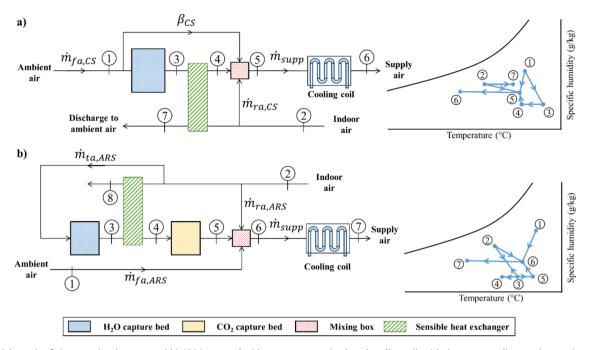


Fig. 1. Schematic of a) conventional system and b) ARS integrated with vapor compression based cooling coil, with the corresponding psychrometric processes.

ating conditions of the conventional system ( $\dot{m}_{fa,CS}$  and  $\beta_{cs}$ ) and the ARS ( $\dot{m}_{fa,ARS}$  and  $\dot{m}_{ta,ARS}$ ) are optimized to enable the two HVAC systems to meet the indoor space constraints at minimal operating cost consisting of the electrical energy to drive the packed adsorption beds' fans and the air-conditioner compressor, as well as the thermal energy required to regenerate the different adsorbents.

# 3. Methodology

The objective of this work is to assess the performance of the ARS over the entire Qatari hot season and compare it to that of the conventional system. The simulation of both systems require the modeling of the adsorption beds as well as the indoor air conditions. Therefore, a mathematical model is developed to predict the heat and mass transfer inside the adsorption beds to determine the supply conditions. The supply conditions are used as input to a space model that expresses the indoor conditions as function of the building envelope and indoor occupancy. The integrated model of the cooling system and space is used to properly size the different packed beds of both systems and determine their optimal operating parameters that maintain the required thermal comfort and IAQ levels at minimal total operating cost.

# 3.1. Adsorption bed model

An adsorption bed is used to selectively remove either  $H_2O$  or  $CO_2$  from an airstream to regulate the supply conditions and meet the required IAQ levels. The adsorbents, in the form of beads of diameter ( $D_p$ ), are loosely packed in the adsorption beds of diameter ( $D_b$ ) and height ( $H_b$ ) as shown in Fig. 2(a). For the control volume shown in Fig. 2(b), a transient one-dimensional model is developed to predict the coupled mass and heat balances occurring between the gas and solid phases inside the bed [33,44,45]. Since the adsorbed species,  $H_2O$  and  $CO_2$ , are at dilute levels, the associated loss in mass due to adsorption is negligible compared to the total mass flowrate [44]. Therefore, the mass loss does not affect the fluid velocity, which can be assumed constant across the bed length with an axially dispersed plug flow [46,47]. Furthermore, Ergun's equation is used to estimate the pressure drop across the packed bed [48]. In order to predict the species,  $H_2O$  and  $CO_2$ , concentration at the bed outlet, the species mass balance is used as follows [33,44]:

$$\frac{\partial C_i}{\partial t} + u \frac{\partial C_i}{\partial z} - D_{zi} \frac{\partial^2 C_i}{\partial z^2} + \left(\frac{1 - \varepsilon_t}{\varepsilon_t}\right) \rho_s \frac{\partial \overline{q}_i}{\partial t} = 0$$
(1)

The first term of the equation indicates the unsteady transport. The second and third terms express the total mass flux due convection and diffusion respectively. The last term represents the adsorption into the solid particles. The different parameters in equation (1) represent the following:  $C_i$  (kg/m<sup>3</sup>) and  $\frac{\partial \bar{q}_i}{\partial t}$  (kg/kg·s) are the concentration and the average adsorption rate of species "*i*" (H<sub>2</sub>O or CO<sub>2</sub>) in the gas phase, *u* (m/s) is the interstitial flow velocity,  $\rho_s$  (kg/m<sup>3</sup>) and  $\rho_a$  (kg/m<sup>3</sup>) are the particle and air density, respectively.  $\varepsilon_t$  (–) is the total porosity that depends on the sorbent porosity as well as the packing density [49].  $D_{zi}$  (m<sup>2</sup>/s) is the axial diffusion coefficient given by Wen and Fan correlation [50] and it depends on the flow Reynold and Schmidt numbers and the bed porosity.

The adsorption rate  $\begin{pmatrix} \partial \overline{q}_i \\ \partial t \end{pmatrix}$  describes the adsorption kinetics, which have been evaluated using different models with varying degrees of accuracy and computational complexity [33]. The linear driving force (LDF) model has been widely used, since it offers a good compromise between results' accuracy and computational cost. It combines the three mass transfer resistances into a single adsorption rate constant  $k_i$  (s<sup>-1</sup>) [46,48]. Using the LDF model,  $\frac{\partial \overline{q}_i}{\partial t}$  of species "*i*" is proportional to the difference between its equilibrium concentration  $\overline{q}_i^*$  (kg/kg) and the volume-averaged adsorbed concentration  $\overline{q}_i$  (kg/kg) [33,44].  $\frac{\partial \overline{q}_i}{\partial t}$  is thus given by:

$$\frac{\partial q_i}{\partial t} = k_i (\overline{q}_i^* - \overline{q}_i) \tag{2}$$

The concentration  $\overline{q}_i^*$  is evaluated from the equilibrium isotherms of the adsorbent-adsorbate pair. It varies with the species concentration  $C_i$  determined from equation (1) as well as the adsorbent temperature, which is determined from the energy equa-

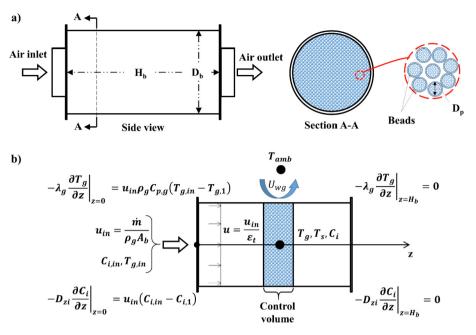


Fig. 2. Schematic of the adopted a) adsorption beds' geometry and b) control volume of the numerical model.

tion of the solid phase. The gas phase energy balance is also needed since local thermal non equilibrium is assumed to enable the precise modeling of the early cycle stages [51]. The solid and gas energy equations are given by [44,52,53]:

$$\rho_{s}C_{p,s}\frac{\partial T_{s}}{\partial t} = \lambda_{s}\frac{\partial^{2}T_{s}}{\partial z^{2}} + \frac{6h_{sg}}{D_{p}}\left(T_{g} - T_{s}\right) + \frac{\rho_{s}\Delta H_{i}}{1 - \varepsilon_{t}}\frac{\partial \overline{q}_{i}}{\partial t}$$
(3)

$$\varepsilon_t \rho_g C_{p,g} \left( \frac{\partial T_g}{\partial t} + u \frac{\partial T_g}{\partial z} \right) = \varepsilon_t \lambda_g \frac{\partial^2 T_g}{\partial z^2} + \frac{6h_{sg}}{D_p} \left( T_s - T_g \right) + U_{wg} \Sigma_w (T_{amb} - T_g)$$
(4)

The left-hand side of equation (3) represents transient storage in the adsorbent, whilst the terms on the right-hand side represent axial heat diffusion, convective conduction with the airstream and the heat of adsorption, respectively. For equation (4), the two terms on the left-hand side represent the transient storage and convection terms, whilst the terms on the right-hand side represent, the axial heat diffusion and the convective heat transfers with the adsorbent and outer tube walls, respectively. The different parameters in these equations represent the following:  $C_p$  (J/ kg·K),  $\lambda_s$  (W/m·K) and  $T_s$  (K) are the adsorbent specific heat capacity, thermal conductivity, and temperature, respectively.  $h_{sg}$  (W/ m<sup>2</sup>·K) is the convective heat transfer coefficient between the airstream and the adsorbent.  $\rho_g$  (kg/m<sup>3</sup>),  $C_g$  (J/kg·K),  $\lambda_g$  (W/m·K) and  $T_g$  (K) are the air density, specific heat capacity, thermal conductivity, and temperature, respectively.  $U_{wg}$  (W/m<sup>2</sup>·K) is the overall heat transfer coefficient between the airstream and the ambient air of temperature  $T_{amb}$  (K).  $\Sigma_w$  (m<sup>2</sup>/m<sup>3</sup>) is the outer wall specific heat exchange area.

In order to solve the set of partial differential equations from (1) to (4), appropriate initial conditions were used. In addition, Danckwerts and pressure outlet boundary conditions were implemented at the bed's inlet and outlet [48], respectively as shown in Fig. 2(b). The Danckwerts boundary condition was used with dispersion models to ensure continuity at the inlet of the packed bed (node 1). Consequently, the developed model yields the outlet  $H_2O$  and  $CO_2$  concentrations based on the following input parameters:

physical parameters (bed geometry ( $H_b$ ,  $D_b$ ), adsorbent size ( $D_p$ )) and operating conditions (inlet air conditions and flowrate, and isotherms model).

# 3.2. Space model

The indoor air conditions of temperature, RH, CO<sub>2</sub>, O<sub>2</sub> and formaldehyde concentrations are predicted using a transient model that assumes a perfectly homogenous mixed room air. In this study, the validated model of Yassine et al. [54] was adopted, which considers lumped energy and species' mass balances. The energy balance considers the external heat gains into the space from the building envelope ( $\dot{Q}_{ext}(t)$ ) as well as from the internal sources ( $\dot{Q}_{int}(t)$ ) such as occupants, lighting and electrical equipment as follows [55]:

$$\rho_a V_{space} C_{p,a} \frac{dT_{space}}{dt} = \dot{m}_{supp} C_{p,a} \left( T_{supp} - T_{space} \right) + \dot{Q}_{ext}(t) + \dot{Q}_{int}(t)$$
(5)

where  $\rho_a$  (kg/m<sup>3</sup>) and  $C_{p,a}$  (J/kg·K) are the air density and specific heat capacity of the indoor space of volume  $V_{space}$  (m<sup>3</sup>) and temperature  $T_{space}$  (K).  $\dot{m}_{supp}$  (kg/s) and  $T_{supp}$  (K) are the supply air flowrate and temperature, respectively.

Furthermore, the species' mass balance considers the mass exchanged by advection between the space supply and exhaust as well as the source/sink terms related to each species generation/consumption as follows [56]:

$$\rho_a V_{space} \frac{dy_i}{dt} = \dot{m}_{supp} \left( y_{i,supp} - y_i \right) \pm \dot{Y}_i \tag{6}$$

where  $y_i$  (kg/kg),  $y_{i,supp}$  (kg/kg) are the species concentration in the indoor space air and the supply airstream, respectively.  $\dot{Y}_i$  (kg/kg·s) is the generation rate (positive source terms) of either water vapor, CO<sub>2</sub> or formaldehyde and the consumption rate (negative sink term) of O<sub>2</sub>.

#### 3.3. Optimization methodology

The hourly performance of each system is evaluated based on its ability to meet the indoor space constraints at low operating cost. This is achieved by determining the optimal operating parameters of the ARS ( $\dot{m}_{fa,ARS}$ ,  $\dot{m}_{ta,ARS}$ ) and the conventional system ( $\dot{m}_{fa,CS}$ ,  $\beta_{CS}$ ) as a function of the ambient conditions and indoor occupancy. As stated in section 2, the total supply flowrate ( $\dot{m}_{supp}$ ) to the space is dictated by the space load in Qatar [57]. It is thus determined on hourly basis by fixing the supply temperature to typical values obtained in vapor compression air-conditioning and is eliminated from the optimization search. The system operating cost consists of the required thermal ( $E_t$ ) and electrical ( $E_e$ ) energy. The thermal energy is the energy needed to heat the purge flowrate ( $\dot{m}_{purge}$  (kg/ s)) from the ambient air temperature ( $T_{amb}$  (K)) to the regeneration temperature ( $T_{reg}$  (K)):

$$E_t = \dot{m}_{reg} C_{p,a} (T_{reg} - T_{amb}) \tag{7}$$

The electrical energy powers the blower fans used to drive the air through the different system' subcomponents and supply to the space. It was calculated using the fan's affinity law that relates the fan power to its flowrate and the pressure drop [56]. The main contributor to the system's total pressure drop was the adsorption bed, where Ergun's equation, combining the viscous and kinetic pressure loss terms, was used as follows [48]:

$$\frac{\Delta P_b}{H_b} = \frac{600\mu_g (1-\varepsilon_t)^2}{\pi \rho_g \varepsilon_t^3 D_p^2 D_b^2} \dot{m} + \frac{28(1-\varepsilon_t)}{\pi^2 \rho_g \varepsilon_t^3 D_p D_b^4} \dot{m}^2 \tag{8}$$

where  $\mu_g$  (Pa·s) is the air viscosity. Additionally, the electrical energy of the vapor compression air-conditioner is determined from the cooling load by assuming a typical coefficient of performance of 3.2 [56].

The optimization problem of either systems consists of a multiobjective optimization with constraints. Accordingly, the genetic algorithm was used as the search tool to determine the optimal operating parameters of the conventional system and ARS. The genetic algorithm requires the definition of an objective function (*J*) that needs to be minimized. In this case, *J* was considered as the system operational cost. Since the system operation was subjected to the space thermal and IAQ constraints, the penalty function approach, introduced by Pierre [58], was adopted. In this approach, the objective function *J* consisted of the energy cost augmented by the penalty cost [59]. The energy terms reflect the monetary cost of the consumed thermal and electrical energy, while the penalty terms represent an additional cost is added to the objective function when the space thermal and IAQ constraints are violated [60]. Hence, the objective function is given by

$$J = \alpha_{RH}J_1 + \alpha_{IAQ}J_2 + \alpha_e E_e + \alpha_t E_t \tag{9}$$

$$J_{1} = \left| \left( \frac{2 \times RH_{in} - RH_{min} - RH_{max}}{RH_{max} - RH_{min}} \right)^{2k} - 1 \right|$$
(9a)

$$J_{2} = \exp\left(\frac{CO_{2,in}}{CO_{2,set}}\right) + \exp\left(\frac{O_{2,set}}{O_{2,in}}\right) + \exp\left(\frac{HCHO_{in}}{HCHO_{set}}\right) - 3$$
(9b)

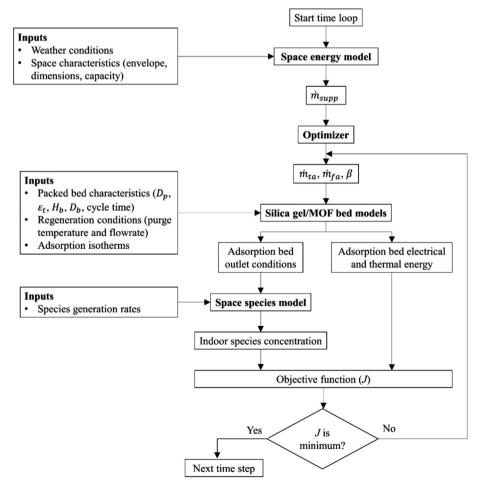


Fig. 3. Adopted numerical methodology to optimize the conventional system and ARS performance.

where  $RH_{in}$  (%),  $CO_{2,in}$  (ppm) and  $O_{2,in}$  (%) are the indoor RH,  $CO_2$  and  $O_2$  concentrations, respectively.  $RH_{max}$  (%),  $RH_{min}$  (%),  $CO_{2,set}$  (ppm),  $O_{2,set}$  (%) and  $HCHO_{set}$  are the maximum and minimum indoor allowable RH levels, set-point  $CO_2$ ,  $O_2$  and formaldehyde concentrations, which are set to 65 %, 40 %, 1,000 ppm, 19.5% and 0.08 ppm respectively [61]. k (-) is a positive integer that forces the penalty term related to RH to attain values near zero within the allowable range [ $RH_{min}$ ,  $RH_{max}$ ]. This was achieved using a value of 2, which was found to be sufficient [59]. In addition, the IAQ constraints from their respective set-points were calculated using an exponential function [62]. For small deviations from the space constraints, J was considerably increased, which forced the solution to track the indoor set-points [60].

The different  $\alpha$  's are the weighting factors of the energy and penalty cost terms of the objective function, and were set to make the different terms of comparable order and to have equal significance in the evaluation of *I* [63]. Since the exponential formulation was adopted, each indoor condition was normalized with its corresponding set-point, which enabled  $\alpha_{RH}$  and  $\alpha_{IAQ}$  to be set to unity [62]. On the other hand,  $\alpha_e$  and  $\alpha_t$  represented the actual monetary cost of the electrical (0.013 USD/kWhe) and thermal (0.133 USD/ kWh<sub>t</sub>) energy as adopted in Qatar [64–66]. Note that the heating of the purging airflows was supplied from the combustion of natural gas. This is due to its abundance on Qatar, its lower carbon emission compared to other fossil fuel, and to the simplicity of such heating system as compared to solar heating systems [67,68]. Finally, the population size of the genetic algorithm was set to 50 individuals with a maximum generation number of 100 [62]. The crossover fraction and function tolerance were set to 0.8 and 10<sup>-14</sup>, respectively.

# 3.4. Numerical solution

Fig. 3 presents the optimization methodology adopted for the hybrid system integrating the adsorption beds models and the space models to evaluate the conventional system and ARS performance. At each time step, the space energy model yielded the cooling load using the weather data, space envelope and indoor occupancy.  $\dot{m}_{supp}$  was then calculated and used in the optimizer that seeds the different operating parameters. The seeded parameters were used in the silica gel/MOFs beds model of both systems with the beds' geometries and adsorbent isotherms. The adsorption beds' outlet air conditions in terms of H<sub>2</sub>O and CO<sub>2</sub> concentrations were used in the **space species model** to evaluate the indoor conditions of RH, CO<sub>2</sub> and O<sub>2</sub>. Additionally, the adsorption beds' electrical and thermal energy were evaluated and added to the objective function along with the deviation of the indoor conditions from their set-points. The objective function was then evaluated, and the algorithm was repeated until an optimal solution was reached before moving to the next time step.

In order to numerically solve the adsorption bed and space models, the finite volume method was used with an implicit backward Euler scheme for transient terms in addition to the first order upwind and central difference schemes to discretize the convection and diffusion terms. A time-step independence test was performed and a time step of  $10^{-3}$  s for the integrated model was found to yield accurate results at minimal computational time. The convergence criterion was set when the residuals, of any calculated parameters (temperatures and species concentrations), between two consecutive iterations were < $10^{-8}$ .

# 4. Case study

The operating parameters of the systems depend on both the ambient conditions and indoor occupancy, which affect the energy consumption of the ambient air dehumidification and the needed fraction of recirculated air, respectively. Therefore, the dynamic performance of the proposed systems is evaluated for two case studies of a typical residential house and a classroom, representative of low and high occupancy spaces. The spaces are located in the hot and humid Qatari climate characterized by the large variability of the ambient air conditions. The ARS performance is evaluated over the entire hot season of Qatar (April through November). The weather data and solar radiation were based on typical meteorological year (TMY) data of Doha, Qatar [69]. Such database has been implemented in many previous studies for energy systems' modeling [70,71]. Fig. 4 presents the ambient air temperature ( $T_{amb}$ ), RH ( $RH_{amb}$ ) and the hourly horizontal solar radiation ( $S_h$ ) for a representative day of each cooling month in Oatar.

# 4.1. Spaces characteristics

The typical residential house is assumed to be a two-story villa of 420 m<sup>2</sup> gross floor area [72] while the adopted classroom has a 50 m<sup>2</sup> floor area, both with south orientation and 3.5 m floor to ceiling height [73]. The building envelope of both case studies, presented in Table 1, follows the requirements imposed by the Qatar Construction Standard (QCS) 2014 and KAHRAMAA regulations [74]. It should be noted that these regulations specify an overall window-to-wall ratio of 20 % for the whole residential house. However, the maximum glazing area is restricted to the north facade [73]. The classroom is assumed to be exposed to the ambient conditions at the roof and the south facade. A window-to-wall ratio of 30 % of the south façade is adopted to maximize the natural daylight for the students' visual comfort [61]. Low transmittance glazing is used to reduce the heat gain due to direct solar irradiance and comply with KAHRAMAA regulations [74]. A maximum occupancy densities of 0.014 person/m<sup>2</sup> [57] and 0.66 person/m<sup>2</sup> [17] are considered for the residential house and classroom, respectively. Moreover, the maximum power density from lighting and electrical equipment are assumed to be 12  $W/m^2$  and 16  $W/m^2$ for the house and classroom, respectively [75]. The occupancy and electrical load schedule of both spaces are shown in Fig. 5. The metabolic activity of each person at 1.2 met is responsible for sensible and latent heat gains into the space as well as CO<sub>2</sub> and H<sub>2</sub>O generation and O<sub>2</sub> consumption as summarized in Table 2 in addition to the total emission rate of formaldehyde (from furniture, paint).

# 4.2. Sizing methodology

The sizing of adsorption beds depends on the maximum adsorbate mass to be removed from the ventilation air stream of both systems ( $\dot{m}_{fa,CS}$  and  $\dot{m}_{ta,ARS}$ ). For the desiccant beds, the sizing is conducted at the peak latent load hour of each building during its occupied period, while for the carbon capture, the sizing is based on the highest CO<sub>2</sub> mass that needs to be removed during peak occupancy. The design flowrate ( $\dot{m}_{des}$ ) is determined to yield a maximum indoor CO<sub>2</sub> levels of 1,000 ppm [17], since  $\dot{m}_{fa,CS}$  and  $\dot{m}_{ta,ARS}$  that circulate the packed beds are dictated by the indoor CO<sub>2</sub> generation.

The adsorbate mass depends primarily on the cycle (adsorption and desorption) time, the needed outlet humidity ( $\omega_3$ , Fig. 1) and CO<sub>2</sub> concentration at the bed outlet, the air flowrate to be treated with each system ( $\dot{m}_{fa,CS}$ ,  $\dot{m}_{ta,ARS}$ ) as well as the regeneration temperature and purge-to-feed flowrate ratio. In order to simplify the control strategy of the ventilation systems, an operation with a constant cycle time was chosen [78]. A fixed cycle time of 1800 s was thus adopted (within the typical cycle times) for both

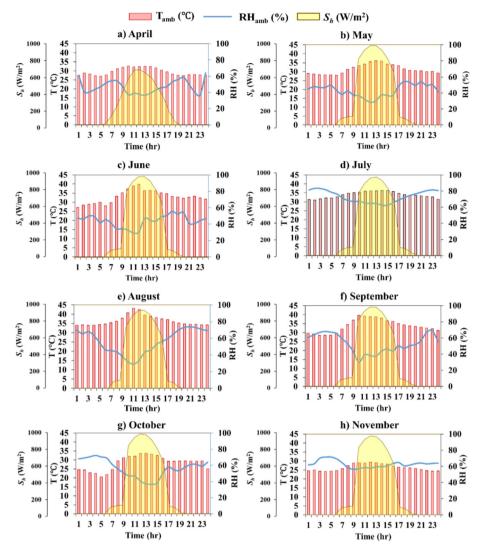


Fig. 4. Hourly variation of the ambient air temperature and RH and the horizontal solar radiation for a representative day of each month of the Qatari hot season [69].

Table 1		
Building envelope for the adopted case studies	[74].	

Layer	Composition
Roof	250 mm concrete slab, with 75 mm polyurethane insulation $U = 0.25 \text{ W/m}^2 \text{-} \text{K}$
Floor	250 mm on-grade concrete slab
External walls	200 mm autoclaved aerated concrete $U = 0.568 \text{ W/m}^2 \cdot \text{K}$
Internal partition	150 mm gypsum board
Windows	Double glazing low-E ( $e_2 = 0.04$ ), Tint 6 mm, air 12 mm $U = 1.64 \text{ W/m}^2 \cdot \text{K}$

systems' adsorption beds (i.e. the bed undergoes two cycles within one hour) [79]. The adsorption covers 70 % of the total cycle time while the remaining was dedicated for desorption [78]. The remaining design parameters are summarized in Table 3 for both systems. The needed  $\omega_3$  in the conventional system is related to the supply humidity to the space, which is typically around 7 g/ kg [26]. On the other hand,  $\omega_3$  in the ARS is dictated by the need to eliminate the H<sub>2</sub>O/CO<sub>2</sub> competitive adsorption on MOF-74-Mg, thus  $\omega_3$  must not exceed 4 g/kg as stated in section 2 [27]. The

CO<sub>2</sub> outlet concentration depends on the extent of the MOFs' ability to reduce the CO<sub>2</sub> levels. In this regard, MOF-74-Mg has shown to be able to completely remove the CO<sub>2</sub> from an airstream. However, for practical reasons, the design outlet CO<sub>2</sub> concentration was set to 20 ppm in the ARS, as adopted by Cheng et al. [80]. This gives the advantage of reducing  $\dot{m}_{ta,ARS}$  as compared to  $\dot{m}_{fa,CS}$  while still meeting the indoor CO<sub>2</sub> levels. Finally, constant regeneration conditions were adopted for the different beds. The desorption temperature and flowrate were set to achieve 90 % regeneration of the beds within the allocated 540 s (i.e. 30 %) of the total cycle time. Finally, the beds are designed for minimum pressure drop by adopting a small length-to-diameter ratio, along with limiting the interstitial air velocity to a range between 1 and 3 m/s [78,81]. Using the above parameters ( $\dot{m}_{des}$ , cycle time, fluid velocity), the adsorption model is used to determine the packed beds dimensions  $(H_b, D_b)$  at the peak ambient temperature (highest adsorption temperature).

The dynamic performance of the adsorption beds depends on the adsorbents thermo-physical properties shown in Table 4. In addition, the dynamic model requires the equilibrium isotherms. Hence, the water/silica gel isotherms were modeled by the commonly used modified Freundlich model [83,84], whereas that of  $CO_2/MOF-74$ -Mg were modeled using the dual-site Langmuir

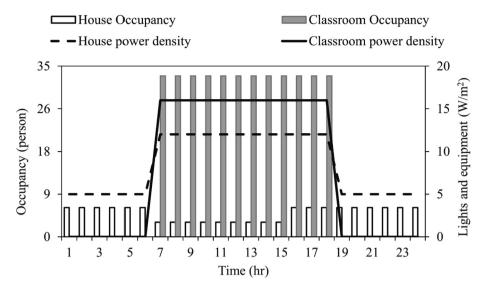


Fig. 5. Schedule of occupancy and load from lighting and electrical equipment for the residential house and classroom.

Table 2
Heat gains and species generation from indoor sources.

Parameter	Value	Unit	Reference
Sensible load	75.00	W/person	[75]
Latent Load	55.00	W/person	[75]
$CO_2$ generation	10.80	mg/s•person	[76]
$H_2O$ generation	11.57	mg/s•person	[60]
$O_2$ consumption	9.92	mg/s•person	[40]
Formaldehyde generation	10.2 <sup>a</sup> /55.5 <sup>b</sup>	mg/h	[43,77]

a emission rate in classroom, <sup>b</sup> emission rate in residential houses

[85]. The isotherms' equations and their corresponding constants were presented by [84,86]. Note that the adsorbent was used in the form of beads of 1.7 mm [87], and were loosely packed into the beds formed of stainless steel cylinders insulated with 50 mm polyester core with aluminum jacketing [88].

# 5. Results and discussion

The current developed mathematical model of the packed bed was validated against published experimental and modeling data for both the adsorption bed of  $H_2O$  [88,89] and the adsorption bed of  $CO_2$  [90,91] as presented in **Appendix A**. The validated model was used to size the conventional system and ARS following the strategy proposed in section 4.2, where the needed adsorbent mass as well as the corresponding packed bed dimensions ( $D_b$  ,  $H_b$ ) were determined for each adsorbent bed and for the two case studies of the residential house and the classroom as presented in **Appendix B**.

The validated models were integrated with a genetic algorithm to optimize the performance of these two systems for each case study following the methodology presented in Fig. 3. The obtained total energy consumptions of both systems were compared to determine the feasibility of the ARS through a LCCA.

# 5.1. Performance analysis of the conventional system and ARS

The performance of each system was optimized based on the methodology presented in Fig. 3 for the entire Qatari hot season. The simulation results are presented for three representative months of the low (May), moderate (June) and high (August) ambient conditions for both case studies of the classroom and residential house.

# 5.1.1. Case of high-occupancy buildings

Fig. 6 and Fig. 7 show the optimized operating parameters and the resulting electrical and thermal energy consumption, respectively for both the conventional system and ARS implemented in the classroom, representative of high-occupancy spaces.

For the conventional system implemented in the classroom during the month of May (Fig. 6(a)), the total supply flowrate  $\dot{m}_{supp}$  depended on the cooling load, and varied between 0.47 kg/ s and 0.6 kg/s, where the minimum was needed at the beginning of the occupied period (lowest load) whereas the maximum was required at peak load hour of 13:00 h. Due to the low space load of this month and the high requirements on the fresh ambient air due to the high indoor occupancy, it can be seen that the supplied flowrate coincided with the needed amount of ambient air  $(\dot{m}_{fa,CS} = \dot{m}_{supp})$ . Moreover, it can be noticed that, due to the constant occupancy of the classroom, the required fresh air flowrate had small variation for controlling the indoor CO<sub>2</sub> levels. While in order to meet the indoor RH requirements, the supply air humidity was regulated with the bypass fraction  $\beta_{CS}$  on the desiccant bed. During the early and late hours of the day, the ambient humidity was elevated (Fig. 4(b)), increasing thus the dehumidifi-

Ta	ble	3

Design parameters for the H <sub>2</sub> O and CO <sub>2</sub> a	adsorption beds of both systems.
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System	Design Parameter				References
	Outlet humidity (g/kg)	Outlet CO <sub>2</sub> (ppm)	Regeneration temperature (°C)	Purge-to-feed flowrate ratio (–)	
Conventional	7	400	75	0.60	[35,78]
ARS	4	20	50	0.45	[27,82]

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#### Table 4

Adsorbent thermos-physical properties.

Adsorbent	$\Delta H_i$ (kJ/kg)	$\rho_s  (\text{kg/m}^3)$	$C_{p,s}$ (J/kg·K)	$\lambda_s (W/m \cdot K)$	References
Silica gel	2,600	2,027	1,130	0.2	[35]
Mg-MOF-74	954*	921	900	0.3	[27,82,86]

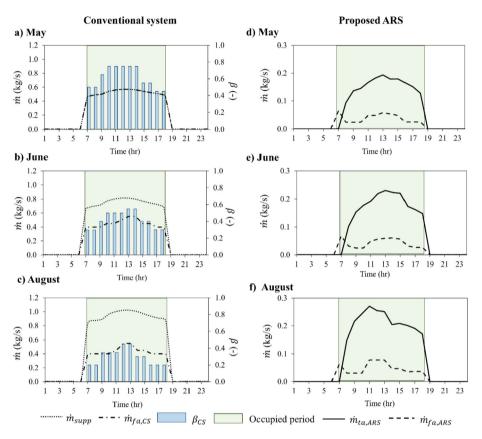


Fig. 6. The hourly variation of the optimal operating parameters for the conventional system during a) May, b) June and c) August and for the ARS during d) May, e) June and f) August for the classroom.

cation load, therefore, a low  $\beta_{\rm CS}$  with an hourly average of  $0.55 \pm 0.05$  was needed. As the ambient humidity decrease around noon, the hourly average of  $\beta_{CS}$  increased to 0.75 ± 0.1, due to the decrease in the latent load imposed on the adsorption bed (i.e. decrease in the ambient humidity) (Fig. 6(a)). The corresponding regeneration energy followed a similar trend as the dehumidification load: during the early and late hours of the day, the hourly needed  $E_t$  to regenerate the adsorbent varied around 2.82 ± 0.4  $kWh_t$  and decreased to  $1.42\pm0.01\;kWh_t$  during around noon hours (Fig. 7(a)). Similarly, the hourly needed electrical energy to operate the fans  $E_{e,fan}$  was at its maximum of 1.04 ± 0.2 kWh<sub>e</sub> when  $\beta_{CS}$  was at its minimum (i.e. higher flowrate that is treated in the adsorption bed) and decreased to  $0.57 \pm 0.01$  kWh<sub>e</sub> with the increase of  $\beta_{CS}$  . On the other hand, the electrical energy  $(E_{e,coil})$  needed to sensibly cool  $\dot{m}_{supp}$  increased from 5.5 kWh<sub>e</sub> at the beginning of the day to 6.1 kWh<sub>e</sub> at the peak load hour (13:00 h).

Similar trends for the operating parameters can be seen for the remaining months with low ambient conditions (April, October and November). During the month of June (Fig. 6(b)), the hourly average of  $\dot{m}_{supp}$  varied around 0.71 ± 0.01 kg/s. However, the required ambient air flowrate  $\dot{m}_{fa,CS}$  was reduced to 0.45 ± 0.05 k g/s as compared to May due to the increase in the ambient humid-

ity. The maximum fresh flowrate of 0.55 kg/s was admitted to the space between 13:00 h – 14:00 h, when the ambient humidity is low and the fresh air did not require excessive dehumidification. Furthermore,  $\beta_{CS}$  varied between 0.3, at the periods of high ambient humidity, to 0.55 at 13:00 h when the ambient humidity was at its lowest levels (Fig. 4(c)). The resulting thermal and electrical energy followed similar patterns as those obtained in May but with higher levels.  $E_t$  and  $E_{e,fan}$  varied around an hourly average 2.41  $\pm$  0.8 kWh<sub>t</sub> and 1.1  $\pm$  0.32 kWh<sub>e</sub>, respectively, where their minima were obtained around noon hours when the ambient humidity was low (Fig. 7(b)). On the other hand,  $E_{e,coil}$  increased from 7.7 kWh<sub>e</sub> to 8.1 kWh<sub>e</sub> at the peak load hour (13:00 h). Similar performance was obtained for the month of September, characterized by moderate ambient conditions. During the peak month of August (Fig. 6(c)),  $\dot{m}_{supp}$  increased from 0.8 kg/s (at 7:00 h) to 1.1 kg/s (at 13:00 h) to offset the peak classroom load, which typically reaches 210 W/m<sup>2</sup> for similar classroom in Qatar [92]. The needed fresh ambient air flowrate ( $\dot{m}_{fa,CS}$ ) remained around 0.44 ± 0.05 kg/s since the fresh air intake is dictated by the need to regulate indoor CO<sub>2</sub> levels. Furthermore, due to the higher ambient humidity encountered in August, a lower  $\beta_{CS}$  of 0.3 ± 0.1 was needed to regulate the supply air humidity, where the maximum

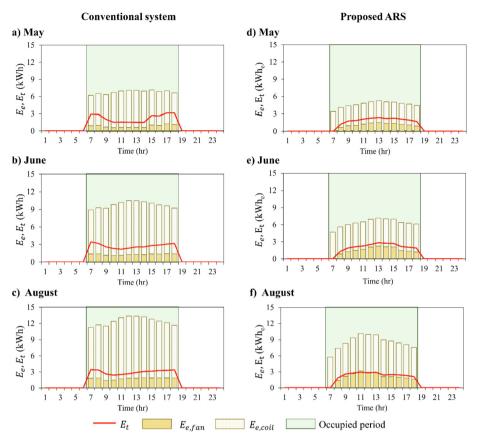


Fig. 7. The hourly variation of the conventional system' energy consumption during a) May, b) June and c) August and that of the ARS during d) May, e) June and f) August for the classroom.

was always needed at noon hours when ambient humidity was at its lowest values of the day. The resulting  $E_t$ ,  $E_{e,fan}$ ,  $E_{e,coil}$  varied around 3.17 ± 1.0 kWh<sub>t</sub>, 2.1 ± 0.72 kWh<sub>e</sub> and 10.6 ± 0.7 kWh<sub>e</sub>, respectively (Fig. 7(c)). The electrical energy for the cooling coil of classroom located in Qatar during the month of August reached 3.8 MWh<sub>e</sub>, in line with the findings of [92] Similar pattern for the operating parameters was obtained for the month of July, also characterized by high ambient conditions.

In the ARS, the supply air humidity ratio as well as the CO<sub>2</sub> concentration must be controlled, as opposed to the conventional system where only the air humidity was controlled. This was achieved by finding the hourly optimal operating parameters of this system which include the fresh ambient air flowrate ( $\dot{m}_{fa,ARS}$ ) and the recirculated air flowrate that needed treatment ( $\dot{m}_{ta,ARS}$ ). For both case studies, the supplied flowrate  $\dot{m}_{supp}$  during all the simulated months were the same in the conventional system, since it depended on the spaces' cooling load.

During the month of May (Fig. 6(d)), the indoor CO<sub>2</sub> concentration decreased to the ambient levels of 400 ppm throughout the unoccupied nighttime period. This enabled the system to operate during the first occupied hour (7:00 h) with  $\dot{m}_{ta,ARS}$  of zero, whereas  $\dot{m}_{fa,ARS}$  of 0.06 kg/s was needed to maintain indoor O<sub>2</sub> and formaldehyde levels, while CO<sub>2</sub> concentration was also kept below 1,000 ppm. As the indoor CO<sub>2</sub> started to build up,  $\dot{m}_{ta,ARS}$  increased to 0.16 ± 0.05 kg/s in order to maintain indoor CO<sub>2</sub> below 1,000 ppm (Fig. 7(d)). It should be noted that  $\dot{m}_{ta,ARS}$  showed small variation since this flowrate depended primarily on the indoor CO<sub>2</sub> generation, which was constant throughout the occupied period. Moreover,  $\dot{m}_{fa,ARS}$  varied around 0.04 ± 0.01 kg/s, which was the minimum required ambient flowrate needed to compensate the consumed indoor O<sub>2</sub>. It should be noted that this flowrate was dic-

tated by the consumption rate of  $O_2$  due to the high occupancy, which enabled simultaneously to meet the formaldehyde levels of 0.08 ppm. Consequently, the resulting thermal  $(E_t)$  and fan energy  $(E_{e,fan})$  followed the variation of  $\dot{m}_{ta,ARS}$  increased from 1.24 kWht and 0.67 kWhe to 2.38 kWht and 1.57 kWhe, respectively (Fig. 7(d)). In addition, the cooling energy needed for the coil ( $E_{e,coil}$ ) varied between 3.4 kWhe and 3.7 kWhe, which were considerably lower than that of the conventional system (Fig. 6(a)). This was attributed to two main reasons: i) the energy released from the adsorption was smaller than the case of conventional system due to the lower adsorbed water vapor volume and lower heat of CO<sub>2</sub> adsorption; ii) a higher recirculated air flowrate was used which increased the heat recovery and reduced the temperature of the airstream entering the cooling coil. Consequently, the ARS resulted in a reduction of 18.8 % and 30.4 % in the required thermal and electrical energy as compared to the conventional system, while maintaining the same thermal comfort and IAO conditions. Similar trends can be seen for the operating parameters during the remaining months, where the resulting energy savings decreased as the ambient humidity decreased (during April, October and November). During the month of June (Fig. 6(e)), characterized by a higher ambient temperature,  $\dot{m}_{ta,ARS}$  varied around 0.19 ± 0.06 kg/s with  $\dot{m}_{fa,ARS}$  varying around 0.07 ± 0.03 kg/s. It can be seen that the needed ambient air is higher than the month of May, which was necessary to avoid increasing  $\dot{m}_{ta,ARS}$  during peak ambient temperature. The resulting savings in  $E_t$  and  $E_e$  obtained by ARS compared to the conventional system reached 23.5 % and 31.3 % during this month, for the same indoor air conditions (Fig. 7(e)). During the peak month of August ((Fig. 6(f)),  $\dot{m}_{ta,ARS}$  varied around 0.21 ± 0.0 7 kg/s, with the maximum of 0.27 kg/s was needed at 11:00 h, when the ambient temperature reached its maximum of 44 °C (Fig. 4). On the other hand,  $\dot{m}_{ta,ARS}$  was reduced to 0.04 ± 0.03 kg/s due to the high humidity of August as compared to the previous months. The resulting thermal and electrical energy consumption were reduced by 27 % and 33.4 %, respectively (Fig. 7(f)), compared to the conventional system providing similar thermal comfort and air quality conditions inside the classroom. It can be seen that the reduction in the thermal and electrical energy achieved by the ARS reached its highest during the months with higher ambient humidity, while this reduction is reduced in the months with drier conditions. Over the entire hot season, the ARS was able to achieve a 91 % reduction in the fresh air intake, resulting in a reduction of 23 % and 31 % in the needed thermal and electrical energy as compared to the conventional system when they were implemented for the classroom.

It should be noted that the both  $\dot{m}_{taARS}$  and  $\dot{m}_{faARS}$  had small variation during the same day since they depended on the generation rate of CO<sub>2</sub> and consumption rate of O<sub>2</sub>, respectively, which both where constant throughout the occupied period. Nonetheless,  $\dot{m}_{taARS}$  was increasing with the increase in the ambient conditions that affected the adsorption temperature of the MOF-74-Mg and reduced its capacity. As the ambient humidity increased from May to August, the entrained  $\dot{m}_{faARS}$  decreased (while remaining above the required minimum of 0.02 kg/s) to prevent increasing the supply humidity, and consequently the indoor RH.

### 5.1.2. Case of low-occupancy buildings

Fig. 8 shows the optimized operating parameters for both conventional system and ARS implemented in the residential house, representative of low-occupancy spaces. The resulting consumption of electrical and thermal energy of both systems are shown in Fig. 9.

For the residential house during the month of May (Fig. 8(a)), the hourly variation of the total supply flowrate  $(\dot{m}_{supp})$  of the conventional system depended on cooling load. It varied between 0.7 kg/s during the early hours and 1.5 kg/s, needed to remove the space peak load (17:00 h). It can be seen that needed  $\dot{m}_{supp}$ dropped to 1.1 kg/s at 13:00 h due to the decrease in the indoor heat load (occupancy and electrical equipment). The ambient fresh air flowrate ( $\dot{m}_{fa,CS}$ ) was entrained to regulate the indoor CO<sub>2</sub> levels, and thus depended on the occupancy levels. During the early (1:00 h - 6:00 h) and late (16:00 h - 24:00 h) hours of the day,  $\dot{m}_{fa,CS}$  varied between 0.27 ± 0.05 kg/s, which dropped to 0.20 ± 0. 02 kg/s between (7:00 h – 15:00 h) when the indoor occupancy decreased (Fig. 8(a)). Furthermore, in order to regulate the indoor RH, the supply air humidity was regulated by varying the bypass fraction ( $\beta_{CS}$ ), where its hourly variation depended on the ambient humidity. During nighttime, the ambient humidity was relatively high (Fig. 4(b)), therefore, a low  $\beta_{CS}$  varying around 0.58 ± 0.1 was needed to offset the high latent load. However, as the ambient humidity decreased during daytime,  $\beta_{CS}$  increased to 0.93 ± 0.04 (Fig. 8(a)), where the ambient air could be introduced without dehumidification around noon hours. The resulting thermal energy  $(E_t)$  and electrical energy of the fans  $(E_{t,fan})$  followed a trend similar to that of  $\dot{m}_{fa,CS}$  and varied between 0 kWh<sub>t</sub> and 0.47 kWh<sub>e</sub> during daytime and 1.18 kWh<sub>t</sub> and 1.17 kWh<sub>e</sub> during nighttime (Fig. 9(a)). Additionally, the electrical energy for the cooling coil  $(E_{e,coil})$  followed that of  $\dot{m}_{supp}$  and varied between 8.43 kWh<sub>e</sub> and 16.75 kWh<sub>e</sub>, needed at peak load hour (Fig. 9(a)). For the remaining months, the hourly variation pf the operating parameters and running cost followed similar patterns as those of May.

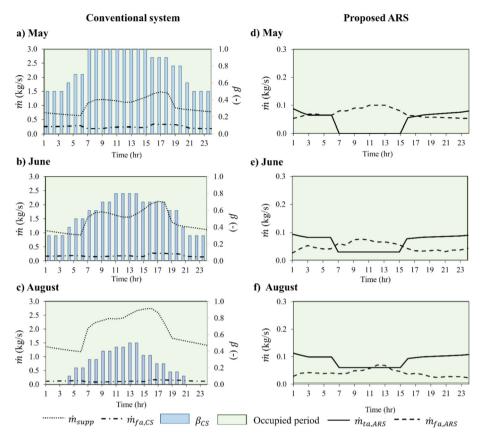
During June (Fig. 8(b)),  $\dot{m}_{supp}$  followed the variation of the space load and varied between 1.1 kg/s and 2.1 kg/s.  $\dot{m}_{fa,CS}$  depended on the indoor occupancy and varied around 0.2 ± 0.05 kg/s during maximum occupancy and dropped to 0.16 ± 0.01 kg/s with the decrease in the occupancy (Fig. 8(b)). The decrease in the needed

flowrate of ambient air was not proportional to that of the occupancy, which was due to lower humidity during the daytime hours. The lower ambient humidity enabled the increase in  $m_{fa,CS}$  to provide lower CO<sub>2</sub> levels without an added penalty on the system's operating cost. Furthermore,  $\beta_{CS}$  varied between 0.46 ± 0.16 during nighttime when the ambient humidity was elevated and the dehumidification load was higher and decreased to 0.7 ± 0.02 during nighttime with the decrease in the ambient humidity (Fig. 8(b)). It was clear that lower  $\beta_{CS}$  was needed during the month of June due to its higher humidity as compared to the month of May. The resulting  $E_t$  and  $E_{efan}$  followed the variation of  $m_{fa,CS}$  and varied around 0.35 kWh<sub>t</sub> and 0.84 kWh<sub>e</sub> during daytime and 1.55 kWh<sub>t</sub> and 1.78 kWh<sub>e</sub> during nighttime. The cooling coil energy  $E_{e,coil}$  followed the variation of the space load and  $m_{supp}$  and varied between 10.41 kWh<sub>e</sub> and 23.94 kWh<sub>e</sub> (Fig. 9(b)).

During the month of August (Fig. 8(c)), the peak month of the Qatari hot season and representative of high ambient conditions, the supply flowrate varied between 1.8 kg/s and 2.8 kg/s to offset the space peak load of 32 W/m<sup>2</sup>, typically obtained in Qatari residence houses [72]. The ambient fresh ambient air intake decreased to its high humidity, and it varied between  $0.13 \pm 0.02$  kg/s during maximum occupancy and dropped to 0.097 ± 0.01 kg/s when the occupancy decreased (Fig. 8(c)). To regulate the indoor humidity, the optimal  $\beta_{CS}$  varied around 0.15 ± 0.1 during the high humidity periods and increased to 0.41 ± 0.1 during nighttime. Lower  $\dot{m}_{fa,CS}$ and  $\beta_{CS}$  were needed during the peak month of August as compared to the other months, which is attributed to its higher ambient humidity levels. Similarly to the other months, the corresponding  $E_t$  and  $E_{e,fan}$  followed the variation of  $\dot{m}_{fa,CS}$  and varied around  $1 \pm 0.4$  kWh<sub>t</sub> and  $1.64 \pm 0.45$  kWh<sub>e</sub>, respectively, while  $E_{e,coil}$  followed similar trend as  $\dot{m}_{supp}$  and varied between 14.4 kWh<sub>e</sub> and 33.42 kWh<sub>e</sub> (Fig. 9(c)).

The performance of the ARS for the residential house differed from that for the classroom, which was attributed to the occupancy schedule and level of indoor species generation. Since the house was occupied throughout the day, the ARS was always operated, where the optimal parameters ( $\dot{m}_{fa,ARS}$  and  $\dot{m}_{ta,ARS}$ ) were optimized to regulate both the humidity and CO<sub>2</sub> concentration in the supply airflow. For the month of May (Fig. 8(d)),  $\dot{m}_{ta,ARS}$  and  $\dot{m}_{fa,ARS}$  were varied around 0.07  $\pm$  0.01 kg/s and 0.06  $\pm$  0.005 kg/s to meet the IAQ constraints. It should be noted that in the case of the residential house, the ambient fresh air flowrate was dictated by the need to dilute the indoor formaldehyde levels, as opposed to the classroom, where the fresh air was needed to compensate the depleted O<sub>2</sub>. As the occupancy dropped to its half and due to the low ambient humidity around noon hours,  $\dot{m}_{fa,ARS}$  was increased to 0.09 ± 0. 01 kg/s (Fig. 8(d)). This enabled to nullify the treated return air flowrate, since the ambient air flowrate was to dilute the different species without compromising the indoor RH. Consequently, the thermal energy  $E_t$  was negligible during these hours and increased to 1.2 kWh<sub>t</sub> needed at the early hours of the day where the ARS was operated with the highest  $\dot{m}_{ta,ARS}$  . The fan electrical energy  $E_{e,fan}$  varied between 0.66 kWh<sub>e</sub> and 1.34 kWh<sub>e</sub> and followed the variation in  $\dot{m}_{ta,ARS}$ , while that of the cooling coil  $E_{e,coil}$  varied between 6 kWhe and 13.43 kWhe and followed the variation of  $\dot{m}_{supp}$  (Fig. 9(d)). The resulting thermal and electrical energy consumption were reduced by 6.3 % and 24 % as compared to the conventional system. However, these savings were 70 % and 20 % lower than those obtained in the classroom. Therefore, when the conventional system was operated for residential house, low ambient flowrate were needed, which reduced its operating cost and in turn reduced the advantage of the ARS in low occupancy spaces.

During the month of June (Fig. 8(e)), the needed  $\dot{m}_{ta,ARS}$  increased to around 0.09 kg/s to meet the needed CO<sub>2</sub> levels during maximum occupancy. The optimal  $\dot{m}_{fa,ARS}$  was reduced to its mini-



**Fig. 8.** The hourly variation of the optimal operating parameters for the conventional system during a) May, b) June and c) August and for the ARS during d) May, e) June and f) August for the residential house.

mum allowable limit of 0.04 kg/s necessary to regulate indoor formaldehyde levels, and consequently O<sub>2</sub> levels. During June,  $\dot{m}_{fa,ARS}$  was lower than that obtained during the month of May, in order to avoid the increase in the indoor RH, which may arise from the higher ambient humidity during this month. However, similar to May, as the ambient humidity decreased around noon hours,  $\dot{m}_{fa,ARS}$  increased to 0.065 kg/s needed to meet the IAQ requirements along with a decrease in  $\dot{m}_{ta,ARS}$  to 0.03 kg/s (Fig. 8(e)), especially since the indoor occupancy decreased to its half. The corresponding  $E_t$ ,  $E_{e,fan}$  and  $E_{e,coil}$  followed similar trends as those obtained during the month of May and varied around  $0.84 \pm 0.4$ kWh<sub>t</sub>, 1.33 ± 0.26 kWh<sub>e</sub> and 11.72 ± 3 kWh<sub>e</sub>, respectively (Fig. 9 (e)). Consequently, the ARS consumed 9.9 % and 25.11 % lower thermal and electrical energy as compared to the conventional system. Furthermore, as the ambient humidity reaches its highest levels during the peak month of August (Fig. 8(f)), the treated return air flowrate  $\dot{m}_{ta,ARS}$  increased to 0.11 kg/s during the maximum occupancy hours in order to reduce the fresh air intake and avoid increasing the indoor RH. Consequently,  $\dot{m}_{fa,ARS}$  was kept at its minimum of 0.033 kg/s to regulate the indoor formaldehyde levels below 0.08 ppm. (Fig. 8(f)) On the other hand, as the indoor occupancy decreased between 7:00 h – 13:00 h and the ambient humidity decreased during the day,  $\dot{m}_{ta,ARS}$  was reduced to 0.06 kg/s and  $\dot{m}_{fa,ARS}$  was increased to 0.05 kg/s (Fig. 8(f)). The resulting  $E_t$ ,  $E_{e,fan}$  and  $E_{e,coil}$  f varied around 1.0 ± 0.2 kWh<sub>t</sub>, 1.81  $\pm$  0.4 kWh<sub>e</sub> and 15.64  $\pm$  4.5 kWh<sub>e</sub>, respectively (Fig. 9(f)). Accordingly, the ARS offered 10% and 26.5 % lower thermal and electrical energy consumption as compared to the conventional system in high ambient conditions. Over the entire hot season, the ARS yielded a reduction of 71 % in the fresh air intake, which led to savings of 7 % and 25 % in the required thermal and electrical

energy compared to the conventional system. As it was the case of the classroom, the savings in the operating cost resulting from the implementation of the ARS were reduced in the months of low ambient humidity and reached their maximum during the peak month of August. Moreover, these savings are considerably lower than those obtained in the classroom, especially the savings in the thermal energy. This is attributed to the lower ambient air flowrate that needed dehumidification in the residential house, which resulted in lower regeneration energy and reduced the savings when compared to the ARS.

# 5.2. Economic performance and LCCA

From the above presented results, it can be seen that both conventional system and ARS were able to provide similar indoor air conditions that met the occupant's thermal comfort and IAQ requirement, whereas the ARS offered a lower operating cost as compared to the conventional system, especially in the months with high ambient humidity. Nonetheless, due to the need for an additional adsorbent for carbon capture, as well as the higher cost of the MOFs as compared to the conventional adsorbents, the capital cost of the ARS was higher. Consequently, an economic analysis was conducted through a LCCA in order to determine the payback period of the proposed ARS for both residential house and classroom case studies. The life-cycle cost (*LCC* (USD)) of each system was determined using equation (10):

$$LCC = C_{ini} + \sum_{j=1}^{N} \frac{C_j^0 + C_j^M}{(1+a)^j} = C_{ini} + \sum_{j=1}^{N} \frac{C_j^E + C_j^T + C_j^M}{(1+a)^j}$$
(10)

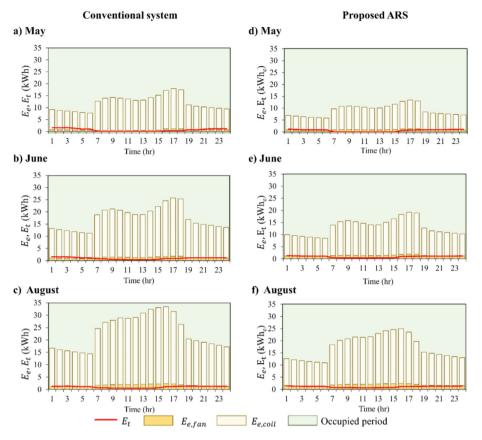


Fig. 9. The hourly variation of the conventional system' energy consumption during a) May, b) June and c) August and that of the ARS during d) May, e) June and f) August for the classroom.

where  $C_{ini}$  (USD) is the initial investment cost,  $C_i^0$  (USD) and  $C_i^M$ (USD) are the yearly operating and maintenance costs, respectively. The operating cost takes into consideration the cost of consumed thermal  $(C_i^T (\text{USD}))$  and electrical  $(C_i^E (\text{USD}))$  energy. N is the holding period of the system and *a* is the discount rate, which are adopted in this work as 30 years [93], with a typical discount rate value of 8 % [57]. An initial cost of 18 USD/kg silica gel [57] and 48.52 USD/kg of MOF-74-Mg [94] were used to determine the initial investments of the systems. Furthermore, the electrical energy and thermal energy (from natural gas) costs in Qatar were 0.013 USD/kWh<sub>e</sub> and 0.133 USD/kWh<sub>t</sub>, respectively [64–66]. As for  $C_i^M$ , it included the maintenance of the adsorption beds, fans and vapor compression airconditioner, which was assumed to be 2 % of each system's  $C_{ini}$ [93]. Fig. 10 shows the resulting LCC for the proposed ARS as compared to that of the conventional systems for both the residential house and classroom. It can be seen that the ARS achieved 30 % and 24 % reduction with a payback period of years 5 and 2 years for the classroom and residential house, respectively as compared to the conventional system. It can be seen that the small ARS size in the residential house resulted in a shorter payback period as compared to the classroom, even though the energy savings were higher in the latter case.

# 5.3. Limitations and applicability

The proposed ARS system is designed for climates that are predominantly hot and humid. However, its feasibility has been demonstrated in dry ambient conditions as well, where it showed significant reduction in the operating cost. The proposed system has some limitations, especially for residential buildings where there are some restrictions due to its required large footprint (adsorption beds, heat exchangers and regeneration system), which necessitates large technical areas that are not always available. Solar energy can be used for the regeneration of the adsorbents, instead of the combustion of natural gas, which increases the system sustainability. However, energy storage systems are then required to provide the needed thermal energy during nighttime.

Furthermore, the study only considered one type of adsorbent (MOF-74-Mg) for carbon capture that was able to meet the indoor space requirements while providing a reduction in the operating cost. Nonetheless, more advanced adsorbent from the MOF family can be more suitable candidates for this application such as Nb-OFFIVE-1-Ni [31] and the SIFSIX-n-M family [27,95,96]. Such MOFs are not commercially available yet, however, they present better stability and higher capacity for CO<sub>2</sub> adsorption even in humid conditions. Their use enables the treatment of the return air for CO<sub>2</sub> and H<sub>2</sub>O capture simultaneously within a single adsorption bed, which is the subject of future studies. Additionally, the payback period of the ARS compared to the conventional system depends largely on the initial cost of the system, mainly that of the MOF. In this study, the cost of MOF-74-Mg has been adopted based on the conventional solvothermal synthesis, nonetheless, other methods have proven to provide lower adsorbent cost, and consequently, shorter payback period.

# 6. Conclusion

Conventional HVAC systems employ ambient fresh air for the rejuvenation of enclosed spaces and the abatement of indoor pol-

# a) Classroom

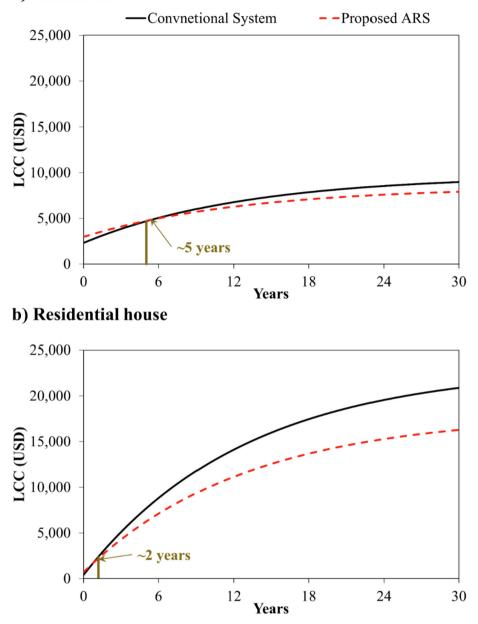


Fig. 10. LCC of the proposed ARS as compared to the conventional system for a) classroom, and b) residential house.

lutants. However, such techniques are energy intensive due to the need for excessive hygrothermal conditioning of the ambient air to maintain the occupants' thermal comfort. Therefore, there is a need to propose an HVAC system that provides the IAQ requirements at reduced fresh air intake. Accordingly, adsorption-based air treatment techniques are adopted in this work, to regulate the indoor CO<sub>2</sub> and H<sub>2</sub>O concentrations. Such systems considerably reduce the intake of fresh ambient air, which is reflected in the reduction of the building electrical power, in addition to making use of low-grade thermal energy sources. Hence, the feasibility of the complete HVAC system integrated with carbon capture from indoor air (referred to by ARS) is investigated vis-à-vis the conventional system based on the desiccant dehumidification of the ambient air. The hybrid systems were sized, and their performance was optimized for two case studies of a classroom and residential house located in the predominantly hot and humid climate of Qatar. For this reason, mathematical models were developed for the integrated systems and validated with the published data in the literature.

The performance of the systems was then simulated and compared over the hot season of the Qatari climate (April to November) for each case study. The hourly variation of the indoor air conditions showed that the ARS was able to meet the thermal comfort and IAQ constraints throughout the entire hot season. A comparative economic analysis showed that, over the entire hot season:

- The implementation of ARS achieved higher reduction in the operating cost during the months with higher ambient humidity and for the spaces with higher indoor occupancy.
- The ARS was able to achieve 91 % reduction in the fresh air intake, leading to 30 % lower operating cost with a payback period of 6 years compared to the conventional system for high occupancy buildings.

• The ARS required 71 % lower ambient air, which achieved 24 % lower operating cost with a payback period of 2 years compared to the conventional system for low occupancy buildings.

## **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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# Appendix A. Model validation

The accuracy of the developed models is critical for the validity of the findings. Accordingly, the model of adsorption beds was validated with the published data for both  $H_2O$  and  $CO_2$  capture.

For the dehumidification bed, the model was validated against the experimental data of Swardsuk et al. [89]. Using the modified Freundlich isotherms, the same bed geometry ( $H_b = 1 \text{ m}$ ,  $D_b = 0.2 \text{ m}$ ), an adsorption time of 1800 s, an inlet air flowrate of 20 L/s at temperature and RH of 30 °C, 53 %, respectively. It was found that the outlet temperature and humidity were in agreement with the experimental data as shown in Fig. A.1(a), with maximum error on the outlet temperature and humidity was 6.1 % and 5.93 %, respectively. The water adsorption model was further validated against the experimental results of Baghapour et al. [88]. For the same bed ( $H_b = 0.2 \text{ m}$ ,  $D_b = 0.1016 \text{ m}$ ), adsorption time (1800 s) and inlet air conditions (air velocity of 0.3 m/s, temperature of 33 °C and RH of 56 %), the maximum discrepancies on the outlet temperature and humidity were found to be 2.0 % and 6.4 %, respectively.

For the carbon capture bed, the model was validated with the numerical model of Qasem et al. [86], that adopted the same isotherms model for MOF-74-Mg as this work. For the same bed ( $H_b$ = 0.07 m,  $D_b$  = 0.004 m) and inlet conditions (volumetric air flowrate of 20 mL/min, 0.1/0.9 CO<sub>2</sub>/N<sub>2</sub> concentration, temperature of 27 °C, RH of 10%), the error on the CO<sub>2</sub> retention time was 5.6 %, whereas the maximum error on the outlet air temperature and CO<sub>2</sub> concentration were 8.4 % and 9.6 %, respectively. Moreover, the model is validated with the numerical results of Ben-Mansour and Qasem [90] for the same bed geometry as before and for inlet dry airstream with CO<sub>2</sub>/N<sub>2</sub> concentration of 0.2/0.8. The errors on

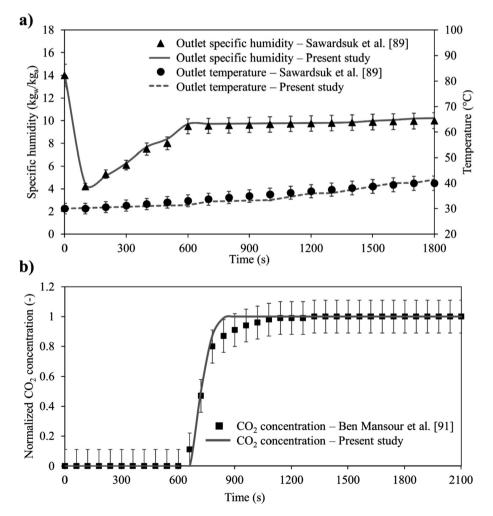


Fig. A.1. Validation of the outlet air conditions for a) the desiccant bed and b) for the carbon capture bed.

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#### Table B.1

Adsorption beds sizing for the conventional system and ARS for both case studies.

Space	Residential house	Residential house		Classroom	
System	Conventional	ARS	Conventional	ARS	
m <sub>des</sub> (kg/s)	0.064	0.040	0.397	0.224	
Dehumidification bed					
Silica gel mass (kg)	11.0	4.0	64.0	15.0	
$H_b(m)$	0.20	0.10	0.30	0.20	
$D_b(m)$	0.30	0.25	0.60	0.35	
<u>Carbon capture bed</u>					
MOF-74-Mg mass (kg)	_	3.5	-	25.0	
$H_b(m)$	-	0.10	-	0.25	
$D_b(m)$	-	0.35	-	0.60	

the retention time, outlet temperature and  $CO_2$  concentration are 6.2 %, 7.3 % and 8.9 %, respectively. Further validation of the mathematical model for  $CO_2$  capture was conducted with the experimental results of Ben-Mansour et al. [91] for the bed geometry ( $H_b$ = 0.2 m,  $D_b$  = 0.009 m) and inlet conditions (dry air flowrate of 10 mL/min, 0.2/0.9  $CO_2/N_2$  concentration, temperature of 24 °C). The outlet  $CO_2$  concentration (normalized with respect to the inlet concentration) was in good agreement with the experimental data as shown in Fig. A.1(b) with a maximum error on the retention time and outlet  $CO_2$  concentration of 5.7 %, and 9.5 %, respectively.

## Appendix B. System sizing

The proper operation of the systems depends on its sizing. Following the strategy proposed in section 4.2, the different adsorption beds were sized by determining the needed adsorbents mass as well as the corresponding packed bed dimensions  $(D_b, H_b)$  as presented in Table B.1. The mass flowrates that were used for the design ( $\dot{m}_{des}$  in Table B.1) corresponded to the flowrates needed to maintain a CO<sub>2</sub> concentration of 1,000 ppm. This flowrate depended on the supply CO<sub>2</sub> concatenation (400 ppm for the conventional system and 20 ppm for the ARS). In addition, the sizing of the dehumidification bed of the conventional system was conducted using  $\dot{m}_{des}$  at the peak latent load of the Qatari hot season, which falls in the month of August.

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